


ASH PARISH COUNCIL

Dear Councillors, you are summoned to the Annual Parish Council Meeting on Tuesday 06th May 2025 at 7.30pm in Ash Pavilion.

Clerk to the Council.



29th April 2025

The Ash Parish Council Annual Meeting will be held on Tuesday 6th May 2025 commencing at 7.30pm, to discuss and take decisions on Parish business as outlined on the Agenda.

Public Forum: Members of the public and press are welcome to join the meeting and are able to speak on any matter for up to 10 minutes during the Public Forum which will take place at the start of the meeting. If the matter is likely to take longer than 10 minutes, notice should be provided to the Clerk by 12 noon on the Monday preceding the meeting.

06th May 2025 AGENDA

- 1. Election of Chairperson of the Parish Council**
- 2. Declaration of acceptance by the Chairperson of the Parish Council**
- 3. Election of Vice Chairperson of the Parish Council**
- 4. Declaration of acceptance by the Vice Chairperson of the Parish Council**
- 5. Public question time, including Somerset Councillor reports May 2025**
- 6. To receive any apologies for absence**
- 7. To receive any declarations of interest and approve any dispensations.**
- 8. Approval of minutes 03rd March 2025.**
- 9. Matters arising (other than those on the Agenda)**
- 10. Approval of Policies – see Addendum for complete Policies.**
 - a. Standing Orders
 - b. Code of Conduct
 - c. Risk Management Policy & Strategy
 - d. Co-option Policy & application/information
 - e. Investment Policy
 - f. Disciplinary Policy

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- g. Document retention Policy
- h. Grievance Policy
- i. Grant Policy
- j. Complaints Policy
- k. Data Protection Policy
- l. Freedom of Information Policy
- m. Financial Regulations
- n. Health & Safety Policy (inc lone worker) Policy

11. Approve Asset Register.

12. Matters for report:

- a. Martock SID – To consider the request made via Councillor Pearlstone from Long Load re sharing of SID (once operational). Long Load PC would like to look at working with Ash on a sharing arrangement of equipment to enable speed watch to be renewed and expanded in Long Load.
- b. Signage Millennium Wood/play area
- c. HMRC/payroll arrangements – Clerk
- d. Cloud Next – migrate PC website update
- e. Approve migration of PC Website to Cloud Next
- f. New gate from Main Street to Cemetery
- g. Pavillion door replacement(date for fitting) – Cllr A Britten

13. Planning decisions report: 25/00474/PAMB Black Hall Milton Lane Ash Martock Somerset TA12 6AL. Prior Approval Notification for the change of use and conversion of existing agricultural building to form 2 No. dwellings. Decision date: 10/04/25

14. Planning Applications:

Proposal: Demolition of an agricultural building and construction of a new-build dwelling and the change of use of adjoining land from agricultural to residential.

Location: Stone Farm 110 Main Street Ash Martock Somerset TA12 6PB

Applicant: Mr & Mrs D Dunning

Application Type : Full Application

Application Number: 25/00674/FUL

Proposal: Conversion, partial demolition and extension of The Old Stables to provide a single dwellinghouse, together with a change of use of adjoining land.

Location: Stone Farm 110 Main Street Ash Martock Somerset TA12 6PB

Applicant: Mr & Mrs D Dunning

Application Type : Full Application

Application Number: 25/00673/FUL

15. Finance – To **APPROVE & SIGN** the Bank reconciliations April 2025 – to date, including regular payments. Regular payments to be reviewed at the AGM or when the payment amount changes, whichever is sooner.

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- 14. Finance:** To discuss the payment requests as detailed below & minute decisions
- 15. Finance:** To approve & sign the Bank Statements – April 2025 to date.
- 16. To approve and sign Sections 1& 2 of the AGAR.**
- 17. To approve allocation of ring fenced funds**
- 18. Parish Council matters** – to consider applications for co-option (if required)
- 19. Parish Matters** - to approve risk assessment for Village Fete
- 20. Parish Matters:** to discuss Parish facilities maintenance.
- 21. Parish Council matters:** To agree meeting dates for next financial year.
- 22. Parish Council Matters:** Actions & allocations.

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Payment requests as at 27th April 2025

| | | |
|----------------------|---|---------|
| S Davey | Padlock & Chain | £36.58 |
| A Groves | Newsletter printing | £45.00 |
| Bristowes Electrical | Pavilion Lighting | £240.00 |
| A Groves | Gratuity re notice board repair | £22.48 |
| SALC | Annual fee | £307.34 |
| Z Bougourd | Clerk overtime. Y/E & Audit prep(22.5hrs) | £271.44 |
| HMRC | Re Clerk overtime | £67.89 |

Signed:.....Date:

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Minutes of the meeting held on 07th April 2025 at Ash Pavilion @ 7pm.

Present: Cllr J Bailey, Cllr E Pearlstone, Cllrs S Davey, Chairman, Cllr Halfhide, Deputy Chairman, Cllrs Z England, A Britten, A Groves, T Groves. Clerk Z Bougourd.

Two members of the public and planning representative M Williams, on behalf of the owners of land area east of Burrough Street.

Public Forum. It was noted that the compost bin adjacent to the cemetery had discarded Christmas grave wreaths alongside it. It was also noted that some of the paving slabs adjacent to the Pavilion were cracked and potentially hazardous – Cllr A Groves will contact the ranger and ask if he can repair the affected area.

25.60: Somerset Councillor report: SC have yet to notify details of future plans for 2025/6, including the replacement appointment for the highways officer who retires at the end of April 2025. It was noted that the SC have written to the 10 residents who recently submitted objections to Footpath orders 11, 13 & 14 asking them to withdraw their objections. APC are not aware of any resident who has withdrawn, concern was noted that SC may be experiencing reduced staffing levels; however these objections will now be considered. There is a general move across Somerset to upgrade footpaths to bridleways. Potholes in Widcombe Lane & Paynes Lane have been partially repaired, others are marked and are due for repair. Damage in Paynes Lane may be due to oversized farm vehicles.

25.61: Apologies. None received

25.62 Declarations of interest. None noted.

25.63. Minutes 03/03/25. The minutes of the meeting held on the 03rd March were approved and signed.

25.64: Matters arising(other than those on the Agenda). None noted.

25.65:

- Martock SID – check functionality of equipment – Nothing to report
- Signage Millennium Wood/play area - signage
- HMRC/payroll arrangements – HMRC have now updated the contact details: 09/04/25, HMRC website notes that an activation code has been posted.
- Pavillion door replacement – ongoing, awaiting installation date
- VE Day- a flag has been purchased. Cllr England will attach it to the Church flagpole with due regard to health & safety.

25.66 Planning decisions report. None as at 30/03/25

25.67 Planning Applications:

Proposal: Outline application with all matters reserved for Erection of two dwellings and formation of joint vehicular access

Location: Land East Of Burrough Street Adj Broad Ham Burrough Street Ash Martock
Somerset TA12 6NZ

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Application Number: 25/00463/OUT. Mr M Williams(Planning advisor) presented a background report on behalf of the land owners. After discussion it was agreed that as an outline application it would be approved. Design detail would need to be considered carefully with regard to overlooking neighbouring properties and access to the land area behind the dwellings. It was agreed to revert to SC Planning noting concerns re potential surface water flooding adjacent to the site.

24.68 Proposal: Erection of single storey extension to dwelling

Location: Manor Farm Cottage Milton Lane Ash Martock Somerset TA12 6AL

Application Number: 25/00733/HOU

No objections/comments

24.69 Proposal: Rear single storey and two storey extension.

Location: 84 Main Street Ash Martock Somerset TA12 6PB

Application Number: 25/00764/HOU

No objections/comments

24.70 Finance – To APPROVE the regular payment schedule as detailed below, regular payments to be reviewed at the AGM or when the payment amount changes, whichever is sooner. Payment schedule approved and signed

24.71 Finance: To discuss the payment requests as detailed below & minute decisions. Payment requests approved and signed. Noted that the Virtual Landline account is now paid via the Council current account/debit card.

24.72 Finance: To approve & sign the Bank reconciliations - March 2025 to date. Approved and signed

24.73 Finance: To approve & sign the Bank Statements – March 2025 to date. Approved and signed.

24.74 Finance: To approve the year end bank reconciliations. The year end reconciliations were approved and signed.

24.75 Finance: To update allocation of reserves: Balance of funds for allocation totalled £28643.38. It was agreed to allocate 50% to the carpark resurfacing fund 25% to pavilion upkeep & 25% to administration reserve. Clerk will update exact figures for final approval at the next meeting. No budget was set for reserves in 2025/6 due to underspend in 2024/5.

24.76 Council Matters: Consider moving Ash PC website to gov.uk domain. After discussion it was agreed that moving to a gov.uk domain was considered best practice and will be progressed in due course. There are some concerns regarding mailbox size and back up criteria. Cllr Davey will take this forward and report back to the next meeting.

24.77 Parish Matters: Consider quote for installing an exterior lighting for ramp to Ash Pavilion. The quote was accepted. Cllr England will progress this matter.

24.78 Parish Matters: Consider quote to replace/repair carpark barrier. The quote was accepted, it was agreed not to claim on the PC insurance. Cllr England will progress this matter.

24.79 Council Matters: Consider policies and adoption of policies as required. Cllr T Groves had provided a

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list of the current legally required policies: It was agreed that the Clerk will now update these Policies where required and the Grant Policy & Co-option Policy be added to this list. Other policies may be added as considered necessary. The Asset List was also considered and will be updated by the Clerk for tabling & approval at the next meeting. It was noted that the Risk Policy & Risk Assessment are separate documents. The Risk Assessment will be considered and updated at the next meeting.

1. **Standing Orders** – Rules governing how the council operates, including meetings, decision-making, and delegation of powers.
2. **Financial Regulations** – Rules controlling financial management, budgeting, and procurement.
3. **Code of Conduct** – Sets out the ethical standards and expected behaviour of councillors (Localism Act 2011).
4. **Data Protection Policy** – Compliance with the UK GDPR and Data Protection Act 2018, including privacy notices and data retention.
5. **Freedom of Information Publication Scheme** – Required under the Freedom of Information Act 2000, outlining how the council provides access to information.
6. **Complaints Procedure** – A process for handling complaints from the public and stakeholders.
7. **Risk Management Policy** – Identifying and managing financial and operational risks.
8. **Equality and Diversity Policy** – Ensures compliance with the Equality Act 2010, preventing discrimination.
9. **Health and Safety Policy** – If the council employs staff, a policy must be in place under the Health and Safety at Work Act 1974.
10. **Employment Policies** – If the council has employees, it must have policies covering grievance, disciplinary procedures, and contracts of employment (Employment Rights Act 1996).
11. **Grant Application Policy.** Organisations who make a positive contribution to Ash Parish can apply for a grant from the PC
12. **Co-option Policy & Application form.** Following notification of a casual vacancy for Councillor with no election requested. A resident can apply to be coopted onto the Council subject to noted criteria.

24.80 Date and time of next meeting.

Parish AGM Monday 12th May 2025 @ 7pm, APC AGM to follow @ 7.30pm

Post meeting AGM updated to Tuesday 6th May @ 7pm, APC AGM to follow @ 7.30pm.

The meeting closed at 21.25hrs

ASH PARISH COUNCIL

Schedule of Regular Monthly Payments, for report only.

| | | | | |
|---------|--------------------|-----------------------------|------------------|----------------------|
| Monthly | S Davey | Virtual Landline | £6.50 | SO Report |
| Monthly | R A Evis | Ground Maintenance | £458.33 | SO Report |
| Monthly | KL Bungay | Pavilion cleaning | £102.00 | SO Report |
| | | | | |

Signed: Date:

Payment requests as at 30th March 2025

| | | | | |
|-------------------------|------------|----------------------|----------|------------------------------------|
| Z Bougourd | SLCC | Renew membership 50% | £90.00 | |
| RA Evis | March 2025 | Lengthsman | £235.00 | Invoice due |
| Millennium Wood Invoice | Annual fee | | £1060.00 | Due 1 st April (2025/6) |

Signed:.....Date:

Direct Debit payments between 28/02/25 – 30/03/25

| | | | | |
|----------|-------------|---------|--------|--|
| 28/02/25 | BRITISH GAS | Utility | 214.55 | |
| 31/03/25 | BT GROUP | Utility | 34.46 | |
| | | | | |

Signed: Date:

ASH PARISH COUNCIL REGISTER OF FIXED ASSETS - 31 March 2025

| ASSET DETAIL | ASSET LOCATION | VALUES | | | Notes (policy detail) | Annual check completed? |
|---|---|---------------------|---|-------------------------|--|--------------------------|
| | | Historical Cost | Historical cost with additions/ deductions 2024/5 | Insurance value 2023-24 | | Insurance values 2024/25 |
| Pavilion | Rec ground | £ 213,815.00 | £ 213,815.00 | £ 291,328.00 | Pavilion | £ 308,261.00 |
| Millennium Wood shed | Millennium Wood | £ 600.00 | £ 600.00 | £ 727.00 | Timber shed | £ 763.00 |
| Pavilion shed | Rec ground | £ 1,200.00 | £ 1,200.00 | £ 1,528.00 | Pavilion Shed | £ 1,604.00 |
| TOTAL | | £ 215,615.00 | £ 215,615.00 | £ 293,583.00 | Buildings | £ 310,628.00 |
| Play Equipment (New) | Rec ground | £ 48,654.00 | £ 48,654.00 | | | |
| Willow Den | Rec ground | £ 200.00 | £ 200.00 | | | |
| TOTAL | | £ 48,854.00 | £ 48,854.00 | £ 63,925.00 | Playground equipment | £ 65,843.00 |
| Dog bins (4) | Rec ground, Millennium Wood, Burrough Street, Witcombe | £ 800.00 | £ 800.00 | | | |
| Noticeboards (2) | Main Street and Burrough Street | £ 2,000.00 | £ 2,000.00 | | | |
| Grit bins (3) | Rec ground entrance, Milton, village car park entrance | £ 416.27 | £ 416.27 | | | |
| Hamstone planters (3) | Main Street | £ 768.00 | £ 768.00 | | | |
| Adopted telephone kiosks (2) | Main Street and Milton | £ 2.00 | £ 2.00 | | | |
| Seats (7) | Burrough Street, Main Street, Cemetery, playground, Pavilion, Millennium Wood | £ 2,500.00 | £ 2,500.00 | | | |
| Lamp post planters | Main Street | £ 2,000.00 | £ 2,000.00 | | | |
| Barrier planters | Main Street | £ 300.00 | £ 300.00 | | | |
| Bus Shelters (2) | Main Street and Burrough Street | £ 7,796.00 | £ 15,590.00 | | | |
| Dog waste bag bin | Millennium Wood | £ 147.18 | £ 147.18 | | | |
| Wooden Bench | Millennium Wood | £ 1,024.00 | £ 1,024.00 | | | |
| Teak Bench | Pavilion | £ 1,000.00 | £ 1,000.00 | | | |
| Half barrow planter | Main Street | £ 100.00 | £ 100.00 | | | |
| Wheelbarrow | Main Street | £ 300.00 | £ 300.00 | | | |
| TOTAL | | £ 19,153.45 | £ 26,947.45 | £ 5,824.00 | Street furniture | £ 19,729.00 |
| Water bowser | Shed behind Pavilion | £ 100.00 | £ 100.00 | | | |
| Pressure washer | Shed behind Pavilion | £ 200.00 | £ 200.00 | | | |
| Irrigation System | Cemetery | £ 500.00 | £ 500.00 | | | |
| Strimmer & hand tools | Shed behind Pavilion | £ 200.00 | £ 200.00 | | Gardening equip, plant & machinery | |
| TOTAL | | £ 1,000.00 | £ 1,000.00 | £ 584.00 | | £ 1,030.00 |
| Traffic cones (10), high visibility jacket (2) Road signs (2) | Pavilion cupboard | £ 200.00 | £ 200.00 | | | |
| Steel cabinet | Pavilion office | £ 200.00 | £ 200.00 | | | |
| Pavilion Chairs | Pavilion | £ 360.00 | £ 4,000.00 | | | |
| Pavilion Tables | Pavilion | | £ 2,000.00 | | | |
| Office printer | Pavilion office | £ 150.00 | £ - | | | |
| Projector and screen | Pavilion office | £ 445.00 | £ 445.00 | | | |
| Office equipment Laptop (held by Clerk) | Clerks home | £ 667.00 | £ 667.00 | | General contents inc. computer & anc. equip. | |
| TOTAL | | £ 2,022.00 | £ 7,512.00 | £ 2,362.00 | | £ 2,432.00 |
| Defibrillators, cabinets & additional pads (no.2) | Pavilion and Village Hall | £ 2,807.98 | £ 3,557.98 | | | |
| TOTAL | | £ 2,807.98 | £ 3,557.98 | £5,000 | Defibrillator gen. cover | £ 5,000.00 |
| Chain link fencing | Rec ground and Cemetery extension | £ 2,340.00 | £ 2,340.00 | | | |
| Wooden fence | Rec ground | | | | | |
| Wooden fence | Millennium Wood | | | | | |
| Wooden fence | Village car park | | | | | |
| Disabled access gates (3) | Rec ground (2) and Millennium Wood | £ 2,995.00 | £ 3,261.00 | | | |
| TOTAL | | £ 5,335.00 | £ 5,601.00 | £ - | Gates and fences | £ 5,495.00 |
| Tarmac surface | Pavilion car park | £10,000 | £10,000 | | | |
| Tarmac surface | Village car park | £15,000 | £15,000 | | | |
| TOTAL | | £25,000 | £25,000 | £ - | Other surfaces | £ 25,750.00 |
| Basis of Valuation: Nominal Valuation | | | | | | |
| Cemetery | | £ 1.00 | £ 1.00 | | | |
| Cemetery extension | | £ 1.00 | £ 1.00 | | | |
| Village Car Park | | £ 1.00 | £ 1.00 | | | |
| Millennium Wood | | £ 1.00 | £ 1.00 | | | |
| Recreation ground and play area | | £ 1.00 | £ 1.00 | | | |
| TOTALS | | £ 319,792.43 | £ 334,092.43 | £ 371,278.00 | | £ 435,907.00 |

Ash Parish Council : Policies 2025

Standing Orders

Financial Regulations

Code of Conduct

Risk Management Policy

Risk Management Strategy

Co-option Policy

Co-option Information/application form

Investment Policy

Disciplinary Policy

Document retention Policy

Grievance Policy

Grant Policy

Complaints Policy

Data Protection

Freedom of Information

Health & Safety (including lone worker) Policy

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ASH PARISH COUNCIL STANDING ORDERS – ADOPTED MAY 2025

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1. RULES OF DEBATE AT MEETINGS

- a Motions on the agenda shall be considered in the order that they appear unless the order is changed at the discretion of the chair of the meeting.
- b A motion (including an amendment) shall not be progressed unless it has been moved and seconded.
- c A motion on the agenda that is not moved by its proposer may be treated by the chair of the meeting as withdrawn.
- d If a motion (including an amendment) has been seconded, it may be withdrawn by the proposer only with the consent of the seconder and the meeting.
- e An amendment is a proposal to remove or add words to a motion. It shall not negate the motion.
- f If an amendment to the original motion is carried, the original motion (as amended) becomes the substantive motion upon which further amendment(s) may be moved.
- g An amendment shall not be considered unless early verbal notice of it is given at the meeting and, if requested by the chair of the meeting, is expressed in writing to the chair.
- h A councillor may move an amendment to his/her/their own motion if agreed by the meeting. If a motion has already been seconded, the amendment shall be with the consent of the seconder and the meeting.
- i If there is more than one amendment to an original or substantive motion, the amendments shall be moved in the order directed by the chair of the meeting.
- j Subject to standing order 1(k), only one amendment shall be moved and debated at a time, the order of which shall be directed by the chair of the meeting.
- k One or more amendments may be discussed together if the chair of the meeting considers this expedient but each amendment shall be voted upon separately.
- l A councillor may not move more than one amendment to an original or substantive motion.
- m The mover of an amendment has no right of reply at the end of debate on it.
- n Where a series of amendments to an original motion are carried, the mover of the original motion shall have a right of reply either at the end of debate on the first amendment or at the very end of debate on the final substantive motion immediately before it is put to the vote.
- o Unless permitted by the chair of the meeting, a councillor may speak once in the debate on a motion except:
 - i. to speak on an amendment moved by another councillor;

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- ii. to move or speak on another amendment if the motion has been amended since he/she/they last spoke;
 - iii. to make a point of order;
 - iv. to give a personal explanation; or
 - v. to exercise a right of reply.
- p During the debate on a motion, a councillor may interrupt only on a point of order or a personal explanation and the councillor who was interrupted shall stop speaking. A councillor raising a point of order shall identify the standing order which he/she/they considers has been breached or specify the other irregularity in the proceedings of the meeting he/she/they is concerned by.
- q A point of order shall be decided by the chair of the meeting and his/her/their decision shall be final.
- r When a motion is under debate, no other motion shall be moved except:
- i. to amend the motion;
 - ii. to proceed to the next business;
 - iii. to adjourn the debate;
 - iv. to put the motion to a vote;
 - v. to ask a person to be no longer heard or to leave the meeting;
 - vi. to refer a motion to a committee or sub-committee for consideration;
 - vii. to exclude the public and press;
 - viii. to adjourn the meeting; or
 - ix. to suspend particular standing order(s) excepting those which reflect mandatory statutory or legal requirements.
- s Before an original or substantive motion is put to the vote, the chair of the meeting shall be satisfied that the motion has been sufficiently debated and that the mover of the motion under debate has exercised or waived his/her/their right of reply.
- t Excluding motions moved under standing order 1(r), the contributions or speeches by a councillor shall relate only to the motion under discussion and shall not exceed (3) minutes without the consent of the chair of the meeting.

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2. DISORDERLY CONDUCT AT MEETINGS

- a No person shall obstruct the transaction of business at a meeting or behave offensively or improperly. If this standing order is ignored, the chair of the meeting shall request such person(s) to moderate or improve their conduct.
- b If person(s) disregard the request of the chair of the meeting to moderate or improve their conduct, any councillor or the chair of the meeting may move that the person be no longer heard or be excluded from the meeting. The motion, if seconded, shall be put to the vote without discussion.
- c If a resolution made under standing order 2(b) is ignored, the chair of the meeting may take further reasonable steps to restore order or to progress the meeting. This may include temporarily suspending or closing the meeting.

3. MEETINGS GENERALLY

| | |
|------------------------|---|
| Full Council meetings | ● |
| Committee meetings | ● |
| Sub-committee meetings | ● |

- a **Meetings shall not take place in premises which at the time of the meeting are used for the supply of alcohol, unless no other premises are available free of charge or at a reasonable cost.**
- b **The minimum three clear days for notice of a meeting does not include the day on which notice was issued, the day of the meeting, a Sunday, a day of the Christmas break, a day of the Easter break or of a bank holiday or a day appointed for public thanksgiving or mourning.**
- c **The minimum three clear days' public notice for a meeting does not include the day on which the notice was issued or the day of the meeting unless the meeting is convened at shorter notice**
- d **Meetings shall be open to the public unless their presence is prejudicial to the public interest by reason of the confidential nature of the business to be transacted or for other special reasons. The public's exclusion from part or all of a meeting shall be by a resolution which shall give reasons for the public's exclusion.**
- e Members of the public may make representations, answer questions and give evidence at a meeting which they are entitled to attend in respect of the business on the agenda.

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- f The period of time designated for public participation at a meeting in accordance with standing order 3(e) shall not exceed 15 minutes unless directed by the chair of the meeting.
- g Subject to standing order 3(f), a member of the public shall not speak for more than 5 minutes.
- h In accordance with standing order 3(e), a question shall not require a response at the meeting nor start a debate on the question. The chair of the meeting may direct that a written or oral response be given.
- i A person shall raise his/her/their hand when requesting to speak and stand when speaking (except when a person has a disability or is likely to suffer discomfort). The chair of the meeting may at any time permit a person to be seated when speaking.
- j A person who speaks at a meeting shall direct his/her/their comments to the chair of the meeting.
- k Only one person is permitted to speak at a time. If more than one person wants to speak, the chair of the meeting shall direct the order of speaking.
- l **Subject to standing order 3(m), a person who attends a meeting is permitted to report on the meeting whilst the meeting is open to the public. To “report” means to film, photograph, make an audio recording of meeting proceedings, use any other means for enabling persons not present to see or hear the meeting as it takes place or later or to report or to provide oral or written commentary about the meeting so that the report or commentary is available as the meeting takes place or later to persons not present.**
- m **A person present at a meeting may not provide an oral report or oral commentary about a meeting as it takes place without permission.**
- n **The press shall be provided with reasonable facilities for the taking of their report of all or part of a meeting at which they are entitled to be present.**
- o **Subject to standing orders which indicate otherwise, anything authorised or required to be done by, to or before the Chair of the Council may in his/her/their absence be done by, to or before the Vice-Chair of the Council (if there is one).**
- p **The Chair of the Council, if present, shall preside at a meeting. If the Chair is absent from a meeting, the Vice-Chair of the Council (if there is one) if present, shall preside. If both the Chair and the Vice-Chair are absent from a meeting, a councillor as chosen by the councillors present at the meeting shall preside at the meeting.**

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- q **Subject to a meeting being quorate, all questions at a meeting shall be decided by a majority of the councillors and non-councillors with voting rights present and voting.**

- r **The chair of a meeting may give an original vote on any matter put to the vote, and in the case of an equality of votes may exercise his/her/their casting vote whether or not he/she/they gave an original vote.**

See standing orders 5(h) and (i) for the different rules that apply in the election of the Chair of the Council at the annual meeting of the Council.

- s **Unless standing orders provide otherwise, voting on a question shall be by a show of hands. At the request of a councillor, the voting on any question shall be recorded so as to show whether each councillor present and voting gave his/her/their vote for or against that question. Such a request shall be made before moving on to the next item of business on the agenda.**

- t The minutes of a meeting shall include an accurate record of the following:

- i. the time and place of the meeting;
- ii. the names of councillors who are present and the names of councillors who are absent;
- iii. interests that have been declared by councillors and non-councillors with voting rights;
- iv. the grant of dispensations (if any) to councillors and non-councillors with voting rights;
- v. whether a councillor or non-councillor with voting rights left the meeting when matters that they held interests in were being considered;
- vi. if there was a public participation session; and
- vii. the resolutions made.

- u **A councillor or a non-councillor with voting rights who has a disclosable pecuniary interest or another interest as set out in the Council's code of conduct in a matter being considered at a meeting is subject to statutory limitations or restrictions under the code on his/her/their right to participate and vote on that matter.**

- v **No business may be transacted at a meeting unless at least one-third of the whole number of members of the Council are present and in no case shall the quorum of a meeting be less than three.**

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See standing order 4d(viii) for the quorum of a committee or sub-committee meeting.

- w **If a meeting is or becomes inquorate no business shall be transacted** and the meeting shall be closed. The business on the agenda for the meeting shall be adjourned to another meeting.
- x A meeting shall not exceed a period of 2 hours except in exceptional circumstances and as directed by the Chairman.

4. COMMITTEES AND SUB-COMMITTEES

- a **Unless the Council determines otherwise, a committee may appoint a sub-committee whose terms of reference and members shall be determined by the committee.**
- b **The members of a committee may include non-councillors unless it is a committee which regulates and controls the finances of the Council.**
- c **Unless the Council determines otherwise, all the members of an advisory committee and a sub-committee of the advisory committee may be non-councillors.**
- d The Council may appoint standing committees or other committees as may be necessary, and:
 - i. shall determine their terms of reference;
 - ii. shall determine the number and time of the ordinary meetings of a standing committee up until the date of the next annual meeting of the Council;
 - iii. shall permit a committee, other than in respect of the ordinary meetings of a committee, to determine the number and time of its meetings;
 - iv. shall, subject to standing orders 4(b) and (c), appoint and determine the terms of office of members of such a committee;
 - v. may, subject to standing orders 4(b) and (c), appoint and determine the terms of office of the substitute members to a committee whose role is to replace the ordinary members at a meeting of a committee if the ordinary members of the committee confirm to the Proper Officer 3 days before the meeting that they are unable to attend;
 - vi. shall, after it has appointed the members of a standing committee, appoint the chair of the standing committee;
 - vii. shall permit a committee other than a standing committee, to appoint its own chair at the first meeting of the committee;

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- viii. shall determine the place, notice requirements and quorum for a meeting of a committee and a sub-committee which, in both cases, shall be no less than three;
- ix. shall determine if the public may participate at a meeting of a committee;
- x. shall determine if the public and press are permitted to attend the meetings of a sub-committee and also the advance public notice requirements, if any, required for the meetings of a sub-committee;
- xi. shall determine if the public may participate at a meeting of a sub-committee that they are permitted to attend; and
- xii. may dissolve a committee or a sub-committee.

5. ORDINARY COUNCIL MEETINGS

- a **In an election year, the annual meeting of the Council shall be held on or within 14 days following the day on which the councillors elected take office.**
- b **In a year which is not an election year, the annual meeting of the Council shall be held on such day in May as the Council decides.**
- c **If no other time is fixed, the annual meeting of the Council shall take place at 6pm.**
- d **In addition to the annual meeting of the Council, at least three other ordinary meetings shall be held in each year on such dates and times as the Council decides.**
- e **The first business conducted at the annual meeting of the Council shall be the election of the Chair and Vice-Chair (if there is one) of the Council.**
- f **The Chair of the Council, unless he/she/they has resigned or becomes disqualified, shall continue in office and preside at the annual meeting until his/her/their successor is elected at the next annual meeting of the Council.**
- g **The Vice-Chair of the Council, if there is one, unless he/she/they resigns or becomes disqualified, shall hold office until immediately after the election of the Chair of the Council at the next annual meeting of the Council.**
- h **In an election year, if the current Chair of the Council has not been re-elected as a member of the Council, he/she/they shall preside at the annual meeting until a successor Chair of the Council has been elected. The current Chair of the Council shall not have an original vote in respect of the election of the new Chair of the Council but shall give a casting vote in the case of an equality of votes.**
- i **In an election year, if the current Chair of the Council has been re-elected as a member of the Council, he/she/they shall preside at the annual meeting until a new Chair of the**

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Council has been elected. He/she/they may exercise an original vote in respect of the election of the new Chair of the Council and shall give a casting vote in the case of an equality of votes.

- j Following the election of the Chair of the Council and Vice-Chair (if there is one) of the Council at the annual meeting, the business shall include:
- i. **In an election year, delivery by the Chair of the Council and councillors of their acceptance of office forms unless the Council resolves for this to be done at a later date. In a year which is not an election year, delivery by the Chair of the Council of his/her/their acceptance of office form unless the Council resolves for this to be done at a later date;**
 - ii. Confirmation of the accuracy of the minutes of the last meeting of the Council;
 - iii. Receipt of the minutes of the last meeting of a committee;
 - iv. Consideration of the recommendations made by a committee;
 - v. Review of delegation arrangements to committees, sub-committees, staff and other local authorities;
 - vi. Review of the terms of reference for committees;
 - vii. Appointment of members to existing committees;
 - viii. Appointment of any new committees in accordance with standing order 4;
 - ix. Review and adoption of appropriate standing orders and financial regulations;
 - x. Review of arrangements (including legal agreements) with other local authorities, not-for-profit bodies and businesses.
 - xi. Review of representation on or work with external bodies and arrangements for reporting back;
 - xii. In an election year, to make arrangements with a view to the Council becoming eligible to exercise the general power of competence in the future;
 - xiii. Review of inventory of land and other assets including buildings and office equipment;
 - xiv. Confirmation of arrangements for insurance cover in respect of all insurable risks;
 - xv. Review of the Council's and/or staff subscriptions to other bodies;
 - xvi. Review of the Council's complaints procedure;
 - xvii. Review of the Council's policies, procedures and practices in respect of its obligations under freedom of information and data protection legislation (*see also standing orders 11, 20 and 21*);

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- xviii. Review of the Council's policy for dealing with the press/media;
- xix. Review of the Council's employment policies and procedures;
- xx. Review of the Council's expenditure incurred under s.137 of the Local Government Act 1972 or the general power of competence.
- xxi. Determining the time and place of ordinary meetings of the Council up to and including the next annual meeting of the Council.

6. EXTRAORDINARY MEETINGS OF THE COUNCIL, COMMITTEES AND SUB-COMMITTEES

- a **The Chair of the Council may convene an extraordinary meeting of the Council at any time.**
- b **If the Chair of the Council does not call an extraordinary meeting of the Council within seven days of having been requested in writing to do so by two councillors, any two councillors may convene an extraordinary meeting of the Council. The public notice giving the time, place and agenda for such a meeting shall be signed by the two councillors.**
- c The chair of a committee [or a sub-committee] may convene an extraordinary meeting of the committee [or the sub-committee] at any time.
- d If the chair of a committee [or a sub-committee] does not call an extraordinary meeting within 7 days of having been requested to do so by 2 members of the committee [or the sub-committee], any 2 members of the committee [or the sub-committee] may convene an extraordinary meeting of the committee [or a sub-committee].

7. PREVIOUS RESOLUTIONS

- a A resolution shall not be reversed within six months except either by a special motion, which requires written notice by at least 3 councillors to be given to the Proper Officer in accordance with standing order 9, or by a motion moved in pursuance of the recommendation of a committee or a sub-committee.
- b When a motion moved pursuant to standing order 7(a) has been disposed of, no similar motion may be moved for a further six months.

8. VOTING ON APPOINTMENTS

- a Where more than two persons have been nominated for a position to be filled by the Council

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and none of those persons has received an absolute majority of votes in their favour, the name of the person having the least number of votes shall be struck off the list and a fresh vote taken. This process shall continue until a majority of votes is given in favour of one person. A tie in votes may be settled by the casting vote exercisable by the chair of the meeting.

9. MOTIONS FOR A MEETING THAT REQUIRE WRITTEN NOTICE TO BE GIVEN TO THE PROPER OFFICER

- a A motion shall relate to the responsibilities of the meeting for which it is tabled and in any event shall relate to the performance of the Council's statutory functions, powers and obligations or an issue which specifically affects the Council's area or its residents.
- b No motion may be moved at a meeting unless it is on the agenda and the mover has given written notice of its wording to the Proper Officer at least (7) clear days before the meeting. Clear days do not include the day of the notice or the day of the meeting.
- c The Proper Officer may, before including a motion on the agenda received in accordance with standing order 9(b), correct obvious grammatical or typographical errors in the wording of the motion.
- d If the Proper Officer considers the wording of a motion received in accordance with standing order 9(b) is not clear in meaning, the motion shall be rejected until the mover of the motion resubmits it, so that it can be understood, in writing, to the Proper Officer at least (5) clear days before the meeting.
- e If the wording or subject of a proposed motion is considered improper, the Proper Officer shall consult with the chair of the forthcoming meeting or, as the case may be, the councillors who have convened the meeting, to consider whether the motion shall be included in the agenda or rejected.
- f The decision of the Proper Officer as to whether or not to include the motion on the agenda shall be final.
- g Motions received shall be recorded and numbered in the order that they are received.
- h Motions rejected shall be recorded with an explanation by the Proper Officer of the reason for rejection.

10. MOTIONS AT A MEETING THAT DO NOT REQUIRE WRITTEN NOTICE

- a The following motions may be moved at a meeting without written notice to the Proper Officer:

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- i. to correct an inaccuracy in the draft minutes of a meeting;
- ii. to move to a vote;
- iii. to defer consideration of a motion;
- iv. to refer a motion to a particular committee or sub-committee;
- v. to appoint a person to preside at a meeting;
- vi. to change the order of business on the agenda;
- vii. to proceed to the next business on the agenda;
- viii. to require a written report;
- ix. to appoint a committee or sub-committee and their members;
- x. to extend the time limits for speaking;
- xi. to exclude the press and public from a meeting in respect of confidential or other information which is prejudicial to the public interest;
- xii. to not hear further from a councillor or a member of the public;
- xiii. to exclude a councillor or member of the public for disorderly conduct;
- xiv. to temporarily suspend the meeting;
- xv. to suspend a particular standing order (unless it reflects mandatory statutory or legal requirements);
- xvi. to adjourn the meeting; or
- xvii. to close the meeting.

11. MANAGEMENT OF INFORMATION

See also standing order 20.

- a **The Council shall have in place and keep under review, technical and organisational measures to keep secure information (including personal data) which it holds in paper and electronic form. Such arrangements shall include deciding who has access to personal data and encryption of personal data.**
- b **The Council shall have in place, and keep under review, policies for the retention and safe destruction of all information (including personal data) which it holds in paper and electronic form. The Council's retention policy shall confirm the period for which**

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information (including personal data) shall be retained or if this is not possible the criteria used to determine that period (e.g. the Limitation Act 1980).

- c The agenda, papers that support the agenda and the minutes of a meeting shall not disclose or otherwise undermine confidential information or personal data without legal justification.
- d Councillors, staff, the Council's contractors and agents shall not disclose confidential information or personal data without legal justification.

12. DRAFT MINUTES

Full Council meetings ●
Committee meetings ●
Sub-committee meetings ●

- a If the draft minutes of a preceding meeting have been served on councillors with the agenda to attend the meeting at which they are due to be approved for accuracy, they shall be taken as read.
- b There shall be no discussion about the draft minutes of a preceding meeting except in relation to their accuracy. A motion to correct an inaccuracy in the draft minutes shall be moved in accordance with standing order 10(a)(i).
- c The accuracy of draft minutes, including any amendment(s) made to them, shall be confirmed by resolution and shall be signed by the chair of the meeting and stand as an accurate record of the meeting to which the minutes relate.
- d If the chair of the meeting does not consider the minutes to be an accurate record of the meeting to which they relate, he/she/they shall sign the minutes and include a paragraph in the following terms or to the same effect:

"The chair of this meeting does not believe that the minutes of the meeting of the () held on [date] in respect of () were a correct record but his/her/their view was not upheld by the meeting and the minutes are confirmed as an accurate record of the proceedings."

- e **If the Council's gross annual income or expenditure (whichever is higher) does not exceed £25,000, it shall publish draft minutes on a website which is publicly accessible and free of charge not later than one month after the meeting has taken place.**
-
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- f Subject to the publication of draft minutes in accordance with standing order 12(e) and standing order 20(a) and following a resolution which confirms the

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accuracy of the minutes of a meeting, the draft minutes or recordings of the meeting for which approved minutes exist shall be destroyed.

13. CODE OF CONDUCT AND DISPENSATIONS

See also standing order 3(u).

- a All councillors and non-councillors with voting rights shall observe the code of conduct adopted by the Council.
- b Unless he/she/they has been granted a dispensation, a councillor or non-councillor with voting rights shall withdraw from a meeting when it is considering a matter in which he/she/they has a disclosable pecuniary interest. He/she/they may return to the meeting after it has considered the matter in which he/she/they had the interest.
- c Unless he/she/they has been granted a dispensation, a councillor or non-councillor with voting rights shall withdraw from a meeting when it is considering a matter in which he/she/they has another interest if so required by the Council's code of conduct. He/she/they may return to the meeting after it has considered the matter in which he/she/they had the interest.
- d **Dispensation requests shall be in writing and submitted to the Proper Officer** as soon as possible before the meeting, or failing that, at the start of the meeting for which the dispensation is required.
- e A decision as to whether to grant a dispensation shall be made by a meeting of the Council, or committee or sub-committee for which the dispensation is required and that decision is final.
- f A dispensation request shall confirm:
 - i. the description and the nature of the disclosable pecuniary interest or other interest to which the request for the dispensation relates;
 - ii. whether the dispensation is required to participate at a meeting in a discussion only or a discussion and a vote;
 - iii. the date of the meeting or the period (not exceeding four years) for which the dispensation is sought; and
 - iv. an explanation as to why the dispensation is sought.
- g Subject to standing orders 13(d) and (f), a dispensation request shall be considered at the beginning of the meeting of the Council, or committee or sub-committee for which the dispensation is required].
- h **A dispensation may be granted in accordance with standing order 13(e) if having regard to all relevant circumstances any of the following apply:**

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- i. **without the dispensation the number of persons prohibited from participating in the particular business would be so great a proportion of the meeting transacting the business as to impede the transaction of the business;**
- ii. **granting the dispensation is in the interests of persons living in the Council's area;
or**
- iii. **it is otherwise appropriate to grant a dispensation.**

14. CODE OF CONDUCT COMPLAINTS

- a Upon notification by the District or Unitary Council that it is dealing with a complaint that a councillor or non-councillor with voting rights has breached the Council's code of conduct, the Proper Officer shall, subject to standing order 11, report this to the Council.
- b Where the notification in standing order 14(a) relates to a complaint made by the Proper Officer, the Proper Officer shall notify the Chair of Council of this fact, and the Chair shall nominate another staff member to assume the duties of the Proper Officer in relation to the complaint until it has been determined and the Council has agreed what action, if any, to take in accordance with standing order 14(d).
- c The Council may:
 - i. provide information or evidence where such disclosure is necessary to investigate the complaint or is a legal requirement;
 - ii. seek information relevant to the complaint from the person or body with statutory responsibility for investigation of the matter;
- d **Upon notification by the District or Unitary Council that a councillor or non-councillor with voting rights has breached the Council's code of conduct, the Council shall consider what, if any, action to take against him/her/they. Such action excludes disqualification or suspension from office.**

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15. PROPER OFFICER

- a The Proper Officer shall be either (i) the clerk or (ii) other staff member(s) nominated by the Council to undertake the work of the Proper Officer when the Proper Officer is absent.
- b The Proper Officer shall:
 - i. **at least three clear days before a meeting of the council, a committee or a sub-committee,**
 - **serve on councillors by delivery or post at their residences or by email authenticated in such manner as the Proper Officer thinks fit, a signed summons confirming the time, place and the agenda (provided the councillor has consented to service by email), and**
 - **Provide, in a conspicuous place, public notice of the time, place and agenda (provided that the public notice with agenda of an extraordinary meeting of the Council convened by councillors is signed by them).**

See standing order 3(b) for the meaning of clear days for a meeting of a full council and standing order 3(c) for the meaning of clear days for a meeting of a committee;

- ii. subject to standing order 9, include on the agenda all motions in the order received unless a councillor has given written notice at least 5 days before the meeting confirming his/her/their withdrawal of it;
- iii. **convene a meeting of the Council for the election of a new Chair of the Council, occasioned by a casual vacancy in his/her/their office;**
- iv. **facilitate inspection of the minute book by local government electors;**
- v. **receive and retain copies of byelaws made by other local authorities;**
- vi. hold acceptance of office forms from councillors;
- vii. hold a copy of every councillor's register of interests;
- viii. assist with responding to requests made under freedom of information legislation and rights exercisable under data protection legislation, in accordance with the Council's relevant policies and procedures;
- ix. liaise, as appropriate, with the Council's Data Protection Officer (if there is one);
- x. receive and send general correspondence and notices on behalf of the Council except where there is a resolution to the contrary;
- xi. assist in the organisation of, storage of, access to, security of and destruction of information held by the Council in paper and electronic form subject to the requirements of data protection and freedom of information legislation and other legitimate

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requirements (e.g. the Limitation Act 1980);

- xii. arrange for legal deeds to be executed;
(see also standing order 23);
- xiii. arrange or manage the prompt authorisation, approval, and instruction regarding any payments to be made by the Council in accordance with its financial regulations;
- xiv. record every planning application notified to the Council and the Council's response to the local planning authority in a book for such purpose;
- xv. refer a planning application received by the Council to the Chair or in his/her/their absence the Vice-Chair (if there is one) of the Council within two working days of receipt to facilitate an extraordinary meeting if the nature of a planning application requires consideration before the next ordinary meeting of the Council;
- xvi. manage access to information about the Council via the publication scheme; and
- xvii. retain custody of the seal of the Council (if there is one) which shall not be used without a resolution to that effect.
(see also standing order 23).

16. RESPONSIBLE FINANCIAL OFFICER

- a The Council shall appoint appropriate staff member(s) to undertake the work of the Responsible Financial Officer when the Responsible Financial Officer is absent.

17. ACCOUNTS AND ACCOUNTING STATEMENTS

- a "Proper practices" in standing orders refer to the most recent version of "Governance and Accountability for Local Councils – a Practitioners' Guide".
- b All payments by the Council shall be authorised, approved and paid in accordance with the law, proper practices and the Council's financial regulations.
- c The Responsible Financial Officer shall supply to each councillor as soon as practicable after 30 June, 30 September and 31 December in each year a statement to summarise:
 - i. the Council's receipts and payments (or income and expenditure) for each quarter;
 - ii. the Council's aggregate receipts and payments (or income and expenditure) for the year to date;
 - iii. the balances held at the end of the quarter being reported andwhich includes a comparison with the budget for the financial year and highlights any actual or

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potential overspends.

- d As soon as possible after the financial year end at 31 March, the Responsible Financial Officer shall provide:
 - i. each councillor with a statement summarising the Council's receipts and payments (or income and expenditure) for the last quarter and the year to date for information; and
 - ii. to the Council the accounting statements for the year in the form of Section 2 of the annual governance and accountability return, as required by proper practices, for consideration and approval.
- e The year-end accounting statements shall be prepared in accordance with proper practices and apply the form of accounts determined by the Council (receipts and payments, or income and expenditure) for the year to 31 March. A completed draft annual governance and accountability return shall be presented to all councillors at least 14 days prior to anticipated approval by the Council. The annual governance and accountability return of the Council, which is subject to external audit, including the annual governance statement, shall be presented to the Council for consideration and formal approval before 30 June.

18. FINANCIAL CONTROLS AND PROCUREMENT

- a. The Council shall consider and approve financial regulations drawn up by the Responsible Financial Officer, which shall include detailed arrangements in respect of the following:
 - i. the keeping of accounting records and systems of internal controls;
 - ii. the assessment and management of financial risks faced by the Council;
 - iii. the work of the independent internal auditor in accordance with proper practices and the receipt of regular reports from the internal auditor, which shall be required at least annually;
 - iv. the inspection and copying by councillors and local electors of the Council's accounts and/or orders of payments; and
 - v. whether contracts with an estimated value below **£25,000** due to special circumstances are exempt from a tendering process or procurement exercise.
- b. Financial regulations shall be reviewed regularly and at least annually for fitness of purpose.
- c. **A public contract regulated by the Public Contracts Regulations 2015 with an estimated value in excess of £25,000 but less than the relevant thresholds referred to in standing order 18(f) is subject to the "light touch" arrangements under Regulations 109-114 of the Public Contracts Regulations 2015 unless it proposes to use an existing list of approved suppliers (framework agreement).**

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- d. Subject to additional requirements in the financial regulations of the Council, the tender process for contracts for the supply of goods, materials, services or the execution of works shall include, as a minimum, the following steps:
 - i. a specification for the goods, materials, services or the execution of works shall be drawn up;
 - ii. an invitation to tender shall be drawn up to confirm (i) the Council's specification (ii) the time, date and address for the submission of tenders (iii) the date of the Council's written response to the tender and (iv) the prohibition on prospective contractors contacting councillors or staff to encourage or support their tender outside the prescribed process;
 - iii. the invitation to tender shall be advertised in a local newspaper and in any other manner that is appropriate;
 - iv. tenders are to be submitted in writing in a sealed marked envelope addressed to the Proper Officer;
 - v. tenders shall be opened by the Proper Officer in the presence of at least one councillor after the deadline for submission of tenders has passed;
 - vi. tenders are to be reported to and considered by the appropriate meeting of the Council or a committee or sub-committee with delegated responsibility.
- e. Neither the Council, nor a committee or a sub-committee with delegated responsibility for considering tenders, is bound to accept the lowest value tender.
- f. **Where the value of a contract is likely to exceed the threshold specified by the Office of Government Commerce from time to time, the Council must consider whether the Public Contracts Regulations 2015 or the Utilities Contracts Regulations 2016 apply to the contract and, if either of those Regulations apply, the Council must comply with procurement rules. NALC's procurement guidance contains further details.**

19. HANDLING STAFF MATTERS

- a. A matter personal to a member of staff that is being considered by a meeting of Council is subject to standing order 11.
- b. Subject to the Council's policy regarding absences from work, the Council's most senior member of staff shall notify the HR representative or, if he/she/they is not available, the chair of absence occasioned by illness or other reason and that person shall report such absence to the council at its next meeting.
- c. The HR representative or in his/her/their absence, the chair of the Council shall upon a resolution conduct a review of the performance and annual appraisal of the work of the Proper Officer. The reviews and appraisal shall be reported in writing and are subject to approval by

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the chair of the Council.

- d Subject to the Council's policy regarding the handling of grievance matters, the Council's most senior member of staff (or other members of staff) shall contact the HR representative or in his/her/their absence, the chair of the Council in respect of an informal or formal grievance matter, and this matter shall be reported back and progressed by resolution of the Council.
- e Subject to the Council's policy regarding the handling of grievance matters, if an informal or formal grievance matter raised by the Proper Officer relates to the HR representative, this shall be communicated to another member of the Council, which shall be reported back and progressed by resolution of the Council.
- f Any persons responsible for all or part of the management of staff shall treat as confidential the written records of all meetings relating to their performance, capabilities, grievance or disciplinary matters.
- g In accordance with standing order 11(a), persons with line management responsibilities shall have access to staff records referred to in standing order 19(f).

20. RESPONSIBILITIES TO PROVIDE INFORMATION

See also standing order 21.

- a **In accordance with freedom of information legislation, the Council shall publish information in accordance with its publication scheme and respond to requests for information held by the Council.**
- b. *[If gross annual income or expenditure (whichever is higher) does not exceed £25,000]* **The Council shall publish information in accordance with the requirements of the Smaller Authorities (Transparency Requirements) (England) Regulations 2015.**

OR

[If gross annual income or expenditure (whichever is the higher) exceeds £200,000] **The Council, shall publish information in accordance with the requirements of the Local Government (Transparency Requirements) (England) Regulations 2015.**

21. RESPONSIBILITIES UNDER DATA PROTECTION LEGISLATION

(Below is not an exclusive list).

See also standing order 11.

- a The Council may appoint a Data Protection Officer.

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- b **The Council shall have policies and procedures in place to respond to an individual exercising statutory rights concerning his/her/their personal data.**
- c **The Council shall have a written policy in place for responding to and managing a personal data breach.**
- d **The Council shall keep a record of all personal data breaches comprising the facts relating to the personal data breach, its effects and the remedial action taken.**
- e **The Council shall ensure that information communicated in its privacy notice(s) is in an easily accessible and available form and kept up to date.**
- f **The Council shall maintain a written record of its processing activities.**

22. RELATIONS WITH THE PRESS/MEDIA

- a Requests from the press or other media for an oral or written comment or statement from the Council, its councillors or staff shall be handled in accordance with the Council's policy in respect of dealing with the press and/or other media.

23. EXECUTION AND SEALING OF LEGAL DEEDS

See also standing orders 15(b)(xii) and (xvii).

- a A legal deed shall not be executed on behalf of the Council unless authorised by a resolution.
- b **Subject to standing order 23(a), any two councillors may sign, on behalf of the Council, any deed required by law and the Proper Officer shall witness their signatures.**

The above is applicable to a Council without a common seal.

24. COMMUNICATING WITH DISTRICT AND COUNTY OR UNITARY COUNCILLORS

- a An invitation to attend a meeting of the Council shall be sent, together with the agenda, to the ward councillor(s) of the District and County Council OR Unitary Council representing the area of the Council.
- b Unless the Council determines otherwise, a copy of each letter sent to the District and County Council OR Unitary Council shall be sent to the ward councillor(s) representing the area of the Council.

ASH PARISH COUNCIL

Clerk: Zannette Bougourd, 9 Redgate Park, Crewkerne.

Tel: 01935 571050

E-mail: clerk@ashpcsomerset.com

25. RESTRICTIONS ON COUNCILLOR ACTIVITIES

- a. Unless duly authorised no councillor shall:
 - i. inspect any land and/or premises which the Council has a right or duty to inspect; or
 - ii. issue orders, instructions or directions.

26. STANDING ORDERS GENERALLY

- a All or part of a standing order, except one that incorporates mandatory statutory or legal requirements, may be suspended by resolution in relation to the consideration of an item on the agenda for a meeting.
- b A motion to add to or vary or revoke one or more of the Council's standing orders, except one that incorporates mandatory statutory or legal requirements, shall be proposed by a special motion, the written notice by at least 3 councillors to be given to the Proper Officer in accordance with standing order 9.
- c The Proper Officer shall provide a copy of the Council's standing orders to a councillor as soon as possible.
- d The decision of the chair of a meeting as to the application of standing orders at the meeting shall be final.

ASH PARISH COUNCIL FINANCIAL REGULATIONS as amended March 2025

Adopted May 2025

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These Financial Regulations were adopted by the council at its meeting held on 06th May 2025.

1. General

- 1.1. These Financial Regulations govern the financial management of the council and may only be amended or varied by resolution of the council. They are one of the council's governing documents and shall be observed in conjunction with the council's Standing Orders.
- 1.2. Councillors are expected to follow these regulations and not to entice employees to breach them. Failure to follow these regulations brings the office of councillor into disrepute.
- 1.3. Wilful breach of these regulations by an employee may result in disciplinary proceedings.
- 1.4. In these Financial Regulations:
 - 'Accounts and Audit Regulations' means the regulations issued under Sections 32, 43(2) and 46 of the Local Audit and Accountability Act 2014, or any superseding legislation, and then in force, unless otherwise specified.
 - "Approve" refers to an online action, allowing an electronic transaction to take place.
 - "Authorise" refers to a decision by the council, or a committee or an officer, to allow something to happen.
 - 'Proper practices' means those set out in *The Practitioners' Guide*
 - *Practitioners' Guide* refers to the guide issued by the Joint Panel on Accountability and Governance (JPAG) and published by NALC in England or Governance and Accountability for Local Councils in Wales – A Practitioners Guide jointly published by One Voice Wales and the Society of Local Council Clerks in Wales.
 - 'Must' and **bold text** refer to a statutory obligation the council cannot change.
 - 'Shall' refers to a non-statutory instruction by the council to its members and staff.
- 1.5. The Clerk has been appointed as RFO and these regulations apply accordingly. The RFO;
 - acts under the policy direction of the council;
 - administers the council's financial affairs in accordance with all Acts, Regulations and proper practices;
 - determines on behalf of the council its accounting records and control systems;
 - ensures the accounting control systems are observed;
 - ensures the accounting records are kept up to date;

- seeks economy, efficiency and effectiveness in the use of council resources; and
- produces financial management information as required by the council.

1.6. The council must not delegate any decision regarding:

- **setting the final budget or the precept (council tax requirement);**
- **the outcome of a review of the effectiveness of its internal controls**
- **approving accounting statements;**
- **approving an annual governance statement;**
- **borrowing;**
- **declaring eligibility for the General Power of Competence; and**
- **addressing recommendations from the internal or external auditors**

1.7. In addition, the council shall:

- determine and regularly review the bank mandate for all council bank accounts;
- authorise any grant or single commitment in excess of £5,000.00

2. Risk management and internal control

2.1. The council must ensure that it has a sound system of internal control, which delivers effective financial, operational and risk management.

2.2. The Clerk/RFO shall prepare, for approval by Ash Parish Council [the council], a risk management policy covering all activities of the council. This policy and consequential risk management arrangements shall be reviewed by the council at least annually.

2.3. When considering any new activity, the Clerk/RFO shall prepare a draft risk assessment including risk management proposals for consideration by the council.

2.4. At least once a year, the council must review the effectiveness of its system of internal control, before approving the Annual Governance Statement.

2.5. The accounting control systems determined by the RFO must include measures to:

- **ensure that risk is appropriately managed;**
- **ensure the prompt, accurate recording of financial transactions;**
- **prevent and detect inaccuracy or fraud; and**
- **allow the reconstitution of any lost records;**

- **identify the duties of officers dealing with transactions and**
 - **ensure division of responsibilities.**
- 2.6. At every meeting , and at each financial year end, a member other than the Chair shall be appointed to verify bank reconciliations (for all accounts) produced by the RFO. The member shall sign and date the reconciliations and the original bank statements (or similar document) as evidence of this. This activity, including any exceptions, shall be reported to and noted by the council.
- 2.7. Regular back-up copies shall be made of the records on any council computer and stored either online or in a separate location from the computer. The council shall put measures in place to ensure that the ability to access any council computer is not lost if an employee leaves or is incapacitated for any reason.

3. Accounts and audit

- 3.1. All accounting procedures and financial records of the council shall be determined by the RFO in accordance with the Accounts and Audit Regulations.
- 3.2. **The accounting records determined by the RFO must be sufficient to explain the council's transactions and to disclose its financial position with reasonably accuracy at any time. In particular, they must contain:**
- **day-to-day entries of all sums of money received and expended by the council and the matters to which they relate;**
 - **a record of the assets and liabilities of the council;**
- 3.3. The accounting records shall be designed to facilitate the efficient preparation of the accounting statements in the Annual Governance and Accountability Return.
- 3.4. The RFO shall complete and certify the annual Accounting Statements of the council contained in the Annual Governance and Accountability Return in accordance with proper practices, as soon as practicable after the end of the financial year. Having certified the Accounting Statements, the RFO shall submit them (with any related documents) to the council, within the timescales required by the Accounts and Audit Regulations.
- 3.5. **The council must ensure that there is an adequate and effective system of internal audit of its accounting records and internal control system in accordance with proper practices.**
- 3.6. **Any officer or member of the council must make available such documents and records as the internal or external auditor consider necessary for the purpose of the audit** and shall, as directed by the council, supply the RFO, internal auditor, or

external auditor with such information and explanation as the council considers necessary.

3.7. The internal auditor shall be appointed by Ash Parish Council [the council] and shall carry out their work to evaluate the effectiveness of the council's risk management, control and governance processes in accordance with proper practices specified in the Practitioners' Guide.

3.8. The council shall ensure that the internal auditor:

- is competent and independent of the financial operations of the council;
- reports to council in writing, or in person, on a regular basis with a minimum of one written report during each financial year;
- can demonstrate competence, objectivity and independence, free from any actual or perceived conflicts of interest, including those arising from family relationships; and
- has no involvement in the management or control of the council

3.9. Internal or external auditors may not under any circumstances:

- perform any operational duties for the council;
- initiate or approve accounting transactions;
- provide financial, legal or other advice including in relation to any future transactions; or
- direct the activities of any council employee, except to the extent that such employees have been appropriately assigned to assist the internal auditor.

3.10. For the avoidance of doubt, in relation to internal audit the terms 'independent' and 'independence' shall have the same meaning as described in The Practitioners Guide.

3.11. The RFO shall make arrangements for the exercise of electors' rights in relation to the accounts, including the opportunity to inspect the accounts, books, and vouchers and display or publish any notices and documents required by the Local Audit and Accountability Act 2014, or any superseding legislation, and the Accounts and Audit Regulations.

3.12. The RFO shall, without undue delay, bring to the attention of all councillors any correspondence or report from internal or external auditors.

4. Budget and precept

4.1. **Before setting a precept, the council must calculate its [council tax (England)/budget (Wales)] requirement for each financial year by preparing and**

approving a budget, in accordance with The Local Government Finance Act 1992 or succeeding legislation.

- 4.2. Budgets for salaries and wages, including employer contributions shall be reviewed by Ash Parish Council [the council] at least annually in December for the following financial year and the final version shall be evidenced by a hard copy schedule signed by the Clerk and the Chair of the Council . {The RFO will inform committees of any salary implications before they consider their draft budgets.}
- 4.3. No later than December each year, the RFO shall prepare a draft budget with detailed estimates of all [receipts and payments/income and expenditure] for the following financial year along with a forecast for the following [three financial years]], taking account of the lifespan of assets and cost implications of repair or replacement.
- 4.4. Unspent budgets for completed projects shall not be carried forward to a subsequent year. {Unspent funds for partially completed projects may only be carried forward (by placing them in an earmarked reserve) with the formal approval of the full council.}
- 4.5. The draft budget {with any committee proposals and [three-year]} forecast, including any recommendations for the use or accumulation of reserves, shall be considered by the {finance committee and a recommendation made to the} council.
- 4.6. Having considered the proposed budget and [three-year] forecast, the council shall determine its [council tax (England)/budget (Wales)] requirement by setting a budget. The council shall set a precept for this amount no later than the end of January or date advised by Somerset Council for the ensuing financial year.
- 4.7. **Any member with council tax unpaid for more than two months is prohibited from voting on the budget or precept by Section 106 of the Local Government Finance Act 1992 and must disclose at the start of the meeting that Section 106 applies to them.**
- 4.8. The RFO shall **issue the precept to the billing authority no later than the end of February or date advised by Somerset Council** and supply each member with a copy of the agreed annual budget.
- 4.9. The agreed budget provides a basis for monitoring progress during the year by comparing actual spending and income against what was planned.
- 4.10. Any addition to, or withdrawal from, any earmarked reserve shall be agreed by the council

5. Procurement

- 5.1. **Members and officers are responsible for obtaining value for money at all times.**
Any officer procuring goods, services or works should ensure, as far as practicable, that the best available terms are obtained, usually by obtaining prices from several suppliers.
- 5.2. The RFO should verify the lawful nature of any proposed purchase before it is made and in the case of new or infrequent purchases, should ensure that the legal power being used is reported to the meeting at which the order is authorised and also recorded in the minutes.
- 5.3. Every contract shall comply with the council's Standing Orders and these Financial Regulations and no exceptions shall be made, except in an emergency.
- 5.4. **For a contract for the supply of goods, services or works where the estimated value will exceed the thresholds set by Parliament, the full requirements of The Procurement Act 2023 and The Procurement Regulations 2024 or any superseding legislation ("the Legislation"), must be followed in respect of the tendering, award and notification of that contract.**
- 5.5. Where the estimated value is below the Government threshold, the council shall (with the exception of items listed in paragraph 5.12) obtain prices as follows:
- 5.6. For contracts estimated to exceed [£60,000] including VAT, the Clerk/RFO shall {seek formal tenders from at least [three] suppliers agreed by [the council]}
Tenders shall be invited in accordance with Appendix 1.
- 5.7. **For contracts estimated to be over £30,000 including VAT, the council must comply with any requirements of the Legislation regarding the publication of invitations and notices.**
- 5.8. For contracts greater than [£3,000] excluding VAT the Clerk/ RFO shall seek at least [2] fixed-price quotes;
- 5.9. where the value is between [£500] and [£3,000] excluding VAT, the Clerk/RFO shall try to obtain 2 estimates {which might include evidence of online prices, or recent prices from regular suppliers}.
- 5.10. For smaller purchases, the clerk shall seek to achieve value for money.
- 5.11. **Contracts must not be split to avoid compliance with these rules.**
- 5.12. The requirement to obtain competitive prices in these regulations need not apply to contracts that relate to items (i) to (iv) below:
- i. specialist services, such as legal professionals acting in disputes;
 - ii. repairs to, or parts for, existing machinery or equipment;
 - iii. works, goods or services that constitute an extension of an existing contract;

- iv. goods or services that are only available from one supplier or are sold at a fixed price.

5.13. When applications are made to waive this financial regulation to enable a price to be negotiated without competition, the reason should be set out in a recommendation to the council {or relevant committee}. Avoidance of competition is not a valid reason.

5.14. The council shall not be obliged to accept the lowest or any tender, quote or estimate.

5.15. Individual purchases within an agreed budget for that type of expenditure may be authorised by:

- the Clerk, under delegated authority, for any items below £500 excluding VAT.
- the Clerk, in consultation with the Chair of the Council, for any items below £2,000 excluding VAT.
- {in respect of grants, a duly authorised committee within any limits set by council and in accordance with any policy statement agreed by the council.}
- the council for all items over [£5,000];

Such authorisation must be supported by a minute (in the case of council or committee decisions) or other auditable evidence trail.

5.16. No individual member, or informal group of members may issue an official order {unless instructed to do so in advance by a resolution of the council} or make any contract on behalf of the council.

5.17. No expenditure may be authorised that will exceed the budget for that type of expenditure other than by resolution of the council {or a duly delegated committee acting within its Terms of Reference} except in an emergency.

5.18. In cases of serious risk to the delivery of council services or to public safety on council premises, the clerk may authorise expenditure of up to £2,000.00 excluding VAT on repair, replacement or other work that in their judgement is necessary, whether or not there is any budget for such expenditure. The Clerk shall report such action to the Chair as soon as possible and to Ash Parish Council [the council] as soon as practicable thereafter.

5.19. No expenditure shall be authorised, no contract entered into or tender accepted in relation to any major project, unless [the council] is satisfied that the necessary funds are available and that where a loan is required, Government borrowing approval has been obtained first.

5.20. An official order or letter shall be issued for all work, goods and services above £250 excluding VAT} unless a formal contract is to be prepared, or an official order

would be inappropriate. Copies of orders shall be retained, along with evidence of receipt of goods.

- 5.21. Any ordering system can be misused and access to them shall be controlled by the RFO

6. Banking and payments

- 6.1. The council's banking arrangements, including the bank mandate, shall be made by the RFO and authorised by the council; banking arrangements shall not be delegated to a committee. The council has resolved to bank with Lloyds Bank plc. The arrangements shall be reviewed annually for security and efficiency.
- 6.2. The council must have safe and efficient arrangements for making payments, to safeguard against the possibility of fraud or error. Wherever possible, more than one person should be involved in any payment, for example by dual online authorisation or dual cheque signing. Even where a purchase has been authorised, the payment must also be authorised and only authorised payments shall be approved or signed to allow the funds to leave the council's bank.
- 6.3. All invoices for payment should be examined for arithmetical accuracy, analysed to the appropriate expenditure heading and verified to confirm that the work, goods or services were received, checked and represent expenditure previously authorised by the council before being certified by the RFO. {Where the certification of invoices is done as a batch, this shall include a statement by the RFO that all invoices listed have been 'examined, verified and certified' by the RFO}.
- 6.4. Personal payments (including salaries, wages, expenses and any payment made in relation to the termination of employment) may be summarised to avoid disclosing any personal information.
- 6.5. All payments shall be made by online banking, in accordance with a resolution of the council or a delegated decision by an officer, unless Ash Parish Council [the council] resolves to use a different payment method.
- 6.6. {For each financial year the RFO may draw up a schedule of regular payments due in relation to a continuing contract or obligation (such as Salaries, PAYE, National Insurance, pension contributions, rent, rates, regular maintenance contracts and similar items), which the council may authorise in advance for the year}.
- 6.7. {A copy of this schedule of regular payments shall be signed by two members on each and every occasion when payment is made - to reduce the risk of duplicate payments.}
- 6.8. A list of such payments shall be reported to the next appropriate meeting of the council for information only.

6.9. The Clerk/ RFO shall have delegated authority to authorise payments {only} in the following circumstances:

- i. {any payments of up to £500.00 excluding VAT, within an agreed budget}.
- ii. payments of up to £2,000.00 excluding VAT in cases of serious risk to the delivery of council services or to public safety on council premises.
- iii. any payment necessary to avoid a charge under the Late Payment of Commercial Debts (Interest) Act 1998 {or to comply with contractual terms}, where the due date for payment is before the next scheduled meeting of [the council], where the Clerk and RFO certify that there is no dispute or other reason to delay payment, provided that a list of such payments shall be submitted to the next appropriate meeting of council .
- iv. Fund transfers within the councils banking arrangements up to the sum of £5,000, provided that a list of such payments shall be submitted to the next appropriate meeting of council

6.10. The RFO shall present a schedule of payments requiring authorisation, forming part of the agenda for the meeting, together with the relevant invoices, to the council. The council shall review the schedule for compliance and, having satisfied itself, shall authorise payment by resolution. The authorised schedule shall be initialled immediately below the last item by the person chairing the meeting. A detailed list of all payments shall be disclosed within or as an attachment to the minutes of that meeting.

7. Electronic payments

- 7.1. Where internet banking arrangements are made with any bank, the RFO shall be appointed as the Service Administrator. The bank mandate agreed by the council shall identify a number of councillors who will be authorised to approve transactions on those accounts and a minimum of two people will be involved in any online approval process. The Clerk may be an authorised signatory, but no signatory should be involved in approving any payment to themselves.
- 7.2. All authorised signatories shall have access to view the council's bank accounts online.
- 7.3. No employee or councillor shall disclose any PIN or password, relevant to the council or its banking, to anyone not authorised in writing by the council or a duly delegated committee.
- 7.4. The Service Administrator shall set up all items due for payment online. A list of payments for approval, together with copies of the relevant invoices, shall be sent by email to two authorised signatories.

- 7.5. In the prolonged absence of the Service Administrator an authorised signatory shall set up any payments due before the return of the Service Administrator.
- 7.6. Two councillors who are authorised signatories shall check the payment details against the invoices before approving each payment using the online banking system.
- 7.7. Evidence shall be retained showing which members approved the payment online and a printout of the transaction confirming that the payment has been made shall be appended to the invoice for audit purposes.
- 7.8. A full list of all payments made in a month shall be provided to the next council meeting {and appended to the minutes}.
- 7.9. With the approval of Ash Parish Council [the council] in each case, regular payments (such as gas, electricity, telephone, broadband, water, National Non-Domestic Rates, refuse collection, pension contributions and HMRC payments) may be made by variable direct debit, provided that the instructions are approved online by two authorised members. The approval of the use of each variable direct debit shall be reviewed by Ash Parish Council [the council] at least every two years.
- 7.10. Payment may be made by BACS or CHAPS by resolution of Ash Parish Council [the council] provided that each payment is approved online by two authorised bank signatories, evidence is retained and any payments are reported to Ash Parish Council [the council] at the next meeting. The approval of the use of BACS or CHAPS shall be renewed by resolution of the council at least every two years.
- 7.11. If thought appropriate by the council, regular payments of fixed sums may be made by banker's standing order, provided that the instructions are signed or approved online by two members, evidence of this is retained and any payments are reported to council when made. The approval of the use of a banker's standing order shall be reviewed by Ash Parish Council [the council] at least every two years.
- 7.12. Account details for suppliers may only be changed upon written notification by the supplier verified by the RFO & a Councillor. This is a potential area for fraud and the individuals involved should ensure that any change is genuine. Data held should be checked with suppliers every two years.
- 7.13. Members and officers shall ensure that any computer used for the council's financial business has adequate security, with anti-virus, anti-spyware and firewall software installed and regularly updated.
- 7.14. Remembered password facilities {other than secure password stores requiring separate identity verification} should not be used on any computer used for council banking.

8. Cheque payments

9. Payment cards

- 9.1. Any Debit Card issued for use will be specifically restricted to the Clerk/ RFO and will also be restricted to a single transaction maximum value of £500 unless authorised by council in writing before any order is placed.
- 9.2. Personal credit or debit cards of members or staff shall not be used except for expenses of up to £250 including VAT, incurred in accordance with council policy.

10. Payment of salaries and allowances

- 10.1. **As an employer, the council must make arrangements to comply with the statutory requirements of PAYE legislation.**
- 10.2. **Councillors allowances (where paid) are also liable to deduction of tax under PAYE rules and must be taxed correctly before payment.**
- 10.3. Salary rates shall be agreed by the council, or a duly delegated committee. No changes shall be made to any employee's gross pay, emoluments, or terms and conditions of employment without the prior consent of the council
- 10.4. Payment of salaries shall be made, after deduction of tax, national insurance, pension contributions and any similar statutory or discretionary deductions, on the dates stipulated in employment contracts.
- 10.5. Deductions from salary shall be paid to the relevant bodies within the required timescales, provided that each payment is reported, as set out in these regulations above.
- 10.6. Each payment to employees of net salary and to the appropriate creditor of the statutory and discretionary deductions shall be recorded in a payroll control account or other separate confidential record, with the total of such payments each calendar month reported in the cashbook. Payroll reports will be reviewed by the Council to ensure that the correct payments have been made.
- 10.7. Any termination payments shall be supported by a report to the council, setting out a clear business case. Termination payments shall only be authorised by the full council.
- 10.8. Before employing interim staff, the council must consider a full business case.

11. Loans and investments

- 11.1. Any application for Government approval to borrow money and subsequent arrangements for a loan must be authorised by the full council and recorded in the minutes. All borrowing shall be in the name of the council, after obtaining any necessary approval.

- 11.2. Any financial arrangement which does not require formal borrowing approval from the Secretary of State (such as Hire Purchase, Leasing of tangible assets or loans to be repaid within the financial year) must be authorised by the full council, following a written report on the value for money of the proposed transaction.
- 11.3. The council shall consider the requirement for an Investment Strategy and Policy in accordance with Statutory Guidance on Local Government Investments, which must be written in accordance with relevant regulations, proper practices and guidance. Any Strategy and Policy shall be reviewed by the council at least annually.
- 11.4. All investment of money under the control of the council shall be in the name of the council.
- 11.5. All investment certificates and other documents relating thereto shall be retained in the custody of the RFO.
- 11.6. Payments in respect of short term or long-term investments, including transfers between bank accounts held in the same bank, shall be made in accordance with these regulations.

12.

13. Income

- 13.1. The collection of all sums due to the council shall be the responsibility of and under the supervision of the RFO.
- 13.2. The council will review all fees and charges for work done, services provided, or goods sold at least annually as part of the budget-setting process, following a report of the Clerk. The RFO shall be responsible for the collection of all amounts due to the council.
- 13.3. Any sums found to be irrecoverable and any bad debts shall be reported to the council by the RFO and shall be written off in the year. The council's approval shall be shown in the accounting records.
- 13.4. All sums received on behalf of the council shall be deposited intact with the council's bankers, with such frequency as the RFO considers necessary. The origin of each receipt shall clearly be recorded on the paying-in slip or other record.
- 13.5. Personal cheques shall not be cashed out of money held on behalf of the council.
- 13.6. Any repayment claim under section 33 of the VAT Act 1994 shall be made at least annually at the end of the financial year.
- 13.7. {Where significant sums of cash are regularly received by the council, the RFO shall ensure that more than one person is present when the cash is counted in the first

instance, that there is a reconciliation to some form of control record such as ticket issues, and that appropriate care is taken for the security and safety of individuals banking such cash.}

- 13.8. {Any income that is the property of a charitable trust shall be paid into a charitable bank account. Instructions for the payment of funds due from the charitable trust to the council (to meet expenditure already incurred by the authority) will be given by the Managing Trustees of the charity meeting separately from any council meeting.}

14. Payments under contracts for building or other construction works

- 14.1. Where contracts provide for payment by instalments the RFO shall maintain a record of all such payments, which shall be made within the time specified in the contract based on signed certificates from the architect or other consultant engaged to supervise the works.
- 14.2. Any variation of, addition to or omission from a contract must be authorised by [the Clerk] to the contractor in writing, with the council being informed where the final cost is likely to exceed the contract sum by 5% or more, or likely to exceed the budget available.

15. Stores and equipment

- 15.1. {[The officer in charge of each section] shall be responsible for the care and custody of stores and equipment [in that section].}
- 15.2. Delivery notes shall be obtained in respect of all goods received into store or otherwise delivered and goods must be checked as to order and quality at the time delivery is made.
- 15.3. {Stocks shall be kept at the minimum levels consistent with operational requirements.}
- 15.4. {The RFO shall be responsible for periodic checks of stocks and stores, at least annually.}

16. Assets, properties and estates

- 16.1. The Clerk shall make arrangements for the safe custody of all title deeds and Land Registry Certificates of properties held by the council.
- 16.2. The RFO shall ensure that an appropriate and accurate Register of Assets and Investments is kept up to date, with a record of all properties held by the council, their location, extent, plan, reference, purchase details, nature of the interest, tenancies granted, rents payable and purpose for which held, in accordance with Accounts and Audit Regulations.

16.3. The continued existence of tangible assets shown in the Register shall be verified at least annually, possibly in conjunction with a health and safety inspection of assets.

16.4. No interest in land shall be purchased or otherwise acquired, sold, leased or otherwise disposed of without the authority of the council, together with any other consents required by law. In each case a written report shall be provided to council in respect of valuation and surveyed condition of the property (including matters such as planning permissions and covenants) together with a proper business case (including an adequate level of consultation with the electorate where required by law).

16.5. No tangible moveable property shall be purchased or otherwise acquired, sold, leased or otherwise disposed of, without the authority of the council, together with any other consents required by law, except where the estimated value of any one item does not exceed [£500]. In each case a written report shall be provided to council with a full business case.

17. Insurance

17.1. The RFO shall keep a record of all insurances effected by the council and the property and risks covered, reviewing these annually before the renewal date in conjunction with the council's review of risk management.

17.2. The Clerk shall give prompt notification to the RFO of all new risks, properties or vehicles which require to be insured and of any alterations affecting existing insurances.

17.3. The RFO shall be notified of any loss, liability, damage or event likely to lead to a claim, and shall report these to Ash Parish Council [the council] at the next available meeting. The RFO/Clerk shall negotiate all claims on the council's insurers

17.4. All appropriate members and employees of the council shall be included in a suitable form of security or fidelity guarantee insurance which shall cover the maximum risk exposure as determined [annually] by the council, or duly delegated

17.5. committee.

18. Charities

18.1. Where the council is sole managing trustee of a charitable body the Clerk/ RFO shall ensure that separate accounts are kept of the funds held on charitable trusts and separate financial reports made in such form as shall be appropriate, in accordance with Charity Law and legislation, or as determined by the Charity Commission. The Clerk/ RFO shall arrange for any audit or independent examination as may be required by Charity Law or any Governing Document.]

18.2.

19. Suspension and revision of Financial Regulations

- 19.1. The council shall review these Financial Regulations annually and following any change of clerk or RFO. The Clerk shall monitor changes in legislation or proper practices and advise the council of any need to amend these Financial Regulations.
- 19.2. The council may, by resolution duly notified prior to the relevant meeting of council, suspend any part of these Financial Regulations, provided that reasons for the suspension are recorded and that an assessment of the risks arising has been presented to all members. Suspension does not disapply any legislation or permit the council to act unlawfully.
- 19.3. The council may temporarily amend these Financial Regulations by a duly notified resolution, to cope with periods of absence, local government reorganisation, national restrictions or other exceptional circumstances.

Appendix 1 - Tender process

- 1) Any invitation to tender shall state the general nature of the intended contract and the Clerk shall obtain the necessary technical assistance to prepare a specification in appropriate cases.
- 2) The invitation shall in addition state that tenders must be addressed to the Clerk in the ordinary course of post, unless an electronic tendering process has been agreed by the council.
- 3) Where a postal process is used, each tendering firm shall be supplied with a specifically marked envelope in which the tender is to be sealed and remain sealed until the prescribed date for opening tenders for that contract. All sealed tenders shall be opened at the same time on the prescribed date by the Clerk in the presence of at least one member of council.
- 4) Where an electronic tendering process is used, the council shall use a specific email address that will be monitored to ensure that nobody accesses any tender before the expiry of the deadline for submission.
- 5) Any invitation to tender issued under this regulation shall be subject to Standing Order [insert reference of the council's relevant standing order] and shall refer to the terms of the Bribery Act 2010.
- 6) Where the council, or duly delegated committee, does not accept any tender, quote or estimate, the work is not allocated and the council requires further pricing, no person shall be permitted to submit a later tender, estimate or quote who was present when the original decision-making process was being undertaken.

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ASH PARISH COUNCIL CODE OF CONDUCT

Adopted May 2024 - reviewed May 2025

Introduction

The Local Government Association (LGA) has developed this Model Councillor Code of Conduct, in association with key partners and after extensive consultation with the sector, as part of its work on supporting all tiers of local government to continue to aspire to high standards of leadership and performance. It is a template for councils to adopt in whole and/or with local amendments.

All councils are required to have a local Councillor Code of Conduct.

The LGA will undertake an annual review of this Code to ensure it continues to be fit-for-purpose, incorporating advances in technology, social media and changes in legislation. The LGA can also offer support, training and mediation to councils and councillors on the application of the Code and the National Association of Local Councils (NALC) and the county associations of local councils can offer advice and support to city, town and parish councils.

Definitions

For the purposes of this Code of Conduct, a "councillor" means a member or co-opted member of a local authority. A "co-opted member" is defined in the Localism Act 2011 Section 27(4) as "a person who is not a member of the authority but who

- a) is a member of any committee or sub-committee of the authority, or;
- b) is a member of, and represents the authority on, any joint committee or joint sub-committee of the authority;

and who is entitled to vote on any question that falls to be decided at any meeting of that committee or sub-committee".

For the purposes of this Code of Conduct, "local authority" includes county councils, district councils, London borough councils, parish councils, city and town councils, fire and rescue authorities, police authorities, joint authorities, economic prosperity boards, combined authorities and National Park authorities.

Purpose of the Code of Conduct

The purpose of this Code of Conduct is to assist you, as a councillor, in modelling the behaviour that is expected of you, to provide a personal check and balance, and to set out the type of conduct that could lead to action being taken against you. It is also to protect you, the public, fellow councillors, local authority officers and the reputation of local government. It sets out general principles of conduct expected of all councillors and your specific obligations in relation to standards of conduct. The LGA encourages the use of support, training and mediation prior to action being taken using the Code. The fundamental aim of the Code is to create and maintain public confidence in the role of councillor and local government.

General principles of councillor conduct

Everyone in public office at all levels; all who serve the public or deliver public services, including ministers, civil servants, councillors and local authority officers; should uphold the [Seven Principles of Public Life](#), also known as the Nolan Principles.

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Building on these principles, the following general principles have been developed specifically for the role of councillor.

In accordance with the public trust placed in me, on all occasions:

- I act with integrity and honesty
- I act lawfully
- I treat all persons fairly and with respect; and
- I lead by example and act in a way that secures public confidence in the role of councillor.

In undertaking my role:

- I impartially exercise my responsibilities in the interests of the local community
- I do not improperly seek to confer an advantage, or disadvantage, on any person
- I avoid conflicts of interest
- I exercise reasonable care and diligence; and
- I ensure that public resources are used prudently in accordance with my local authority's requirements and in the public interest.

Application of the Code of Conduct

This Code of Conduct applies to you as soon as you sign your declaration of acceptance of the office of councillor or attend your first meeting as a co-opted member and continues to apply to you until you cease to be a councillor.

This Code of Conduct applies to you when you are acting in your capacity as a councillor which may include when:

- you misuse your position as a councillor
- Your actions would give the impression to a reasonable member of the public with knowledge of all the facts that you are acting as a councillor;

The Code applies to all forms of communication and interaction, including:

- at face-to-face meetings
- at online or telephone meetings
- in written communication
- in verbal communication
- in non-verbal communication
- in electronic and social media communication, posts, statements and comments.

You are also expected to uphold high standards of conduct and show leadership at all times when acting as a councillor.

Your Monitoring Officer has statutory responsibility for the implementation of the Code of Conduct, and you are encouraged to seek advice from your Monitoring Officer on any matters that may relate to the Code of Conduct. Town and parish councillors are encouraged to seek advice from their Clerk, who may refer matters to the Monitoring Officer. The Monitoring Officer will be able to advise on any matters that relate to the Code of Conduct.

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Standards of councillor conduct

This section sets out your obligations, which are the minimum standards of conduct required of you as a councillor. Should your conduct fall short of these standards, a complaint may be made against you, which may result in action being taken.

Guidance is included to help explain the reasons for the obligations and how they should be followed.

General Conduct

1. Respect

As a councillor:

1.1 I treat other councillors and members of the public with respect.

1.2 I treat local authority employees, employees and representatives of partner organisations and those volunteering for the local authority with respect and respect the role they play.

Respect means politeness and courtesy in behaviour, speech, and in the written word. Debate and having different views are all part of a healthy democracy. As a councillor, you can express, challenge, criticise and disagree with views, ideas, opinions and policies in a robust but civil manner. You should not, however, subject individuals, groups of people or organisations to personal attack.

In your contact with the public, you should treat them politely and courteously. Rude and offensive behaviour lowers the public's expectations and confidence in councillors.

In return, you have a right to expect respectful behaviour from the public. If members of the public are being abusive, intimidatory or threatening you are entitled to stop any conversation or interaction in person or online and report them to the local authority, the relevant social media provider or the police. This also applies to fellow councillors, where action could then be taken under the Councillor Code of Conduct.

2. Bullying, harassment and discrimination

As a councillor:

2.1 I do not bully any person.

2.2 I do not harass any person.

2.3 I promote equalities and do not discriminate unlawfully against any person.

The Advisory, Conciliation and Arbitration Service (ACAS) characterises bullying as offensive, intimidating, malicious or insulting behaviour, an abuse or misuse of power through means that undermine, humiliate, denigrate or injure the recipient. Bullying might be a regular pattern of behaviour or a one-off incident, happen face-to-face, on social media, in emails or phone calls, happen in the workplace or at work social events and may not always be obvious or noticed by others.

The Protection from Harassment Act 1997 defines harassment as conduct that causes alarm or distress or puts people in fear of violence and must involve such conduct on at least two

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occasions. It can include repeated attempts to impose unwanted communications and contact upon a person in a manner that could be expected to cause distress or fear in any reasonable person.

Unlawful discrimination is where someone is treated unfairly because of a protected characteristic. Protected characteristics are specific aspects of a person's

identity defined by the Equality Act 2010. They are age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

The Equality Act 2010 places specific duties on local authorities. Councillors have a central role to play in ensuring that equality issues are integral to the local authority's performance and strategic aims, and that there is a strong vision and public commitment to equality across public services.

3. Impartiality of officers of the council

As a councillor:

3.1 I do not compromise, or attempt to compromise, the impartiality of anyone who works for, or on behalf of, the local authority.

Officers work for the local authority as a whole and must be politically neutral. They should not be coerced or persuaded to act in a way that would undermine their neutrality. You can question officers in order to understand, for example, their reasons for proposing to act in a particular way, or the content of a report that they have written. However, you must not try and force them to act differently, change their advice, or alter the content of that report, if doing so would prejudice their professional integrity.

4. Confidentiality and access to information

As a councillor:

4.1 I do not disclose information:

- a. given to me in confidence by anyone**
- b. acquired by me which I believe, or ought reasonably to be aware, is of a confidential nature, unless**
 - i. I have received the consent of a person authorised to give it;**
 - ii. I am required by law to do so;**
 - iii. the disclosure is made to a third party for the purpose of obtaining professional legal advice provided that the third party agrees not to disclose the information to any other person; or**
 - iv. the disclosure is:**
 - A. reasonable and in the public interest; and**
 - B. made in good faith and in compliance with the reasonable requirements of the local authority; and**
 - C. I have consulted the Monitoring Officer prior to its release.**

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4.2 I do not improperly use knowledge gained solely as a result of my role as a councillor for the advancement of myself, my friends, my family members, my employer or my business interests.

4.3 I do not prevent anyone from getting information that they are entitled to by law.

Local authorities must work openly and transparently, and their proceedings and printed materials are open to the public, except in certain legally defined circumstances. You should work on this basis, but there will be times when it is required by law that discussions, documents and other information relating to or held by the local authority must be treated in a confidential manner. Examples include personal data relating to individuals or information relating to ongoing negotiations.

5. Disrepute

As a councillor:

5.1 I do not bring my role or local authority into disrepute.

As a Councillor, you are trusted to make decisions on behalf of your community and your actions and behaviour are subject to greater scrutiny than that of ordinary members of the public. You should be aware that your actions might have an adverse impact on you, other councillors and/or your local authority and may lower the public's confidence in you or your local authority's ability to discharge your/its functions. For example, behaviour that is considered dishonest and/or deceitful can bring your local authority into disrepute.

You are able to hold the local authority and fellow councillors to account and are able to constructively challenge and express concern about decisions and processes undertaken by the council whilst continuing to adhere to other aspects of this Code of Conduct.

6. Use of position

As a councillor:

6.1 I do not use, or attempt to use, my position improperly to the advantage or disadvantage of myself or anyone else.

Your position as a member of the local authority provides you with certain opportunities, responsibilities, and privileges, and you make choices all the time that will impact others. However, you should not take advantage of these opportunities to further your own or others' private interests or to disadvantage anyone unfairly.

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7. Use of local authority resources and facilities

As a councillor:

7.1 I do not misuse council resources.

7.2 I will, when using the resources of the local authority or authorising their use by others:

- a. act in accordance with the local authority's requirements; and**
- b. ensure that such resources are not used for political purposes unless that use could reasonably be regarded as likely to facilitate, or be conducive to, the discharge of the functions of the local authority or of the office to which I have been elected or appointed.**

You may be provided with resources and facilities by the local authority to assist you in carrying out your duties as a councillor.

Examples include:

- office support
- stationery
- equipment such as phones, and computers
- transport
- access and use of local authority buildings and rooms.

These are given to you to help you carry out your role as a councillor more effectively and are not to be used for business or personal gain. They should be used in accordance with the purpose for which they have been provided and the local authority's own policies regarding their use.

7B Consideration of advice

As a councillor:

7B. 1 I will, when reaching decisions on any matter, consider and pay due regard to any relevant advice provided to me by the Council's Responsible Finance Officer in accordance with their legal requirements.

7B. 2 I will give reasons for departing from the advice of the Responsible Finance Officer

It is extremely important for you as a councillor to have regard to advice from your Responsible Finance Officer where they give that advice under their statutory duties. As a councillor you must give reasons for all decisions in accordance with any legal requirements and any reasonable requirements imposed by your local authority.

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8. Complying with the Code of Conduct

As a Councillor:

- 8.1 I will undertake Code of Conduct training provided by my local County Association (SALC) or by the Monitoring Officer.**
- 8.2 I do not make trivial or malicious complaints against other councillors.**
- 8.3 I cooperate with any Code of Conduct investigation and/or determination.**
- 8.4 I do not intimidate or attempt to intimidate any person who is likely to be involved with the administration of any investigation or proceedings including the complainant and any witnesses.**
- 8.5 I comply with any sanction imposed on me following a finding that I have breached the Code of Conduct.**

It is extremely important for you as a councillor to demonstrate high standards, for you to have your actions open to scrutiny and for you not to undermine public trust in the local authority or its governance. If you do not understand or are concerned about the local authority's processes in handling a complaint you should raise this with your Monitoring Officer.

Protecting your reputation and the reputation of the local authority

9. Interests

As a councillor:

9.1 I register and disclose my interests.

Section 29 of the Localism Act 2011 requires the Monitoring Officer to establish and maintain a register of interests of members of the authority.

You need to register your interests so that the public, local authority employees and fellow councillors know which of your interests might give rise to a conflict of interest. The register is

a public document that can be consulted when (or before) an issue arises. The register also protects you by allowing you to demonstrate openness and a willingness to be held accountable. You are personally responsible for deciding whether or not you should disclose an interest in a meeting, but it can be helpful for you to know early on if others think that a potential conflict might arise. It is also important that the public know about any interest that might have to be disclosed by you or other councillors when making or taking part in decisions, so that decision making is seen by the public as open and honest. This helps to ensure that public confidence in the integrity of local governance is maintained.

You should note that failure to register or disclose a disclosable pecuniary interest as set out in **Table 1**, is a criminal offence under the Localism Act 2011.

Appendix B sets out the detailed provisions on registering and disclosing interests. If in doubt, you should always seek advice from your Clerk or the Monitoring Officer.

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10. Gifts and hospitality

As a councillor:

10.1 I do not accept gifts or hospitality, irrespective of estimated value, which could give rise to real or substantive personal gain or a reasonable suspicion of influence on my part to show favour from persons seeking to acquire, develop or do business with the local authority or from persons who may apply to the local authority for any permission, licence or other significant advantage.

10.2 I register with the Monitoring Officer any gift or hospitality with an estimated value of at least £50 within 28 days of its receipt.

In order to protect your position and the reputation of the local authority, you should exercise caution in accepting any gifts or hospitality which are (or which you reasonably believe to be) offered to you because you are a councillor. The presumption should always be not to accept significant gifts or hospitality. However, there may be times when such a refusal may be difficult if it is seen as rudeness in which case you could accept it but must ensure it is publicly registered. However, you do not need to register gifts and hospitality which are not related to your role as a councillor, such as Christmas gifts from your friends and family. It is also important to note that it is appropriate to accept normal expenses and hospitality associated with your duties as a councillor. If you are unsure, do contact your Clerk or Monitoring Officer for guidance.

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Appendices

Appendix A – The Seven Principles of Public Life

The principles are:

Selflessness

Holders of public office should act solely in terms of the public interest.

Integrity

Holders of public office must avoid placing themselves under any obligation to people or organisations that might try inappropriately to influence them in their work. They should not act or take decisions in order to gain financial or other material benefits for themselves, their family, or their friends. They must disclose and resolve any interests and relationships.

Objectivity

Holders of public office must act and take decisions impartially, fairly and on merit, using the best evidence and without discrimination or bias.

Accountability

Holders of public office are accountable to the public for their decisions and actions and must submit themselves to the scrutiny necessary to ensure this.

Openness

Holders of public office should act and take decisions in an open and transparent manner. Information should not be withheld from the public unless there are clear and lawful reasons for so doing.

Honesty

Holders of public office should be truthful.

Leadership

Holders of public office should exhibit these principles in their own behaviour. They should actively promote and robustly support the principles and be willing to challenge poor behaviour wherever it occurs.

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Appendix B Registering interests

Within 28 days of becoming a member or your re-election or re-appointment to office or within 28 days of your interests changing you must register with the Monitoring Officer the interests which fall within the categories set out in **Table 1 (Disclosable Pecuniary Interests)** which are as described in "The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012". You should also register details of your other personal interests which fall within the categories set out in **Table 2 (Other Registerable Interests)**.

"Disclosable Pecuniary Interest" means an interest of yourself, or of your partner if you are aware of your partner's interest, within the descriptions set out in Table 1 below.

"Partner" means a spouse or civil partner, or a person with whom you are living as husband or wife, or a person with whom you are living as if you are civil partners.

1. You must ensure that your register of interests is kept up-to-date and within 28 days of becoming aware of any new interest, or of any change to a registered interest, notify the Monitoring Officer.
2. A 'sensitive interest' is as an interest which, if disclosed, could lead to the councillor, or a person connected with the councillor, being subject to violence or intimidation.
3. Where you have a 'sensitive interest' you must notify the Monitoring Officer with the reasons why you believe it is a sensitive interest. If the Monitoring Officer agrees they will withhold the interest from the public register.

Non-participation in case of disclosable pecuniary interest

4. Where a matter arises at a meeting which directly relates to one of your Disclosable Pecuniary Interests as set out in **Table 1**, you must disclose the interest, not participate in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation. If it is a 'sensitive interest', you do not have to disclose the nature of the interest, just that you have an interest. Dispensation may be granted in limited circumstances, to enable you to participate and vote on a matter in which you have a disclosable pecuniary interest.

Disclosure of Other Registerable Interests

5. Where a matter arises at a meeting which **directly relates** to the financial interest or wellbeing of one of your Other Registerable Interests (as set out in **Table 2**), you must disclose the interest. You may speak on the matter only if members of the public are also allowed to speak at the meeting but otherwise must not take part in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation. If it is a 'sensitive interest', you do not have to disclose the nature of the interest.

Disclosure of Non-Registerable Interests

6. Where a matter arises at a meeting which **directly relates** to your financial interest or well-being (and is not a Disclosable Pecuniary Interest set out in **Table 1**) or a financial interest or well-being of a relative or close associate, you must disclose the interest. You may speak on the matter only if members of the public are also allowed to speak at the meeting. Otherwise you must not take part in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation. If it is a 'sensitive interest', you do not have to disclose the nature of the interest.

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7. Where a matter arises at a meeting which **affects** –

- a. your own financial interest or well-being;
- b. a financial interest or well-being of a relative or close associate; or
- c. a financial interest or wellbeing of a body included under Other Registrable Interests as set out in **Table 2**

you must disclose the interest. In order to determine whether you can remain in the meeting after disclosing your interest the following test should be applied.

8. Where a matter (referred to in paragraph 8 above) **affects** the financial interest or well-being:

- a. to a greater extent than it affects the financial interests of the majority of inhabitants of the parish affected by the decision and;
- b. a reasonable member of the public knowing all the facts would believe that it would affect your view of the wider public interest

You may speak on the matter only if members of the public are also allowed to speak at the meeting. Otherwise you must not take part in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation.

If it is a 'sensitive interest', you do not have to disclose the nature of the interest. In the event that your non-registerable interest relates to -

- (1) an unpaid directorship on a company owned by your authority or
- (2) another local authority of which you are a member,

subject to your declaring that interest, you are able to take part in any discussion and vote on the matter.

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Table 1: Disclosable Pecuniary Interests

This table sets out the explanation of Disclosable Pecuniary Interests as set out in the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012.

| Subject | Description |
|--|--|
| Employment, office, trade, profession or vocation | Any employment, office, trade, profession or vocation carried on for profit or gain. |
| Sponsorship | <p>Any payment or provision of any other financial benefit (other than from the council) made to the councillor during the previous 12-month period for expenses incurred by him/her in carrying out his/her duties as a councillor, or towards his/her election expenses.</p> <p>This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992.</p> |
| Contracts | <p>Any contract made between the councillor or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/civil partners (or a firm in which such person is a partner, or an incorporated body of which such person is a director* or a body that such person has a beneficial interest in the securities of*) and the council —</p> <p>(a) under which goods or services are to be provided or works are to be executed; and (b) which has not been fully discharged.</p> |
| Land and Property | <p>Any beneficial interest in land which is within the area of the council.</p> <p>'Land' excludes an easement, servitude, interest or right in or over land which does not give the councillor or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/ civil partners (alone or jointly with another) a right to occupy or to receive income.</p> |
| Licenses | Any licence (alone or jointly with others) to occupy land in the area of the council for a month or longer |
| Corporate tenancies | <p>Any tenancy where (to the councillor's knowledge)—</p> <p>(a) the landlord is the council; and</p> <p>(b) the tenant is a body that the councillor, or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/ civil partners is a partner of or a director* of or has a beneficial interest in the securities* of.</p> |

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| | |
|-------------------|---|
| Securities | <p>Any beneficial interest in securities* of a body where—</p> <p>(a) that body (to the councillor's knowledge) has a place of business or land in the area of the council; and</p> <p>(b) either—</p> <p>(i) the total nominal value of the securities* exceeds £25,000 or one hundredth of the total issued share capital of that body; or</p> <p>(ii) if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which the councillor, or his/ her spouse or civil partner or the person with whom the councillor is living as if they were spouses/civil partners have a beneficial interest exceeds one hundredth of the total issued share capital of that class.</p> |
|-------------------|---|

* 'director' includes a member of the committee of management of an industrial and provident society.

* 'securities' means shares, debentures, debenture stock, loan stock, bonds, units of a collective investment scheme within the meaning of the Financial Services and Markets Act 2000 and other securities of any description, other than money deposited with a building society.

Table 2: Other Registrable Interests

You must register as an Other Registerable Interest :

- a) any unpaid directorships
- b) any body of which you are a member or are in a position of general control or management and to which you are nominated or appointed by your authority
- c) any body
 - i. exercising functions of a public nature
 - ii. directed to charitable purposes or
 - iii. one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union)of which you are a member or in a position of general control or management

RISK MANAGEMENT STRATEGY/POLICY

May 2025

1. Introduction

1.1 This document forms Ash Parish Council's Risk Management Strategy. It sets out:

- What risk management is;
- Why the Council needs a risk management strategy;
- The Council's philosophy on risk management;
- The risk management process;
- Roles and responsibilities;
- Future monitoring.

1.2 The objectives of this strategy are to:

- Further develop risk management and raise its profile across the Council;
- Integrate risk management into the culture of the organisation;
- Embed risk management through the ownership and management of risk as part of all decision making processes; and
- Manage risk in accordance with best practice.

2. What Risk Management is

2.1 *'Risk is the threat that an event or action will adversely affect an organisation's ability to achieve its objectives and to successfully execute its strategies. Risk management is the process by which risks are identified, evaluated and controlled. It is a key element of the framework of governance together with community focus, structures and processes, standards of conduct and service delivery arrangements.'* Audit Commission, Worth the Risk: Improving Risk Management in Local Government, (2001: 5)

2.2 Risk management is an essential feature of good governance. An organisation that manages risk well is more likely to achieve its objectives. It is vital to recognise that risk management is not simply about health and safety, but applies to all aspects of the Council's work.

- 2.3 Risks can be classified into various types but it is important to recognise that for all categories the direct financial losses may have less impact than the indirect costs such as disruption of normal working. The examples below are not exhaustive:

Strategic Risk – Long-term adverse impacts from poor decision-making or poor implementation. Risks damage to the reputation of the Council, loss of public confidence, in a worst case scenario Government intervention.

Compliance Risk – Failure to comply with legislation, laid down procedures or the lack of documentation to prove compliance. Risks exposure to prosecution, judicial review, employment tribunals and the inability to enforce contracts.

Financial Risk – Fraud and corruption, waste, excess demand for services, bad debts. Risk of additional audit investigation, objection to accounts, reduced service delivery, dramatically increased Council Tax levels/impact on Council reserves.

Operating Risk – Failure to deliver services effectively, malfunctioning equipment, hazards to service users, the general public or staff, damage to property. Risk of insurance claims, higher insurance premiums, lengthy recovery processes.

- 2.4 These risks can be broken down further into specific areas which could impact on the achievement of the Council's strategic objectives and day-to-day delivery of services:

Political – Those associated with the failure to deliver local, regional or national policy;

Financial – Those affecting the ability of the Council to meet its financial commitments; failure of major projects; internal and external audit requirements; failure to prioritise and allocate resources effectively; poor contract management; initiative overload;

Social – Those relating to the effects of changes in demographic, residential, or socio-economic trends on the Council's ability to deliver its strategic priorities;

Technological – Those associated with the capacity of the Council to deal with the pace/scale of technological change, or its ability to use technology to address changing demands. This includes the consequences of internal failures on the Council's ability to deliver its objectives;

Legal – The ability of the Council to meet legislative demands affecting breaches of legislation (UK & EU);

Environmental – Those relating to the environmental consequences of progressing the Council's objectives in terms of energy-efficiency, pollution, recycling, emissions etc;

Partnership/Contractual – Those associated with the failure of partners/contractors to deliver services to an agreed cost and specification and similarly failure of the Council to deliver services to an agreed cost and specification; compliance with procurement policies (internal/external); ensuring open and fair competition;

Human Resources – Those associated with the professional competence of staff; training and development; over-reliance on key personnel; ineffective project management; recruitment and selection issues;

Organisational – Those associated with the review of services and delivering continuous improvement;

Health & Safety/Physical – Those related to fire, safety, accident prevention and health & safety which pose a risk to both staff and the public; safeguarding and accounting of physical assets;

Reputational – Those associated with the changing needs of customers and the electorate; ensuring appropriate consultation; avoiding poor public and media relations.

Not all of these risks are insurable and for some the premiums may not be cost effective. Even where insurance is available, a monetary consideration might not be an adequate recompense. The emphasis should always be on eliminating or reducing risk before costly steps to transfer risk to another party are considered.

- 2.5 Risk is not restricted to potential threats but can be connected with missed opportunities. Good risk management can facilitate proactive, rather than merely defensive responses. Measures to manage adverse risks are likely to help with managing positive ones.

3. Why the Council needs a Risk Management Strategy

- 3.1 Risk management will strengthen the ability of the Council to achieve its objectives and enhance the value of services provided.

- 3.2 The Risk Management Strategy will help to ensure that all Committees and

service areas have an understanding of risk and that the Council adopts

a uniform approach to identifying and prioritising risks. This should in turn lead to conscious choices as to the most appropriate method of dealing with each risk, be it elimination, reduction, transfer or acceptance.

- 3.3 Strategic risk management is an important element in demonstrating continuous service improvement.
- 3.4 There is a requirement under the Accounts and Audit Regulations 2015 to establish and maintain a systematic strategy, framework and process for managing risk.

4. Risk Management Policy Statement

Ash Parish Council recognises that it has a responsibility to manage risks effectively in order to protect its employees, assets, liabilities and community against potential losses, to minimise uncertainty in achieving its aims and objectives and to maximise the opportunities to achieve its vision.

The Council is aware that some risks can never be eliminated fully and it has in place a strategy that provides a structured, systematic and focussed approach to managing risk.

Risk management is an integral part of the Council's management processes.

5. Implementing the Strategy

5.1 Risk Control

Risk control is the process of taking action to minimise the likelihood of the risk event occurring and/or reducing the severity of the consequences should it occur. Typically, risk control requires the identification and implementation of revised operating procedures, but in exceptional cases more drastic action may be required to reduce the risk to an acceptable level.

Options for control include:

Elimination – The circumstances from which the risk arises are removed so that the risk no longer exists;

Reduction – Loss control measures are implemented to reduce the impact/likelihood of the risk occurring;

Transfer – The financial impact is passed to others e.g. by revising contractual terms;

Sharing – The risk is shared with another party;

Insuring – Insure against some or all of the risk to mitigate financial impact;
and

Acceptance – Documenting a conscious decision after assessment of areas where the Council accepts or tolerates risk.

5.2 Risk Register

The Strategic Risk Register (*Appendix A*) will be regularly refined and updated as part of this Risk Management Strategy.

5.3 Risk Monitoring

The risk management process does not finish with putting risk control procedures in place. Their effectiveness in controlling risk must be monitored and reviewed. It is also important to assess whether the nature of any risk has changed over time. The Risk Management Plan will be reviewed at least annually by the Full Council.

The information generated from applying the risk management process will help to ensure that risks can be avoided or minimised in the future. It will also inform judgements on the nature and extent of insurance cover and the balance to be reached between self-insurance and external protection.

5.4 Risk Management System

Risk Identification – Identifying and understanding the hazards and risks facing the Council is crucial if informed decisions are to be made about policies or service delivery methods. The risks associated with these decisions can then be effectively managed.

Risk Analysis – Once risks have been identified they need to be systematically and accurately assessed using proven techniques. Analysis should make full use of any available data on the potential frequency of

events and their consequences. If a risk is seen to be unacceptable, then steps need to be taken to control or respond to the risk.

Risk Prioritisation - An assessment should be undertaken of the impact and likelihood of risks occurring, with impact and likelihood being scored Negligible (1), Low (2), Medium (3) and High (4).

| | | Impact | | | |
|------------|----------------|----------------|---------|------------|----------|
| | | Negligible (1) | Low (2) | Medium (3) | High (4) |
| Likelihood | High (4) | 4 | 8 | 12 | 16 |
| | Medium (3) | 3 | 6 | 9 | 12 |
| | Low (2) | 2 | 4 | 6 | 8 |
| | Negligible (1) | 1 | 2 | 3 | 4 |

The scores for impact and likelihood are scored as above. Risks scoring 12 and above will be subject to detailed consideration and preparation of a contingency/action plan to appropriately control the risk.

6. Roles and Responsibilities

6.1 It is important that risk management becomes embedded into the everyday culture and performance management process of the Council. The roles and responsibilities set out below, are designed to ensure that risk is managed effectively right across the Council and its operations, and responsibility for risk is located in the right place. The process must be driven from the top but must also involve staff throughout the organisation.

6.2 **Councillors** – Risk management is seen as a key part of Councillors' stewardship role and there is an expectation that Councillors will lead and monitor the approach adopted, including:

- i) Approval of the Risk Management Strategy;
- ii) Analysis of key risks in reports on major projects, ensuring that all future projects and services undertaken are adequately risk managed;
- iii) Consideration, and if appropriate, endorsement of the Annual Governance Statement; and
- iv) Assessment of risks whilst setting the budget, including any bids for resources to tackle specific issues.

6.3 **Employees** – will undertake their job within risk management guidelines ensuring that their skills, experience and knowledge are used effectively. All employees will maintain an awareness of the impact and costs of risks and

how to feed information into the formal process. They will work to control risks or threats within their roles, monitor progress and report on task related risks to the Parish Council.

6.4 Council Chairperson– will act as the lead officer on risk management, assisted by the Parish Clerk, and be responsible for overseeing the implementation of the Risk Management Strategy. The Chairperson will:

- i) Provide advice as to the legality of policy and service delivery options;
- ii) Provide advice on the implications for service areas of the Council's strategic aims and objectives;
- iii) Update the Council on the implications of new or revised legislation;
- iv) Assist in handling any litigation claims;
- v) In consultation with the Council's external advisors as necessary, provide advice on any human resource issues relating to strategic policy options or the risks associated with operational decisions and assist in handling cases of work related illness or injury;
- vi) In consultation with the Council's external advisors as necessary, advise on any health and safety implications of the chosen or proposed arrangements for service delivery;
- vii) Assess and implement the Council's insurance requirements;
- viii) Assess the financial implications of strategic policy options;
- ix) Provide advice on budgetary planning and control;
- x) Ensure that the financial information systems and processes allow effective budgetary control;
- xi) Ensure the Council's Risk Register is maintained;
- xii) Effectively manage the Council's investment and loan portfolio.

6.5 **Role of Internal Audit** – Internal Audit provides an important scrutiny role by carrying out audits to provide independent assurance to the Council that the necessary risk management systems are in place and all significant business risks are being managed effectively.

Internal Audit assists the Council in identifying both its financial and operational risks and seeks to assist the Council in developing and implementing proper arrangements to manage them, including adequate and effective systems of internal control to reduce or eliminate the likelihood of errors or fraud.

Internal Audit reports, and any recommendations contained within, will help to shape the Annual Governance Statement.

- 6.6 **Parish Council** – Review and future development of the Risk Management Policy and Strategy will be overseen by the Full Council.
- 6.7 **Training** – The aim will be to ensure that both Staff and Councillors have the skills necessary to identify, evaluate and control the risks associated with the services they provide. Risk Management training and development will be provided through a range of methods such as workshops, literature and in-house service familiarisation.
- 6.8 In addition to the roles and responsibilities set out above, the Council is keen to promote an environment within which individuals and groups are encouraged to report adverse incidents promptly and openly.

7. Future Monitoring

- 7.1 **Review of Risk Management Strategy** – This Strategy will be reviewed annually by the Finance and Administration Committee.

8. Conclusion

The adoption of a sound risk management approach should achieve many benefits for the Council. It will assist in demonstrating that the Council is committed to continuous service improvement and effective corporate governance.

Mr March Hare Chief Executive
April 2017

Ash Parish Council Risk Management Scheme 2025 – 26

Reviewed: May 2025

Risk assessment is a systematic general examination of working conditions, workplace activities and environmental factors that will enable the Parish Council to identify any and all potential inherent risks. The Parish Council, based on a recorded assessment, will take all practical and necessary steps to reduce or eliminate the risks, insofar as is practically possible. This document has been produced to enable Ash Parish Council to assess the risks that it faces and satisfy itself that it has taken adequate steps to minimise them.

| | |
|------------|--|
| KEY | |
| HIGH | |
| MEDIUM | |
| LOW | |

FINANCIAL AND MANAGEMENT

| Subject | Risk(s) identified | Severity of hazard H/M/L | Management/control of Risk | Risk after Control H/M/L | Assess/Revise |
|----------------|--|-------------------------------------|--|-------------------------------------|--|
| Precept | Adequacy of precept in order for the Council to carry out its Statutory duties | H | To determine the precept amount required, the Council regularly receives budget update information usually monthly, at least quarterly. At the precept meeting Council receives a budget report, including actual position and projected position to the end of year and indicative figures or costings obtained by the Clerk and relevant Councillor. With this information the Council maps out the required monies for standing costs and projects for the following year and | L | Existing procedure adequate. Request deadline in November 2025 in order to plan the PC Precept meeting in a timely manner |

| | | | | | |
|-------------------|---|---|--|---|--|
| | | | <p>applies specific figures to budget headings, the total of which is used to agree the precept amount to be requested from Somerset Council (SC). The figure is submitted by the RFO in writing on the forms supplied by SC. The RFO informs the Council when the monies are received.</p> <p>Somerset Council not informing of date to submit the precept amount</p> | | |
| Financial Records | <p>Inadequate records</p> <p>Financial irregularities</p> | H | <p>The Council has Financial Regulations which sets out the requirements.</p> <p>Back up of the accounting system</p> | L | <p>Existing procedure adequate</p> <p>Review the Financial regulations when necessary, at least annually.</p> <p>Backed up monthly to a Microsoft ONEDRIVE Account and external hard drive</p> |
| Bank and banking | <p>Inadequate checks</p> <p>Management of income</p> <p>Bank mistakes</p> | H | <p>The Council has Financial Regulations which set out banking requirements. Bank reconciliation presented for approval.</p> <p>The PC receive income from both Cemetery and Pavilion users and the clerk manages the payments to the bank.</p> | M | <p>Bank reconciliations are presented at least quarterly (usually monthly) and signed by a member other than the Chairman and minuted.</p> <p>Cemetery income is managed by the Clerk and takes the form of BACs with occasional cheques.</p> <p>Pavilion income is a combination of BACs, cheques and cash. Hirers to be asked to pay via BACs or cheque.</p> |

| | | | | | |
|---------------------------|--|---|--|---|---|
| Reporting and auditing | Information communication | M | <p>Financial information is a regular agenda item and discussed/reviewed and approved at each meeting.</p> <p>Internal and External auditors are commission to undertake yearly audits.</p> | L | <p>Existing procedures adequate.</p> <p>Note comments from Auditors and action them where necessary.</p> |
| Grants and Donations | Power to pay | H | All such expenditure goes through the required Council process of approval, Clerk checks powers available (no GPC) being minuted and listed accordingly if a payment is made. | L | Existing procedure adequate. |
| Best value accountability | <p>Work awarded Incorrectly.</p> <p>Overspend on services.</p> | M | <p>Normal Parish Council practice would be to seek, if possible, competitive tenders for major work.</p> <p>If problems encountered with a contract the Clerk would investigate the situation and report to the Council.</p> | | <p>Existing procedure adequate.</p> <p>Include when reviewing Financial regulations.</p> |
| Salaries and assoc. costs | Salary paid incorrectly. Unpaid Tax to Inland Revenue. | H | <p>Salary rates are notified by NALC annually and contractual increases agreed and minuted by Council. Payslips and P30 generated through HMRC.</p> <p>All payments are approved monthly at FC meeting.</p> <p>The Parish Clerk/RFO has a contract of employment and job description</p> | L | <p>Payroll submitted to HMRC in RT, calculate HMRC payments monthly.</p> <p>Checked by Internal auditor</p> |
| Employees | <p>Fraud by staff</p> <p>Health and safety</p> | H | Requirements of Fidelity Guarantee insurance adhered to with regards to fraud. | M | Monitor health and safety requirements and carry out risk assessments and review insurance annually. |

| | | | | | |
|--|------------------------------|---|---|---|-------------------------------|
| | | | All employees to be provided adequate direction and safety equipment needed to undertake their roles. | | |
| VAT | Reclaiming/charging | M | VAT is claimed back annually and recorded separately in the cashbook and minuted when received. VAT Invoices are checked to be made out to Ash Parish Council before VAT reclaimed. The council is not VAT registered so no VAT is charged. | L | Existing procedures adequate |
| Annual Return | Submit within time limits | H | Employers Annual Return is completed and submitted online with the prescribed time frame by the Clerk. Annual Return completed and signed by the Council, submitted to internal auditor for completion and signing then checked and sent to External Auditor within time frame. | L | Existing procedures adequate. |
| Legal Powers | Illegal activity or payments | H | All activity and payments within the powers of the Parish Council to be resolved at full Council Meetings. List of powers checked by clerk as necessary before payment approved. | L | Existing procedures adequate |
| Minutes/agendas/ Notices Statutory Documents | Accuracy and legality | H | Minutes and agenda are produced in the prescribed manner by the Clerk and adhere to the legal requirements. Minutes are approved and signed at the next Council meeting. Agenda | L | Existing procedures adequate. |

| | | | | | |
|-------------------|--|---|---|---|--|
| | Business conduct | | displayed according to legal requirements. Business conducted at Council meetings should be managed by the Chair. | | Members adhere to Code of Conduct |
| Members interests | Conflict of interests Register of members interests | M | Declarations of interest by members at Council meetings. Register of members interest forms reviewed regularly and revisited annually. | M | Existing procedures adequate. Members take responsibility to update register and provide to SC. |
| Policies | Missing or not kept up to date | M | Policies available on website, notified by Clerk and checked by auditor and adopted annually at the APCM | L | Existing procedures adequate. |
| Insurance | Adequacy Cost Compliance Fidelity Guarantee | H | A broker is used and provides quotes from upto 3 providers to demonstrate competitive pricing. Council approve the most suitable cover. An annual review is undertaken of all insurance arrangements before the renewal date and at the same time as the Asset Register. Employers and Employee liabilities a necessity and within policies. Full Council take responsibility for checking levels and scope of cover is adequate. Measures are in place. Fidelity checks in place. | L | Existing procedure adequate. Insurance is in place and considered annually. |
| Data protection | Policy provision | H | The Parish Council is registered with the Data Protection Agency. Payments set up by Direct Debit. | L | Ensure annual renewal of registration |

| | | | | | |
|------------------------|------------------------------------|---|---|---|-------------------------------------|
| Freedom of Information | Policy Provision | M | <p>The Council has a Model Publication scheme in place.</p> <p>The Parish Council is aware that if a substantial request came in it could create a number of additional hours work they can request a fee to supplement the extra hours</p> | M | Monitor any requests made under FOI |
| Outside Spaces | Contact number on signs incorrect. | M | Check signs annually when reviewing parish assets | L | Ensure signs are kept up to date. |

Physical Equipment or Areas

| Subject | Risk(s) identified | Severity of hazard H/M/L | Management/control of Risk | Risk after Control H/M/L | Assess/Revise |
|-------------|--|-----------------------------|---|-----------------------------|--|
| Assets | <p>Loss or damage</p> <p>Risk/damage to third party (ies) property</p> | H | <p>An annual review of assets is undertaken by 2 members of the PC locating and visually inspecting all assets for insurance provision</p> <p>Auditor checks all assets covered by insurance.</p> <p>Public Liability cover included with PC insurance.</p> | M | Asset Register updated annually and checked alongside the insurance. |
| Maintenance | Poor performance of assets or amenities | M | All assets owned by the Parish Council are regularly reviewed and maintained. | L | Existing procedures adequate |

| | | | | | |
|------------------|--|---|---|---|--|
| | | | <p>All repairs and relevant expenditure for any repair is actioned/authorised in accordance with the correct procedures of the Parish Council.</p> <p>Assets are insured.</p> | | |
| Play Equipment | <p>Risk of damage</p> <p>Health & Safety Regular Inspections Repairs</p> | H | <p>Any reports of damage and faults must be reported to the Parish Council and dealt with in accordance of the correct procedures of the Council.</p> <p>Play Equipment is included in insurance cover.</p> <p>Regular reviews of the equipment must be undertaken and any damage or faults dealt with in accordance with the correct procedures of the Council.</p> <p>The Council employs an inspection company to inspect play equipment on an annual basis.</p> | M | <p>The Play Inspection Company carries out a full playground assessment annually and all issues are reported and considered at a full council meeting, with any repairs agreed.</p> <p>Playground Risk assessment is carried out annually.</p> <p>The required monthly checks are not being carried out currently due a lack of trained personnel.</p> <p>Consider employing a company or trained person to carry out monthly checks as required by the insurance company.</p> |
| Notice Board | Risk of damage | M | The Parish Council own 2 noticeboards that are used monthly to post the statutory notices. Any repairs/damage is reported at FC meeting. Noticeboards are insured. | L | Existing procedures adequate |
| Meeting location | Adequacy Health & Safety | H | The Parish Council meeting is held in a venue considered to have appropriate facilities for the Clerk, members and the general public. | M | Need to carry out a Pavilion Risk assessment (inc. meeting Risk assessment) to ensure public, |

| | | | | | |
|------------------------------|---|---|---|---|---|
| | | | | | members and employee safety is not compromised. Electrical safety checks need to be considered annually. |
| Pavilion | Adequacy Health & Safety | H | The Pavilion is managed by the PC and is hired out to a number of village groups. The PC has responsibility to ensure the building is safe for all users. | M | The building is currently awaiting refurbishment as part of an ongoing insurance claim. Pavilion risk assessment needs to be put in place and electrical safety inspections carried out. |
| Cemetery | Topple Testing | H | Any deficiencies are managed by Councillors upon notification. Insurance cover for public liability in place for the Cemetery. | M | Cemetery Risk Assessment required to ensure all risks are considered. Cemetery caretaker with responsibility for topple testing checks (NAMM certified) is being considered. |
| Council records – paper | Loss through: Theft Fire Damage | H | The Parish Council records are stored at the home of the Clerk. Records include correspondence, minutes, annual financial accounts and cemetery records | L | Damage and theft is unlikely and so provision is adequate. Measures are in place to archive documents to Somerset Heritage in Taunton. A Fire-safe could be considered for irreplaceable cemetery records. Minutes, correspondence and financial records are scanned and kept electronically, in the Cloud. |
| Council records – electronic | Loss through: Theft, fire damage or corruption of computer | H | The Parish Council electronic records are stored on the Council laptop held with the Clerk/RFO at home. Backups of electronic data is made at regular | L | Existing procedures considered adequate. |

| | | | | | |
|---|--------------------------------------|---|--|---|--|
| | | | intervals using external back up device and also cloud storage is used. | | |
| Council records – electronic Retained by Cllrs | Documents stored on personal laptops | H | Councillors have been told that parish council business is not be to stored on personal devices. | M | Parish Council documents provided electronically to Councillors must not be stored on personal devices |

Ash Parish Council

COUNCILLOR VACANCY AND CO-OPTION PROCEDURE

Once a Councillor vacancy has arisen the notice must be displayed as soon as practicable, but in consultation with Unitary Elections Team to check the dates. However, if the vacancy has arisen due to a death, it is courteous that the notice is not displayed until after the funeral has taken place.

A copy of the vacancy notice must be sent to the Unitary Council, Returning Officer, Chief Executive, Electoral Services, Somerset Council, County Hall, The Crescent, Taunton, Somerset, TA1 4DY so that the progress can be monitored.

The vacancy notice must be displayed on the Parish noticeboard & Website for 14 working days (not counting Saturdays, Sundays, Christmas Eve, Christmas Day, Good Friday, bank holidays or national days of mourning). During this time 10 electors may request an election by writing to the Returning Officer.

After the 14 days, the Returning Officer will notify the Clerk in writing of the outcome. An election will only take place if 10 electors have requested it in writing.

If no election has been requested, then the Parish Council must co-opt to fill the vacancy – unless it is within 6 months of the forthcoming Parish ordinary elections.

If a vacancy occurs within 6 months of the Parish Council's forthcoming ordinary elections, then the election will not be held, however, the vacancy may be filled by co-option if wished, but the Council is not obliged to do so.

Co-option Procedure

If a by-election has not been called, the Council may ask for volunteers to fill the co-option. It should be by a notice on the website and notice boards asking for anyone wishing to serve as a Councillor to complete a short application form which will include their reasons for becoming a Parish Councillor together with their legal qualifications (citizenship / electoral register etc), a declaration and consent by a set date. The Parish Council will then consider all applications at a meeting.

Only Councillors present at the meeting may nominate, second or vote upon the person to fill the vacancy.

Councillors will receive a copy of the application form of those wishing to be considered as a Councillor.

Councillors should be informed of the names of anyone wishing to be considered as a Councillor.

At the Council meeting when the co-option takes place, each nominee will be invited to speak for up to three minutes. Nominees do not have to be in attendance to put themselves forward for co-option. When all the nominees who wish to, have spoken, the Chair shall seek proposers and seconders for each nomination

A Councillor does not have to nominate any of the persons named. Any Councillor may nominate someone for the vacancy, provided the person is willing to be nominated and the nomination is seconded; that name may then be voted upon.

Voting to fill the vacancy should comply with the Council's Standing Orders but would usually be done by a show of hands (unless the Council has adopted Standing Orders which allow any other form of voting in Council). If there is only one vacancy, a Councillor may only nominate or second one candidate.

The Chair should place the names of those properly nominated into alphabetical order and take a vote. Councillors only have one vote each.

The first candidate to receive an absolute majority of those present and voting is declared elected.

Should no single candidate receive a majority on the first vote, the person with the lowest number of votes is eliminated. Voting takes place on the remainder of the candidates (one vote per Councillor) until one person receives an absolute majority.

Once elected, the co-opted Councillor must sign a Declaration of Acceptance of Office form and complete the Register of Members' Interests Form which is sent to [District / Unitary Council] for recording.

Adopted at a meeting on 06th May 2025 (Minute reference []) to be reviewed in two years or sooner should circumstance or legislation dictate.

ASH PARISH COUNCIL Co-option Application

Information for parishioners wanting to be considered for co-option as Councillor for Ash Parish Council.

The Role of a Parish Council

A parish council is a local authority that makes decisions on behalf of the people in the parish and has an overall responsibility for the well-being of its local community. It is the level government closest to the community and its work falls into three main categories:

- Representing the local community
- Delivering services to meet local needs
- Striving to improve quality of life in the parish

The Role and Responsibilities of a Parish Councillor

Parish councillors act as ambassadors for their community, keeping everyone aware of local needs and concerns. Councillors represent the voice of their community as a whole, whilst being aware of, and considerate to, specific minority needs.

Responsibilities include (but not limited to):

- To determine council policy and provide community leadership; monitor and review council performance in implementing policies and delivering services; represent the council externally; and act as advocates for their residents/community
- Improve the quality of life and the environment in their local area.
- Work to identify issues that are important to the lives of the residents
- Be well-informed, especially about diverse local views. A councillor cannot assume they represent the interests of the residents without consulting them.
- Representing the views of residents in an objective and professional way.
- Make difficult decisions, in an open and reasoned way.
- Run local services, such as open spaces, play areas etc. (often done in conjunction with a team of willing volunteers undertaking various 'manual' tasks).
- Take accountability for their own designated areas of responsibilities; undertake actions from and report back at PC meetings.
- Support the roles and responsibilities of other councillors and the clerk.
- To comply at all times with the councillors' Code of Conduct, the law, and such other policies, procedures, protocols and conventions agreed by the council, which includes attendance at PC meetings and good time keeping.

Chairs have additional responsibilities, which mean that they may have to have a closer working relationship with employees (clerk) than other councillors do.

The Role and Responsibilities of a Parish Clerk

Officers (clerks) are responsible to the council. Their job is to give advice to councillors and to the council. The primary role of officers is to advise, inform and support all members and to implement the agreed policies of the council.

Responsibilities include (but not limited to):

- Ensures that the council conducts its business lawfully
- Administers all the council's paperwork
- Ensures that meeting papers are properly prepared and publicised
- Keeps property registers and other legal documents
- Keeps up to date by training /qualification

Both councillors and officers (clerks) are servants of the public and are indispensable to one another. Together, they bring the critical skills, experience and knowledge required to manage an effective local authority. At the heart of this relationship, is the importance of mutual respect.

ASH PARISH COUNCIL
Co-option Application

Application form for co-option to Ash Parish Council

Please complete this form to be considered as a candidate for membership of the parish council, then return by post to: Zannette Bougourd, Clerk to Ash Parish Council, 9 Redgate Park, Crewkerne. TA187NL or by e-mail (preferably as a printable pdf document) to clerk@ashpcsomerset.com

NAME:(Mr/Mrs/Miss/Ms/Other)

ADDRESS:

.....

E-mail address

Telephone

Qualification to serve

You are at least 18 years old and a British citizen or an eligible Commonwealth and you must be able to answer "YES" to at least one of the following four questions; please circle as many as apply to you.

| | |
|--|--------|
| 1. Are you registered at the above address as a Local Government Elector for the area of Ash Parish (Somerset)? | YES/NO |
| 2. During the whole of the last 12 months have you resided in the Parish or within 3 miles (4.8 kilometres) of it? Show address if different from above | YES/NO |
| 3: During the whole of the last 12 months have you occupied as owner or Tenant land or premises in the Parish: Give address of land or premises. | YES/NO |

ASH PARISH COUNCIL
Co-option Application

| | |
|--|---------------|
| <p>4: During the whole of the last 12 months has your main place of work been within the Parish? Give address and place of work and employer if applicable.</p> | <p>YES/NO</p> |
| <p>Disqualifications (as provided in the Local Government Act 1972, s80; Localism Act 2011, s34) If you answer “YES” to any of the following six questions then it is likely that it will not be possible to co-opt you to the Parish Council. All information will be treated in the strictest confidence.</p> | |
| <p>1. Do you now hold, or have you in the last 12 months held, any paid office or any other position of profit with this Council or an organisation controlled by the Council?</p> | <p>YES/NO</p> |
| <p>2: Have you ever been surcharged by the District Auditor for £500 or more?</p> | <p>YES/NO</p> |
| <p>3: Have you ever been disqualified by a Court from holding Public Office?</p> | <p>YES/NO</p> |
| <p>4: Have you ever been declared bankrupt?</p> | <p>YES/NO</p> |
| <p>5: Have you ever been convicted of any offense where the sentence of imprisonment was more than 3 months(even if sentence suspended)</p> | <p>YES/NO</p> |
| <p>6: Have you ever been found guilty of corrupt or illegal practices under Election Laws?</p> | <p>YES/NO</p> |

ASH PARISH COUNCIL
Co-option Application

ASH PARISH COUNCIL CO-OPTION OF COUNCILLOR APPLICATION FORM

Ash Parish Council are keen to co-opt fellow members of the community who are team players, willing to contribute their own ideas whilst respecting those of others and accepting majority decisions. Experience of serving on a council or with other voluntary groups may be useful. Please use this form to explain how your background and skills may enable you to contribute to the running of the Parish.

NAME:

REASONS FOR WISHING TO BE A COUNCILLOR

YOUR SKILLS / EXPERIENCE WHICH MAY BE USEFUL AS A COUNCILLOR

PREVIOUS COMMUNITY/VOLUNTARY/COUNCIL WORK (IF APPLICABLE)

ASH PARISH COUNCIL

Co-option Application

| |
|--|
| ANY SPECIFIC AREAS OF RESPONSIBILITY YOU ARE INTERESTED IN |
| |
| ANY OTHER COMMENTS |
| |

I have set out information about my background and reasons for applying to be considered for co-option within the form above.

Signed

Date.....

INVESTMENT POLICY – MAY 2025

1. Introduction.

This 'Investment Policy' complies with the revised requirements set out in the Department of Communities and Local Government Guidance on Local Government Investments (3rd Edition) and takes into account Section 15(1)(a) of the Local Government Act 2003 and guidance within Governance and Accountability for Local Councils Practitioner's Guide 2018.

An investment strategy is required for all investments expected to exceed £100,000.00 at any time during the financial year.

The Local Government Act 2003 states that a local authority may invest:

- For any purpose relevant to its functions under any enactment.
- For the purpose of prudent management of its financial affairs.

Ash Parish Council acknowledges the importance of investing the temporary surplus funds held on behalf of the community as part of its fiduciary duty.

This policy establishes formal objectives, policies and practices and reporting arrangements for the effective management and control of the Parish Council's investment activities and the associated risks and should be read in conjunction with the Parish Council's Financial Regulations.

2. Investment Objectives & Principles.

The Parish Council aims to invest its reserves in order to maintain the value of these funds in real terms, to support future service delivery.

The Parish Council is required to consider investments in line with the following principles:

- (a) Security – protection of the investment sum from loss of value and to minimise risk; and, (b) Liquidity – how quickly the invested funds can be encashed if required.

Once principles (a) and (b) have been determined, the Parish Council can reasonably consider suitable investments to maximise the Parish Council's income (yield).

The Parish Council should consider the appropriate balance between security, liquidity and yield in relation to risk when making any investment decisions and in order to achieve the optimum return on investment.

All investments shall be made in the name of the Parish Council and be deposited in sterling.

The decision to invest funds must be recommended by the full Council.

The choice of institution and length of the deposit shall be decided by Full Council.

The Parish Council will consider spreading its investments across financial institutions to minimise risk and to protect its funds.

Ash Parish Council

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Should the Parish Council appoint an investment manager or financial advisor, this appointment shall be reviewed every five years. Advisors will be made aware of this policy as well as the regulatory and statutory framework applicable to Parish Councils.

All investments will be with financial institutions, banks and building societies registered in the UK.

The Investment Policy will be published on the Parish Council's website.

The Parish Council does not currently plan on investing in non-financial assets.

3. Short Term Specified Investments.

Short term specified investments are defined as those offering high security and high liquidity, made in sterling and with a maturity of no more than 12 months. Short term investments made with the UK Government or to a local authority or Parish Council will automatically be defined as a specified investment.

For the prudent management of the Parish Council's balances and in order to maintain adequate levels of security and liquidity the Parish Council may choose to invest short term funds with:

- Banks, building societies, local authorities or other public authorities who are all based in the UK;
- Other approved public sector investment funds.

4. Long Term Non-Specified Investments.

Non-specified investments are defined as any investments that do not meet the criteria of short term specified investments detailed in section 3. In general, non-specified investments have greater risk potential and include investment in money markets, stocks and shares.

Funds may be invested for periods of more than 12 months. In specifying the length of the investment, the Parish Council's anticipated expenditure requirement over the proposed investment period will be assessed to ensure sufficient funds remain available.

The Parish Council may place investments not required for current expenditure with long term nonspecified investments with a maturity period of up to 5 years, at which point the investment objectives should be fully reviewed to determine whether the investment should continue.

The Parish Council will determine the upper limits for the maximum amounts that can be held individually or accumulatively in non-specified investments and will confirm annually that the investments have remained within these limits.

The Parish Council will seek the advice of an independent financial advisor prior to making any long-term investments, as part of the risk management process.

5. Risk Management & Monitoring.

Investments will be spread over different providers where appropriate to minimise risk.

The Parish Council will monitor the risk of loss on investments by reviewing credit ratings for the investment institutions at least annually. This will be achieved by asking the institutions to confirm their current credit rating.

The Parish Clerk, as Responsible Financial Officer, shall be the contact for the financial advisor and any other appointed counterparties and is authorised to deal with administrative matters and give instructions on behalf of the Parish Council as necessary to protect the Parish Council's investments.

6. End of Year Investment Report & Governance.

At the end of the financial year the Parish Clerk will supply an annual report to the Full Council.

The Parish Clerk is responsible for bringing to the Parish Council's attention any matters which may affect the security of the Parish Council's investments. The Full Council is responsible for agreeing any course of action required to safeguard its investments.

The Investment Policy will be reviewed annually prior to ratification by Full Council.

Any amendments required to the Investment Policy must be approved by Full Council prior to implementation. Amendments can be proposed at any time during the year, as required.

7. Investment Strategy 2025/26.

The Parish Council will continue to use Lloyds Bank plc as the primary banking provider.

The Parish Council will ensure it has adequate cash resources or standby facilities to enable it at all times to have the level of funds available which are necessary for the achievement of its service objectives.

Long-term investments are defined in the Guidance as greater than 12 months. The Parish Council does not currently hold any long-term investments.

For the financial year 2025/26 the Parish Council will invest as much of its balances as possible in low-risk products in order to achieve its investment objectives.

The investments will be split between:

- Lloyds Bank plc; current and instant access savings accounts and,
- Lloyds Bank plc 95 day call account.

ASH PARISH COUNCIL'S DISCIPLINARY POLICY 2025

Introduction

- 1 This policy is based on and complies with the 2015 ACAS Code of Practice (<http://www.acas.org.uk/index.aspx?articleid=2174>).
- 2 It also takes account of the ACAS guide on discipline and grievances at work. https://www.acas.org.uk/media/1043/Discipline-and-grievances-at-work-The-Acas-guide/pdf/DG_Guide_Feb_2019.pdf
- 3 The policy is designed to help Council employees improve unsatisfactory conduct and performance in their job. Wherever possible, the Council will try to resolve its concerns about employees' behaviour informally, without starting the formal procedure set out below.
- 4 The policy will be applied fairly, consistently and in accordance with the Equality Act 2010.
- 5 This policy confirms:
 - informal coaching and supervision will be considered, where appropriate, to improve conduct and / or attendance
 - the Council will fully investigate the facts of each case
 - the Council recognises that misconduct and unsatisfactory work performance are different issues. The disciplinary policy will also apply to work performance issues to ensure that all alleged instances of employees' underperformance are dealt with fairly and in a way that is consistent with required standards. However, the disciplinary policy will only be used when performance management proves ineffective. For more information see ACAS "Performance Management" at <https://www.acas.org.uk/index.aspx?articleid=6608>
 - employees will be informed in writing about the nature of the complaint against them and given the opportunity to state their case
 - employees will be provided, where appropriate, with written copies of evidence and relevant witness statements in advance of a disciplinary hearing
 - employees may be accompanied or represented by a companion – a workplace colleague, a trade union representative or a trade union official - at any investigatory, disciplinary or appeal meeting. The companion is permitted to address such meetings, to put the employee's case and confer with the employee. The companion cannot answer questions put to the employee, address the meeting against the employee's wishes or prevent the employee from explaining his/her case
 - the Council will give employees reasonable notice of any meetings in this procedure. Employee must make all reasonable efforts to attend. Failure to attend any meeting may result in it going ahead and a decision being taken. An employee who does not attend a meeting will be given the opportunity to be represented and to make written submissions
 - if the employee's companion is not available for the proposed date of the meeting, the employee can request a postponement and can propose an alternative date

that is within 7 calendar days of the original meeting date unless it is unreasonable not to propose a later date

- any changes to specified time limits in the Council's procedure must be agreed by the employee and the Council
- information about an employee's disciplinary matter will be restricted to those involved in the disciplinary process. A record of the reason for disciplinary action and the action taken by the Council is confidential to the employee. The employee's disciplinary records will be held by the Council in accordance with the General Data Protection Regulation (GDPR)
- audio or video recordings of the proceedings at any stage of the disciplinary procedure are prohibited, unless agreed by all affected parties as a reasonable adjustment that takes account of an employee's medical condition
- employees have the right to appeal against any disciplinary decision. The appeal decision is final
- if an employee who is already subject to the Council's disciplinary procedure raises a grievance, the grievance will normally be heard after the completion of the disciplinary procedure
- disciplinary action taken by the Council can include a written warning, final written warning or dismissal
- this procedure may be implemented at any stage if the employee's alleged misconduct warrants this
- except for gross misconduct when an employee may be dismissed without notice, the Council will not dismiss an employee on the first occasion that it decides there has been misconduct
- if an employee is suspended following allegations of misconduct, it will be on full pay and only for such time as is necessary. Suspension is not a disciplinary sanction. The Council will write to the employee to confirm any period of suspension and the reasons for it,
- the Council may consider mediation at any stage of the disciplinary procedure where appropriate (for example where there have been communication breakdowns or allegations of bullying or harassment). Mediation is a dispute resolution process that requires the consent of affected parties

Examples of misconduct

6 Misconduct is employee behaviour that can lead to the employer taking disciplinary action. The following list contains some examples of misconduct: The list is not exhaustive.

- unauthorised absence
- poor timekeeping
- misuse of the Council's resources and facilities including telephone, email and internet
- inappropriate behaviour
- refusal to follow reasonable instructions
- breach of health and safety rules.

Examples of gross misconduct

- 7 Gross misconduct is misconduct that is so serious that it is likely to lead to dismissal without notice. The following list contains some examples of gross misconduct: The list is not exhaustive
- bullying, discrimination and harassment
 - incapacity at work because of alcohol or drugs
 - violent behaviour
 - fraud or theft
 - gross negligence
 - gross insubordination
 - serious breaches of council policies and procedures e.g. the Health and Safety Policy, Equality and Diversity Policy, Data Protection Policy and any policies regarding the use of information technology
 - serious and deliberate damage to property
 - use of the internet or email to access pornographic, obscene or offensive material
 - disclosure of confidential information.

Suspension

- 8 If allegations of gross misconduct or serious misconduct are made, the council may suspend the employee while further investigations are carried out. Suspension will be on full pay. Suspension does not imply any determination of guilt or innocence, as it is merely a measure to enable further investigation.
- 9 While on suspension, the employee is required to be available during normal hours of work in the event that the council needs to make contact. The employee must not contact or attempt to contact or influence anyone connected with the investigation in any way or to discuss this matter with any other employee or councillor.
- 10 The employee must not attend work. The council will make arrangements for the employee to access any information or documents required to respond to any allegations.

Examples of unsatisfactory work performance

- 11 The following list contains some examples of unsatisfactory work performance: The list is not exhaustive.
- inadequate application of management instructions/office procedures
 - inadequate IT skills
 - unsatisfactory management of staff
 - unsatisfactory communication skills.

The Procedure

- 12 Preliminary enquiries. The council may make preliminary enquiries to establish the basic facts of what has happened in order to understand whether there may be a case to answer under the disciplinary procedure.
- 13 If the employee's manager believes there may be a disciplinary case to answer, the council may initiate a more detailed investigation undertaken to establish the facts of a situation or to establish the perspective of others who may have witnessed misconduct.
- 14 Informal Procedures. Where minor concerns about conduct become apparent, it is the manager's responsibility to raise this with the employee and clarify the improvements required. A file note will be made and kept by the manager. The informal discussions are not part of the formal disciplinary procedure. If the conduct fails to improve, or if further matters of conduct become apparent, the manager may decide to formalise the discussions and invite the employee to a first stage disciplinary hearing.

Disciplinary investigation

- 15 A formal disciplinary investigation may sometimes be required to establish the facts and whether there is a disciplinary case to answer.
- 16 If a formal disciplinary investigation is required, the Council's staffing committee will appoint an Investigator who will be responsible for undertaking a fact-finding exercise to collect all relevant information. The Investigator will be independent and will normally be a councillor. If the staffing committee considers that there are no councillors who are independent (for example, because they all have direct involvement in the allegations about the employee), it will appoint someone from outside the Council. The Investigator will be appointed as soon as possible after the allegations have been made. The staffing committee will inform the Investigator of the terms of reference of the investigation. The terms of reference should specify:
 - the allegations or events that the investigation is required to examine
 - whether a recommendation is required
 - how the findings should be presented. For example, an investigator will often be required to present the findings in the form of a written report
 - who the findings should be reported to and who to contact for further direction if unexpected issues arise or advice is needed.
- 17 The Investigator will be asked to submit their findings usually within 35 Calendar days of appointment where possible. In cases of alleged unsatisfactory performance or of allegations of minor misconduct, the appointment of an investigator may not be necessary and the Council may decide to commence disciplinary proceedings at the next stage - the disciplinary meeting (see paragraph 22).
- 18 The staffing committee will notify the employee in writing of the alleged misconduct and details of the person undertaking the investigation. The employee may be asked to meet an investigator as part of the disciplinary investigation. The employee will be given sufficient notice of the meeting with the Investigator so that they have

reasonable time to prepare for it. The letter will explain the investigatory process and that the meeting is part of that process. The employee will be provided with a copy of the Council's disciplinary procedure. The Council will also inform the employee that when they meet with the Investigator, they will have the opportunity to comment on the allegations of misconduct.

- 19 Employees may be accompanied or represented by a workplace colleague, a trade union representative or a trade union official at any investigatory meeting.
- 20 If there are other persons (e.g. employees, councillors, members of the public or the Council's contractors) who can provide relevant information, the Investigator should try to obtain it from them in advance of the meeting with the employee.
- 21 The Investigator has no authority to take disciplinary action. Their role is to establish the facts of the case as quickly as possible and prepare a report that recommends to the staffing committee whether or not disciplinary action should be considered under the policy.
- 22 The Investigator's report will contain their recommendations and the findings on which they were based. They will recommend either:
 - the employee has no case to answer and there should be no further action under the Council's disciplinary procedure
 - the matter is not serious enough to justify further use of the disciplinary procedure and can be dealt with informally or
 - the employee has a case to answer and a formal hearing should be convened under the Council's disciplinary procedure.
- 23 The Investigator will submit the report to the staffing committee which will decide whether further action will be taken.
- 24 If the Council decides that it will not take disciplinary action, it may consider whether mediation would be appropriate in the circumstances.

The disciplinary meeting

- 25 If the staffing committee decides that there is a case to answer, it will appoint a staffing sub-committee of 3 councillors, to formally hear the allegations. The staffing sub-committee will appoint a Chairman from one of its members. The Investigator shall not sit on the sub-committee.
- 26 No councillor with direct involvement in the matter shall be appointed to the sub-committee. The employee will be invited, in writing, to attend a disciplinary meeting. The sub-committee's letter will confirm the following:
 - the names of its Chairman and other two members
 - details of the alleged misconduct, its possible consequences and the employee's statutory right to be accompanied at the meeting
 - a copy of the information provided to the sub-committee which may include the investigation report, supporting evidence and a copy of the Council's disciplinary procedure

- the time and place for the meeting. The employee will be given reasonable notice of the hearing so that they have sufficient time to prepare for it
 - that witnesses may attend on the employee's and the Council's behalf and that both parties should inform each other of their witnesses' names at least 2 working days before the meeting
 - that the employee may be accompanied by a companion - a workplace colleague, a trade union representative or a trade union official
- 27 The purpose of the disciplinary meeting hearing is for the allegations to be put to the employee and then for the employee to give their perspective. It will be conducted as follows:
- the Chairman will introduce the members of the sub-committee to the employee and explain the arrangements for the hearing
 - the Chairman will set out the allegations and invite the Investigator to present the findings of the investigation report (if there has been a previous investigation)
 - the Chairman will invite the employee to present their account
 - the employee (or the companion) will set out their case and present evidence (including any witnesses and/or witness statements)
 - any member of the sub-committee and the employee (or the companion) may question the Investigator and any witness
 - the employee (or companion) will have the opportunity to sum up
- 28 The Chairman will provide the employee with the sub-committee's decision with reasons, in writing, within 7 calendar days of the meeting. The Chairman will also notify the employee of the right to appeal the decision.
- 29 The disciplinary meeting may be adjourned to allow matters that were raised during the meeting to be further investigated by the sub-committee.

Disciplinary action

- 30 If the sub-committee decides that there should be disciplinary action, it may be any of the following:

First written warning

- 31 If the employee's conduct has fallen beneath acceptable standards, a first written warning will be issued. A first written warning will set out:
- the reason for the written warning, the improvement required (if appropriate) and the time period for improvement
 - that further misconduct/failure to improve will result in more serious disciplinary action
 - the employee's right of appeal
 - that a note confirming the written warning will be placed on the employee's personnel file, that a copy will be provided to the employee and that the warning will remain in force for a specified period of time (e.g. 12 months).

Final written warning

- 32 If the offence is sufficiently serious, or if there is further misconduct or a failure to improve sufficiently during the currency of a prior warning, the employee will be given a final written warning. A final written warning will set out:
- the reason for the final written warning, the improvement required (if appropriate) and the time period for improvement
 - that further misconduct/failure to improve will result in more serious disciplinary action up to and including dismissal
 - the employee's right of appeal
 - that a note confirming the final written warning will be placed on the employee's personnel file, that a copy will be provided to the employee and that the warning will remain in force for a specified period of time (e.g. 12 months).

Dismissal

- 33 The Council may dismiss:
- for gross misconduct
 - if there is no improvement within the specified time period, in the conduct which has been the subject of a final written warning.
 - if another instance of misconduct has occurred and a final written warning has already been issued and remains in force.
- 34 The Council will consider very carefully a decision to dismiss. If an employee is dismissed, they will receive a written statement of the reasons for their dismissal, the date on which the employment will end and details of their right of appeal. If the sub-committee decides to take no disciplinary action, no record of the matter will be retained on the employee's personnel file. Action taken as a result of the disciplinary meeting will remain in force unless it is modified as a result of an appeal.

The appeal

- 35 An employee who is the subject of disciplinary action will be notified of the right of appeal. Their written notice of appeal must be received by the Council within 7 calendar days of the employee receiving written notice of the disciplinary action and must specify the grounds for appeal.
- 36 The grounds for appeal include;
- a failure by the Council to follow its disciplinary policy.
 - the sub-committee's disciplinary decision was not supported by the evidence.
 - the disciplinary action was too severe in the circumstances of the case.
 - new evidence has come to light since the disciplinary meeting.
- 37 Where possible, the appeal will be heard by a panel of 3 members of the staffing committee who have not previously been involved in the case. This includes the Investigator. There may be insufficient members of the staffing committee who have not previously been involved. If so, the appeal panel will be a committee of 3 members

of the Council who may include members of the staff committee. The appeal panel will appoint a Chairman from one of its members.

- 38 The employee will be notified, in writing, within 14 calendar days of receipt of the notice of appeal of the time, date and place of the appeal meeting. The employee will be advised that they may be accompanied by a companion - a workplace colleague, a trade union representative or a trade union official.
- 39 At the appeal meeting, the Chairman will:
 - introduce the panel members to the employee.
 - explain the purpose of the meeting, which is to hear the employee's reasons for appealing against the disciplinary decision
 - explain the action that the appeal panel may take.
- 40 The employee (or companion) will be asked to explain the grounds for appeal.
- 41 The Chairman will inform the employee that they will receive the decision and the panel's reasons, in writing, usually within five working days of the appeal hearing.
- 42 The appeal panel may decide to uphold the disciplinary decision of the staffing committee, substitute a less serious sanction or decide that no disciplinary action is necessary. If it decides to take no disciplinary action, no record of the matter will be retained on the employee's personnel file.
- 43 If an appeal against dismissal is upheld, the employee will be paid in full for the period from the date of dismissal and continuity of service will be preserved.
- 44 The appeal panel's decision is final.

Date of policy: April 2025

Date of Council meeting: 07th April 2025

Policy version reference: ADP 2025

Supersedes: Ash Disciplinary Policy 2024

Policy effective from: April 2025

Date for next review: 2027

Retention and Disposal Policy

1. Introduction

- 1.1 The Council accumulates a vast amount of information and data during the course of its everyday activities. This includes data generated internally in addition to information obtained from individuals and external organisations. This information is recorded in various different types of document.
- 1.2 Records created and maintained by the Council are an important asset and as such measures need to be undertaken to safeguard this information. Properly managed records provide authentic and reliable evidence of the Council's transactions and are necessary to ensure it can demonstrate accountability.
- 1.3 Documents may be retained in either 'hard' paper form or in electronic forms. For the purpose of this policy, 'document' and 'record' refers to both hard copy and electronic records.
- 1.4 It is imperative that documents are retained for an adequate period of time. If documents are destroyed prematurely the Council and individual officers concerned could face prosecution for not complying with legislation and it could cause operational difficulties, reputational damage and difficulty in defending any claim brought against the Council.
- 1.5 In contrast to the above the Council should not retain documents longer than is necessary. Timely disposal should be undertaken to ensure compliance with the General Data Protection Regulations so that personal information is not retained longer than necessary. This will also ensure the most efficient use of limited storage space.

2. Scope and Objectives of the Policy

- 2.1 The aim of this document is to provide a working framework to determine which documents are:
 - Retained – and for how long; or
 - Disposed of – and if so by what method.
- 2.2 There are some records that do not need to be kept at all or that are routinely destroyed in the course of business. This usually applies to information that is duplicated, unimportant or only of a short-term value. Unimportant records of information include:
 - 'With compliments' slips.
 - Catalogues and trade journals.
 - Non-acceptance of invitations.
 - Trivial electronic mail messages that are not related to Council business.
 - Requests for information such as maps, plans or advertising material.
 - Out of date distribution lists.
- 2.3 Duplicated and superseded material such as stationery, manuals, drafts, forms, address books and reference copies of annual reports may be destroyed.

- 24 Records should not be destroyed if the information can be used as evidence to prove that something has happened. If destroyed the disposal needs to be disposed of under the General Data Protection Regulations

3. Roles and Responsibilities for Document Retention and Disposal

- 3.1 Councils are responsible for determining whether to retain or dispose of documents and should undertake a review of documentation at least on an annual basis to ensure that any unnecessary documentation being held is disposed of under the General Data Protection Regulations.
- 3.2 Councils should ensure that all employees are aware of the retention/disposal schedule.

4. Document Retention Protocol

- 4.1 Councils should have in place an adequate system for documenting the activities of their service. This system should take into account the legislative and regulatory environments to which they work.
- 4.2 Records of each activity should be complete and accurate enough to allow employees and their successors to undertake appropriate actions in the context of their responsibilities to:
- Facilitate an audit or examination of the business by anyone so authorised.
 - Protect the legal and other rights of the Council, its clients and any other persons affected by its actions.
 - Verify individual consent to record, manage and record disposal of their personal data.
 - Provide authenticity of the records so that the evidence derived from them is shown to be credible and authoritative.
- 4.3 To facilitate this the following principles should be adopted:
- Records created and maintained should be arranged in a record-keeping system that will enable quick and easy retrieval of information under the General Data Protection Regulations
 - Documents that are no longer required for operational purposes but need retaining should be placed at the records office.
- 4.4 The retention schedules in Appendix A: List of Documents for Retention or Disposal provide guidance on the recommended minimum retention periods for specific classes of documents and records. These schedules have been compiled from recommended best practice from the Public Records Office, the Records Management Society of Great Britain and in accordance with relevant legislation.
- 4.5 Whenever there is a possibility of litigation, the records and information that are likely to be affected should not be amended or disposed of until the threat of litigation has been removed.
- Data is backed up regularly to an external hard drive
 - Files are kept securely on a dedicated device
 - Files are backed up to Adobe File Drive and iCloud drive
 - Three copies of data are maintained
 - Original data and two copies, stored on two distinct types of media
 - At least one copy stored off site (cloud storage)

5. Document Disposal Protocol

- 5.1 Documents should only be disposed of if reviewed in accordance with the following:
- Is retention required to fulfil statutory or other regulatory requirements?
 - Is retention required to meet the operational needs of the service?
 - Is retention required to evidence events in the case of dispute?
 - Is retention required because the document or record is of historic interest or intrinsic value?
- 5.2 When documents are scheduled for disposal the method of disposal should be appropriate to the nature and sensitivity of the documents concerned. A record of the disposal will be kept to comply with the General Data Protection Regulations.
- 5.3 Documents can be disposed of by any of the following methods:
- Non-confidential records: place in waste paper bin for disposal.
 - Confidential records or records giving personal information: shred documents.
 - Deletion of computer records.
 - Transmission of records to an external body such as the County Records Office.
- 5.4 The following principles should be followed when disposing of records:
- All records containing personal or confidential information should be destroyed at the end of the retention period. Failure to do so could lead to the Council being prosecuted under the General Data Protection Regulations.
 - the Freedom of Information Act or cause reputational damage.
 - Where computer records are deleted steps should be taken to ensure that data is 'virtually impossible to retrieve' as advised by the Information Commissioner.
 - Where documents are of historical interest it may be appropriate that they are transmitted to the County Records office.
 - Back-up copies of documents should also be destroyed (including electronic or photographed documents unless specific provisions exist for their disposal).
- 5.5 Records should be maintained of appropriate disposals. These records should contain the following information:
- The name of the document destroyed.
 - The date the document was destroyed.
 - The method of disposal.

6. Data Protection Act 2018 – Obligation to Dispose of Certain Data

- 6.1 The Data Protection Act 2018 ('Fifth Principle') requires that personal information must not be retained longer than is necessary for the purpose for which it was originally obtained. Section 1 of the Data Protection Act defines personal information as:

Data that relates to a living individual who can be identified:

- a) from the data, or
- b) from those data and other information which is in the possession of, or is likely to come into the possession of the data controller.

It includes any expression of opinion about the individual and any indication of the intentions of the Council or other person in respect of the individual.

- 6.2 The Data Protection Act provides an exemption for information about identifiable living individuals that is held for research, statistical or historical purposes to be held indefinitely

provided that the specific requirements are met.

63 Councils are responsible for ensuring that they comply with the principles of the under the General Data Protection Regulations namely:

- Personal data is processed fairly and lawfully and, in particular, shall not be processed unless specific conditions are met.

- Personal data shall only be obtained for specific purposes and processed in a compatible manner.
- Personal data shall be adequate, relevant, but not excessive.
- Personal data shall be accurate and up to date.
- Personal data shall not be kept for longer than is necessary.
- Personal data shall be processed in accordance with the rights of the data subject.
- Personal data shall be kept secure.

6.4 External storage providers or archivists that are holding Council documents must also comply with the above principles of the General Data Protection Regulations.

7. Scanning of Documents

- 7.1 In general once a document has been scanned on to a document image system the original becomes redundant. There is no specific legislation covering the format for which local government records are retained following electronic storage, except for those prescribed by HM Revenue and Customs.
- 7.2 As a general rule hard copies of scanned documents should be retained for three months after scanning.
- 7.3 Original documents required for VAT and tax purposes should be retained for six years unless a shorter period has been agreed with HM Revenue and Customs.

8. Review of Document Retention

- 8.1 It is planned to review, update and where appropriate amend this document on a regular basis (at least every three years in accordance with the *Code of Practice on the Management of Records* issued by the Lord Chancellor).
- 8.2 This document has been compiled from various sources of recommended best practice and with reference to the following documents and publications:
- *Local Council Administration*, Charles Arnold-Baker, 12th edition, Chapter 11
 - NALC LTN 40 – *Local Councils' Documents and Records*, January 2013
 - NALC LTN 37 – *Freedom of Information*, July 2009
 - *Lord Chancellor's Code of Practice on the Management of Records* issued under Section 46 of the *Freedom of Information Act 2000*
 -

9. List of Documents

- 9.1 The full list of the Council's documents and the procedures for retention or disposal can be found in Appendix A: List of Documents for Retention and Disposal. This is updated regularly in accordance with any changes to legal requirements.

Appendix A: List of Documents for Retention & Disposal

List of Documents

| Document | Minimum Retention Period | Reason | Disposal |
|---|--|---|----------|
| Minutes & Correspondence | | | |
| Signed Minutes | Indefinite | Archive, Public inspection | N/A |
| Agendas | 5 years | Management | RW |
| General emails and correspondence | Retained for as long as document is needed | Management | CW |
| Information from other bodies (eg CALC) | Retained for as long as document is useful | Management | RW |
| Local / historical information | Indefinite | To be securely kept for the benefit of the Parish | N/A |
| Magazines and journals | Retained for as long as document is useful | The Legal Deposit Libraries Act 2003 | RW |
| Finance & Payroll | | | |
| Audited Accounts | Indefinite | Audit | N/A |
| Receipt and payment accounts | Indefinite | Archive | N/A |
| Receipts books of all kinds | 6 years | VAT | RW |
| All bank statements | Last completed audit year | Audit | CW |
| Bank paying-in books | Last completed audit year | Audit | CW |
| Cheque book stubs | Last completed audit year | Audit | CW |
| Quotations and tenders (successful) | 6 years | Limitation Act 1980 (as amended) | CW |
| Paid invoices | 6 years | VAT | CW |
| Paid cheques | 6 years | Limitation Act 1980 (as amended) | CW |
| VAT records | 6 years | VAT | CW |
| Petty cash, postage and telephone books | 6 years | Tax, VAT, Limitation Act 1980 (as amended) | CW |
| Timesheets | Last completed audit year 3 years | Audit Personal injury | RW |
| Wages / payroll | 6 years from end of employment | Audit | CW |
| Scale of fees & charges | 6 years | Management | RW |
| Budgets | Indefinite | Local Choice | N/A |
| Investments | Indefinite | Audit, Management | N/A |

Human Resources

| | | | |
|---------------------------------|-----------------------------------|------------|----|
| Staff files | 6 years from end of employment | Audit | CW |
| Job applications (unsuccessful) | 6 months from time of appointment | Management | CW |

Insurance

| | | | |
|--------------------------------------|--|--|-----|
| Accident/incident reports | 20 years | Potential claims | CW |
| Insurance policies | While valid | Management | CW |
| Insurance company names & policy nos | Indefinite | Management | N/A |
| Insurance claims | 7 years after all obligations are concluded or child reaches age of 25 | Limitation Act 1980 (as amended) | CW |
| Insurance certificates | 40 years | The Employers Liability (Compulsory Insurance) Regulations 1998 (SI2753) | RW |
| Health & Safety inspection records | 6 years | Management | RW |

Miscellaneous

| | | | |
|--|-------------------------|---------------------------------------|-----|
| Strategic Plans | Until superseded | Common Practice | RW |
| Policies & Operational Procedures | Until superseded | Common Practice | RW |
| Declarations of office | Term of office | Management | CW |
| Members register of interests | Term of office | Management | CW |
| Complaints | 2 years from resolution | Management | CW |
| Title deeds, leases, agreements, contracts | Indefinite | Audit, Management | N/A |
| Members allowances register | 6 years | Tax, Limitation Act 1980 (as amended) | CW |
| Legal/Litigation Files | 6 years | Common practice | CW |

Burial Grounds

| | | | |
|---|------------|--|-----|
| Register of: Fees collected / Burials / Purchased Graves / Plan of grave spaces / Memorials | Indefinite | Local Authorities Cemeteries Order 1977 (SI204) Management | N/A |
|---|------------|--|-----|

RW – recycled waste CW – confidential waste (to be disposed of securely).

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ASH PARISH COUNCIL'S GRIEVANCE POLICY

Adopted May 2025

Introduction

1. This policy is based on and complies with the 2015 ACAS Code of Practice (<http://www.acas.org.uk/index.aspx?articleid=2174>). It also takes account of the ACAS guide on discipline and grievances at work. (https://www.acas.org.uk/media/1043/Discipline-and-grievances-at-work-The-Acas-guide/pdf/DG_Guide_Feb_2019.pdf). It aims to encourage and maintain good relationships between the Council and its employees by treating grievances seriously and resolving them as quickly as possible. It sets out the arrangements for employees to raise their concerns, problems or complaints about their employment with the Council. The policy will be applied fairly, consistently and in accordance with the Equality Act 2010.
2. Many problems can be raised and settled during the course of everyday working relationships. Employees should aim to settle most grievances informally with their line manager.
3. This policy confirms:
 - Employees have the right to be accompanied or represented at a grievance meeting or appeal by a companion who can be a workplace colleague, a trade union representative or a trade union official. This includes any meeting held with them to hear about, gather facts about, discuss, consider or resolve their grievance. The companion will be permitted to address the grievance/appeal meetings, to present the employee's case for his /her grievance/appeal and to confer with the employee. The companion cannot answer questions put to the employee, address the meeting against the employee's wishes or prevent the employee from explaining his/her case.
 - The Council will give employees reasonable notice of the date of the grievance/appeal meetings. Employees and their companions must make all reasonable efforts to attend. If the companion is not available for the proposed date of the meeting, the employee can request a postponement and can propose an alternative date that is within five working days of the original meeting date unless it is unreasonable not to propose a later date
 - Any changes to specified time limits must be agreed by the employee and the Council
 - An employee has the right to appeal against the decision about his/her grievance. The appeal decision is final
 - Information about an employee's grievance will be restricted to those involved in the grievance process. A record of the reason for the grievance, its outcome and action taken is confidential to the employee. The employee's grievance records will be held by the Council in accordance with the General Data Protection Regulation (GDPR)
 - Audio or video recordings of the proceedings at any stage of the grievance procedure are prohibited, unless agreed by all affected parties as a reasonable adjustment that takes account of an employee's medical condition
 - If an employee who is already subject to a disciplinary process raises a grievance, the grievance will normally be heard after completion of the disciplinary procedure
 - If a grievance is not upheld, no disciplinary action will be taken against an employee if he/she raised the grievance in good faith
 - The Council may consider mediation at any stage of the grievance procedure where appropriate, (for example where there have been communication breakdowns or allegations of bullying or harassment). Mediation is a dispute resolution process which requires the consent of affected parties

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- Employees can use all stages of the grievance procedure If the complaint is not a code of conduct complaint about a councillor. Employees can use the informal stage of the council's grievance procedure (paragraph 4) to deal with all grievance issues, including a complaint about a councillor Employees cannot use the formal stages of the council's grievance procedure for a code of conduct complaint about a councillor. If the complaint about the councillor is not resolved at the informal stage, the employee can contact the monitoring officer of Somerset Council who will inform the employee whether or not the complaint can be dealt with under the code of conduct. If it does not concern the code of conduct, the employee can make a formal complaint under the council's grievance procedure (see paragraph 5)
- If the grievance is a code of conduct complaint against a councillor, the employee cannot proceed with it beyond the informal stage of the council's grievance procedure. However, whatever the complaint, the council has a duty of care to its employees. It must take all reasonable steps to ensure employees have a safe working environment, for example by undertaking risk assessments, by ensuring staff and councillors are properly trained and by protecting staff from bullying, harassment and all forms of discrimination
- If an employee considers that the grievance concerns his or her safety within the working environment, whether or not it also concerns a complaint against a councillor, the employee should raise these safety concerns with his or her line manager at the informal stage of the grievance procedure. The council will consider whether it should take further action in this matter in accordance with any of its employment policies (for example its health and safety policy or its dignity at work policy) and in accordance with the code of conduct regime

Informal grievance procedure

4. The Council and its employees benefit if grievances are resolved informally and as quickly as possible. As soon as a problem arises, the employee should raise it with the HR representative to see if an informal solution is possible. Both should try to resolve the matter at this stage. If the employee does not want to discuss the grievance with the HR representative (for example, because it concerns the HR representative), the employee should contact the Chairman of the Parish Council or, if appropriate, another member of the Parish Council. If the employee's complaint is about a councillor, it may be appropriate to involve that councillor at the informal stage. This will require both the employee's and the councillor's consent.

Formal grievance procedure

5. If it is not possible to resolve the grievance informally and the employee's complaint is not one that should be dealt with as a code of conduct complaint (see above), the employee may submit a formal grievance. It should be submitted in writing to the Chairman of the Parish Council
6. The Parish Council will appoint a committee of three members to hear the grievance. The committee will appoint a Chairman from one of its members. No councillor with direct involvement in the matter shall be appointed to the committee.

Investigation

7. If the committee decides that it is appropriate, (e.g. if the grievance is complex), it may appoint an investigator to carry out an investigation before the grievance meeting to establish the facts of the case. The investigation may include interviews (e.g. the employee submitting the grievance, other employees, councillors or members of the public).
8. The investigator will summarise their findings (usually within an investigation report) and present their findings to the committee.

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Notification

9. Within 10 working days of the Council receiving the employee's grievance (this may be longer if there is an investigation), the employee will normally be asked, in writing, to attend a grievance meeting. The written notification will include the following:
- the names of its Chairman and other members
 - the date, time and place for the meeting. The employee will be given reasonable notice of the meeting which will normally be within 25 working days of when the Council received the grievance
 - the employee's right to be accompanied by a workplace colleague, a trade union representative or a trade union official
 - a copy of the Council's grievance policy
 - confirmation that, if necessary, witnesses may attend (or submit witness statements) on the employee's behalf and that the employee should provide the names of his/her witnesses as soon as possible before the meeting
 - confirmation that the employee will provide the Council with any supporting evidence in advance of the meeting, usually with at least two days' notice
 - findings of the investigation if there has been an investigation
 - an invitation for the employee to request any adjustments to be made for the hearing (for example where a person has a health condition).

The grievance meeting

10. At the grievance meeting:
- the Chairman will introduce the members of the committee to the employee
 - the employee (or companion) will set out the grievance and present the evidence
 - the Chairman will ask the employee questions about the information presented and will want to understand what action does he/she wants the Council to take
 - any member of the committee and the employee (or the companion) may question any witness
 - the employee (or companion) will have the opportunity to sum up the case
 - a grievance meeting may be adjourned to allow matters that were raised during the meeting to be investigated by the committee.
11. The Chairman will provide the employee with the committee's decision, in writing, usually within five working days of the meeting. The letter will notify the employee of the action, if any, that the Council will take and of the employee's right to appeal.

The appeal

12. If an employee decides that his/her grievance has not been satisfactorily resolved by the committee, he/she may submit a written appeal to the Parish Council. An appeal must be received by the Council within five working days of the employee receiving the committee's decision and must specify the grounds of appeal.
13. Appeals may be raised on a number of grounds, e.g.:
- a failure by the Council to follow its grievance policy
 - the decision was not supported by the evidence
 - the action proposed by the sub-committee was inadequate/inappropriate
 - new evidence has come to light since the grievance meeting.

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14. The appeal will be heard by a panel of three members of the Parish Council who have not previously been involved in the case. The appeal panel will appoint a Chairman from one of its members.
15. The employee will be notified, in writing, usually within 10 working days of receipt of the appeal of the time, date and place of the appeal meeting. The meeting will normally take place within 25 working days of the Council's receipt of the appeal. The employee will be advised that he/she may be accompanied by a workplace colleague, a trade union representative or a trade union official.
16. At the appeal meeting, the Chairman will:
 - introduce the panel members to the employee
 - explain the purpose of the meeting, which is to hear the employee's reasons for appealing against the decision of the committee
 - explain the action that the appeal panel may take.
17. The employee (or companion) will be asked to explain the grounds of appeal.
18. The Chairman will inform the employee that he/she will receive the decision and the panel's reasons, in writing, within five working days of the appeal meeting.
19. The appeal panel may decide to uphold the decision of the committee or substitute its own decision.
20. The decision of the appeal panel is final.

ASH PARISH COUNCIL GRANT-AWARDING POLICY

Objective

The Parish Council wishes to support activities and causes which benefit the parish of Ash. A grant is any payment made by the Parish Council for the specific purpose for which it is claimed and for the well-being of the community.

Eligibility

Any grant made by the Parish Council must directly benefit some or all of the residents of the parish of Ash. Applicants must set out how the community in Ash will benefit from the work funded by any grant. This is particularly important where an application is made by an organisation working regionally or nationally.

The following criteria must be met for a group to be considered for a grant: -

- The group must be a charity, voluntary or community organisation;
- The group must be able to demonstrate that any funding from the Parish Council will directly benefit the residents of Ash;
- The group must be formally constituted and have a management committee made up of volunteers.

Individuals, businesses, or political parties are **not** eligible for grant funding.

Conditions

- Multiple applications within a 12-month period will not normally be considered; although the only real limit is remaining funds.
- The Parish Council reserves the right to reclaim any grant not being used for the purpose specified on the application form. The Parish Clerk will, therefore, be allowed to verify that the monies have been spent accordingly;
- Proper evidence of actual or estimated expenditure must be supplied to the Parish Council prior to the payment of any grant. If the Parish Council is not satisfied with the arrangements, it reserves the right to request a refund of monies awarded;
- Grants cannot be awarded after the relevant project or event has been completed;
- An acknowledgement on receipt of the grant cheque is required

The following requests will not be considered:

- Support for projects outside of Ash Parish
- Core funding for projects (e.g., day to day running costs)
- General appeals from national charities
- Financial support for an individual or family
- Support for visits or charities outside the parish
- Support for minibus or transport appeals or car parks
- General advertising
- Political, lobby, pressure and campaigning groups or trade union activities
- Appeals intended to promote a particular political or denominational affiliation
- Medical research
- Projects for which organisations have any statutory duty

Application Process

- Applications should be made by completing the Grant Awarding Application Form.
- Applications must be accompanied by a copy of the organisation's most recent accounts, and its latest bank statement.
- An organisation which has not previously applied to the Parish Council must submit a copy of its constitution.
- Applications are accepted during the last quarter of the year, if funds are awarded they will be distributed after receipt of the annual precept.
- Applicants must set out any other funding they have been awarded or have applied for. Where the Parish Council is being asked to be the sole funder the applicant must explain the reason for this.
- Applicants are usually informed within two weeks of the relevant meeting.
- Grant recipients must submit an annual report showing how the funding has been used.
- Grant recipients are encouraged to attend the Annual Parish Meeting (normally May) and mount a display or make a presentation.

Promotion

The Parish Council will ask for recognition from successful groups in the form of the promotion of the Parish Council in the organisation's newsletter or any press release. The Parish Council will also recognise successful groups in its own reports to parishioners.

Reviewed and adopted by Ash Parish Council on 06th May 2025

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ASH PARISH COUNCIL COMPLAINTS POLICY

Adopted May 2024 - reviewed May 2025

1. Introduction

- 1.1 Ash Parish Council ('the Council') is committed to providing a quality service for the benefit of the people who live or work in its area or are visitors to the locality. If you are dissatisfied with the standard of service you have received from this Council or are unhappy about an action or lack of action by the Council, this policy document sets out how you may complain and how the Council will try and resolve your complaint.
- 1.2 Complaints received from members of the public about the administration or procedures of the Council are not subject to the jurisdiction of the Local Government Ombudsman. There are few remedies available, aside from the four-yearly election cycle where Councillors may not be re-elected if the public are unhappy with the representation that they have had. The Government has taken the view that town and parish councils are accountable to their electorate, principally, through the ballot box.
- 1.3 However, in the interests of transparency and accountability the Council has adopted a formal procedure for considering complaints.
- 1.4 It is essential that complaints are dealt with positively. The Council is anxious to hear people's comments and committed to making full use of complaints information to contribute to continuous service improvement.
- 1.5 The Council's Complaints Policy applies to but may not deal with, the following three areas of complaint:
 - a. Complaints about a Council service or function.
 - b. Complaints about a member of staff.
 - c. Complaints about a Councillor.
- 1.6 The appropriate time for influencing Council decision-making is by raising your concerns before the Council debates and votes on a matter. You may do this by writing to the Council in advance of the meeting at which the item is to be discussed. There may also be the opportunity to raise your concerns in the public participation section of Council meetings. If you are unhappy with a Council decision, you may raise your concerns with the Council, but Standing Orders prevent the Council from re-opening issues for six months from the date of the decision, unless there are exceptional grounds to consider this necessary and the special process set out in the Standing Orders is followed.
- 1.7 Additionally, every elector has the right to raise any matter affecting parish business at the Annual Parish Meeting. Members of the public are also able to come along and ask questions or raise issues during public question time at the start of every meeting of the Council and its constituent committee.

2. Definition of a complaint

A complaint is defined as 'any expression of dissatisfaction, however made, about the standard of service, action or lack of action by the Council, Councillors or staff, which affects an individual or group of individuals'.

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3. What the Complaints Policy will deal with

The policy will deal with:

- Neglect or unjustified delay
- malice, bias, or unfair discrimination
- failure to provide advice or information when reasonably requested
- providing misleading or inaccurate advice
- inefficiency, ineffectiveness, bad and unprofessional practice or conduct.

4. What the Complaints Policy will not deal with

The policy will not deal with:

- complaints for which there is a legal remedy or where legal proceedings already exists
- complaints about employment matters; these will be dealt with by the Council's internal grievance procedure
- complaints about an incident or matter which took place more than 12 months before a complaint is lodged

5. This Complaints Policy does not apply to:

- complaints by one employee against another employee, or between an employee and the Council as employer. These matters are dealt with under the Council's disciplinary and grievance procedures.
- complaints against Councillors. Such complaints will be dealt with under the Code of Conduct for Members, adopted by the Council at any given time. If a complaint against a Councillor is received by the Council, it will be referred to the Monitoring Officer at Somerset Council for investigation. Further information on the process of dealing with complaints against Councillors may be obtained from the Monitoring Officer at Somerset Council.

6. Equal Opportunities

- 6.1 The Council is committed to equal opportunities. Complaints/feedback will be used to highlight discriminatory practices, and to promote equality of opportunity.
- 6.2 Complaints by members of the public of discrimination and/or harassment by the Council will be dealt with through the complaints procedure unless it is a complaint that should be dealt with through a statutory procedure.

7. Stages of the Complaints Procedure

- 7.1 The stages of the procedure are designed to provide the complainant with a thorough and fair means of redress and to provide a framework for officers to work within. However, there may be occasions when a complainant makes an approach in a different manner and it is important that the procedure does not in itself become a barrier to effective communication.

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8. Informal Complaints

- 8.1 During the course of daily business, minor complaints may be made to officers regarding the service the Council provides. The appropriate officer or Clerk will usually deal with these. It is not appropriate for every comment received to be treated as a formal complaint. Every effort should be made to deal with these problems immediately, either by providing information, instigating appropriate action or explaining a decision.

9. Formal Complaints

The procedure for handling formal complaints is set out below:

Stage 1

- 9.1 A complainant may notify a complaint orally to a councillor or the Parish Clerk (as the Proper Officer of the Council). This will be recorded as a complaint and passed to the appropriate person to investigate.
- 9.2 If the complainant remains dissatisfied with the response they will be asked to put their complaint in writing to the Parish Clerk. An acknowledgement will be sent within 2 working days
- 9.3 If a complainant indicates that he/she would prefer not to put the complaint to the Parish Clerk then he/she should be advised to put it to the Chair of the Council. An acknowledgement will be sent within 2 working days.

Stage 2

- 9.4 The Parish Clerk or the Chair of the Council, will endeavour to settle the complaint directly with the complainant within 14 working days of receipt of thereof, but shall not do so without first notifying any person who is the subject of the complaint, providing an opportunity to comment.
- 9.5 If the investigation of the complaint is likely to take more than 14 working days, the complainant will be notified together with a reason for the extended investigation period.
- 9.6 Where the Parish Clerk receives a written complaint about his/her own actions, he/she shall immediately refer the complaint to the Chair of the Council.

Stage 3

- 9.7 The formal response to the complaint must also advise the complainant that, if having received a full response to the complaint, the issue remains unresolved, the complainant has the right to request, within 7 days, that the matter be referred to:
- a) the Committee responsible for the service or function for the next available meeting; or
 - b) the Staffing Committee, in the case of a member of staff, at the next available meeting.
- 9.8 A decision made by (a) or (b) above shall be considered final and the complaint considered closed.
- 9.9 If the complainant does not make such a request within 7 working days, the complaint is considered closed.

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Stage 4

- 9.10 If the complainant responds and requests the matter to be referred to the relevant committee, as detailed above, the Parish Clerk shall consider whether the circumstances of any complaint warrant the matter being discussed in the absence of the press and the public. If the matter is such that the Council or the Parish Clerk believes that the matter may lead to a disciplinary hearing then the matter must be heard with the press and public excluded.
- 9.11 If the complaint is against any employee, even if the matter is being dealt with initially out of the act as set out in the Employment Relations Act 1999 s.10. The matter before the Council in this case will be to establish whether there is a factual basis to the complaint and the action that should then be taken. The proceedings at this stage cannot be a formal disciplinary hearing, which must be convened on a separate occasion in the proper manner.
- 9.12 If the complaint is against any elected member, which cannot be resolved at a local level, this will be referred to the Monitoring Officer at Somerset Council.

Stage 5

- 9.13 The complainant will be notified, in writing, of the final decision within 14 working days of the meeting of the relevant committee.

10. Unreasonable and Vexatious Complaints

- 10.1 In the event of repeated vexatious or malicious complaints from a member of the public the Council will consider taking legal advice before writing any letters to the complainant. If new evidence is provided, it will be evaluated in case the subject matter is sufficiently different from any previous vexatious or malicious complaint as to justify consideration as a new complaint.

11. Anonymous Complaints

- 11.1 Anonymous complaints should be referred to the Parish Clerk, and may be acted on at his/her discretion, according to the type and seriousness of the allegation.

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ASH PARISH COUNCIL DATA PROTECTION POLICY

Adopted May 2024 - reviewed May 2025

Purpose

The council is committed to being transparent about how it collects and uses the personal data of staff, and to meeting our data protection obligations. This policy sets out the council's commitment to data protection, and your rights and obligations in relation to personal data in line with the General Data Protection Regulation (GDPR) and the Data Protection Act 2018 (DPA).

This policy applies to the personal data of current and former job applicants, employees, workers, contractors, and former employees, referred to as HR-related personal data. This policy does not apply to the personal data relating to members of the public or other personal data processed for council business.

The council has appointed the Parish Clerk and RFO as the person with responsibility for data protection compliance within the council. Questions about this policy, or requests for further information, should be directed to them.

Definitions

"Personal data" is any information that relates to a living person who can be identified from that data (a 'data subject') on its own, or when taken together with other information. It includes both automated personal data and manual filing systems where personal data are accessible according to specific criteria. It does not include anonymised data.

"Processing" is any use that is made of data, including collecting, recording, organising, consulting, storing, amending, disclosing or destroying it.

"Special categories of personal data" means information about an individual's racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, health, sex life or sexual orientation and genetic or biometric data as well as criminal convictions and offences.

"Criminal records data" means information about an individual's criminal convictions and offences, and information relating to criminal allegations and proceedings.

Data protection principles

The council processes HR-related personal data in accordance with the following data protection principles the council:

- processes personal data lawfully, fairly and in a transparent manner
- collects personal data only for specified, explicit and legitimate purposes
- processes personal data only where it is adequate, relevant and limited to what is necessary for the purposes of processing
- keeps accurate personal data and takes all reasonable steps to ensure that inaccurate personal data is rectified or deleted without delay
- keeps personal data only for the period necessary for processing
- adopts appropriate measures to make sure that personal data is secure, and protected against unauthorised or unlawful processing, and accidental loss, destruction or damage

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The council will tell you of the personal data it processes, the reasons for processing your personal data, how we use such data, how long we retain the data, and the legal basis for processing in our privacy notices.

The council will not use your personal data for an unrelated purpose without telling you about it and the legal basis that we intend to rely on for processing it. The council will not process your personal data if it does not have a legal basis for processing.

The council keeps a record of our processing activities in respect of HR-related personal data in accordance with the requirements of the General Data Protection Regulation (GDPR).

Processing

Personal data

The council will process your personal data (that is not classed as special categories of personal data) for one or more of the following reasons:

- it is necessary for the performance of a contract, e.g., your contract of employment (or services); and/or
- it is necessary to comply with any legal obligation; and/or
- it is necessary for the council's legitimate interests (or for the legitimate interests of a third party), unless there is a good reason to protect your personal data which overrides those legitimate interests; and/or
- it is necessary to protect the vital interests of a data subject or another person; and/or
- it is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.

If the council processes your personal data (excluding special categories of personal data) in line with one of the above bases, it does not require your consent. Otherwise, the council is required to gain your consent to process your personal data. If the council asks for your consent to process personal data, then we will explain the reason for the request. You do not need to consent or can withdraw consent later.

The council will not use your personal data for an unrelated purpose without telling you about it and the legal basis that we intend to rely on for processing it.

Personal data gathered during the employment is held in your personnel file in hard copy and electronic format on HR and IT systems and servers. The periods for which the council holds your HR-related personal data are contained in our privacy notices to individuals.

Sometimes the council will share your personal data with contractors and agents to carry out our obligations under a contract with the individual or for our legitimate interests. We require those individuals or companies to keep your personal data confidential and secure and to protect it in accordance with Data Protection law and our policies. They are only permitted to process that data for the lawful purpose for which it has been shared and in accordance with our instructions.

The council will update HR-related personal data promptly if you advise that your information has changed or is inaccurate. You may be required to provide documentary evidence in some circumstances.

The council keeps a record of our processing activities in respect of HR-related personal data in accordance with the requirements of the General Data Protection Regulation (GDPR).

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Special categories of data

The council will only process special categories of your personal data (see above) on the following basis in accordance with legislation:

- where it is necessary for carrying out rights and obligations under employment law or a collective agreement;
- where it is necessary to protect your vital interests or those of another person where you are physically or legally incapable of giving consent;
- where you have made the data public;
- where it is necessary for the establishment, exercise or defence of legal claims;
- where it is necessary for the purposes of occupational medicine or for the assessment of your working capacity;
- where it is carried out by a not-for-profit body with a political, philosophical, religious or trade union aim provided the processing relates to only members or former members provided there is no disclosure to a third party without consent;
- where it is necessary for reasons of substantial public interest on the basis of law which is proportionate to the aim pursued and which contains appropriate safeguards;
- where it is necessary for reasons of public interest in the area of public health; and
- where it is necessary for archiving purposes in the public interest or scientific and historical research purposes.

If the council processes special categories of your personal data in line with one of the above bases, it does not require your consent. In other cases, the council is required to gain your consent to process your special categories of personal data. If the council asks for your consent to process a special category of personal data, then we will explain the reason for the request. You do not have to consent or can withdraw consent later.

Individual rights

As a data subject, you have a number of rights in relation to your personal data.

Subject access requests

You have the right to make a subject access request. If you make a subject access request, the council will tell you:

- whether or not your data is processed and if so why, the categories of personal data concerned and the source of the data if it is not collected from yourself;
- to whom your data is or may be disclosed, including to recipients located outside the European Economic Area (EEA) and the safeguards that apply to such transfers;
- for how long your personal data is stored (or how that period is decided);
- your rights to rectification or erasure of data, or to restrict or object to processing;
- your right to complain to the Information Commissioner if you think the council has failed to comply with your data protection rights; and
- whether or not the council carries out automated decision-making and the logic involved in any such decision-making.

The council will also provide you with a copy of your personal data undergoing processing. This will normally be in electronic form if you have made a request electronically, unless you agree otherwise. If you want additional copies, the council may charge a fee, which will be based on the administrative cost to the council of providing the additional copies.

To make a subject access request, you should send the request to the Clerk or Chairman of the Council. In some cases, the council may need to ask for proof of identification before the request can

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be processed. The council will inform you if we need to verify your identity and the documents we require.

The council will normally respond to a request within a period of one month from the date it is received. Where the council processes large amounts of your data, this may not be possible within one month. The council will write to you within one month of receiving the original request to tell you if this is the case.

If a subject access request is manifestly unfounded or excessive, the council is not obliged to comply with it. Alternatively, the council can agree to respond but will charge a fee, which will be based on the administrative cost of responding to the request. A subject access request is likely to be manifestly unfounded or excessive where it repeats a request to which the council has already responded. If you submit a request that is unfounded or excessive, the council will notify you that this is the case and whether or not we will respond to it.

Other rights

You have a number of other rights in relation to your personal data. You can require the council to:

- rectify inaccurate data;
- stop processing or erase data that is no longer necessary for the purposes of processing;
- stop processing or erase data if your interests override the council's legitimate grounds for processing data (where the council relies on our legitimate interests as a reason for processing data);
- stop processing or erase data if processing is unlawful; and
- stop processing data for a period if data is inaccurate or if there is a dispute about whether or not your interests override the council's legitimate grounds for processing data.
- complain to the Information Commissioner. You can do this by contacting the Information Commissioner's Office directly. Full contact details including a helpline number can be found on the Information Commissioner's Office website (www.ico.org.uk).

To ask the council to take any of these steps, you should send the request to the Clerk or Chairman of the Council.

Data security

The council takes the security of HR-related personal data seriously. The council has internal policies and controls in place to protect personal data against loss, accidental destruction, misuse or disclosure, and to ensure that data is not accessed, except by employees in the proper performance of their duties.

Where the council engages third parties to process personal data on our behalf, such parties do so on the basis of written instructions, are under a duty of confidentiality and are obliged to implement appropriate technical and organisational measures to ensure the security of data.

ASH PARISH COUNCIL

Ash Pavilion, Recreation Ground, Main Street, Ash TA12 6PA

Tel: 01935 571050

E-mail: clerk@ashpcsomerset.com

Data breaches

The council have robust measures in place to minimise and prevent data breaches from taking place. Should a breach of personal data occur the council must take notes and keep evidence of that breach.

If you are aware of a data breach you must contact the Clerk or Chairman of the Council immediately and keep any evidence, you have in relation to the breach.

If the council discovers that there has been a breach of HR-related personal data that poses a risk to the rights and freedoms of yourself, we will report it to the Information Commissioner within 72 hours of discovery. The council will record all data breaches regardless of their effect.

If the breach is likely to result in a high risk to the rights and freedoms of individuals, we will tell you that there has been a breach and provide you with information about its likely consequences and the mitigation measures we have taken.

International data transfers

The council will not transfer HR-related personal data to countries outside the EEA.

Individual responsibilities

You are responsible for helping the council keep your personal data up to date. You should let the council know if data provided to the council changes, for example if you move to a new house or change your bank details.

Everyone who works for, or on behalf of, the council has some responsibility for ensuring data is collected, stored and handled appropriately, in line with the council's policies.

You may have access to the personal data of other individuals and of members of the public in the course of your work with the council. Where this is the case, the council relies on you to help meet our data protection obligations to staff and members of the public. Individuals who have access to personal data are required:

- to access only data that you have authority to access and only for authorised purposes;
- not to disclose data except to individuals (whether inside or outside the council) who have appropriate authorisation;
- to keep data secure (for example by complying with rules on access to premises, computer access, including password protection, locking computer screens when away from desk, and secure file storage and destruction including locking drawers and cabinets, not leaving documents on desk whilst unattended);
- not to remove personal data, or devices containing or that can be used to access personal data, from the council's premises without prior authorisation and without adopting appropriate security measures (such as encryption or password protection) to secure the data and the device; and
- not to store personal data on local drives or on personal devices that are used for work purposes.
- to never transfer personal data outside the European Economic Area except in compliance with the law and with express authorisation from the Clerk or Chair of the Council
- to ask for help from the council's data protection lead if unsure about data protection or if you notice a potential breach or any areas of data protection or security that can be improved upon.

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Failing to observe these requirements may amount to a disciplinary offence, which will be dealt with under the council's disciplinary procedure. Significant or deliberate breaches of this policy, such as accessing personal data without authorisation or a legitimate reason to do so or concealing or destroying personal data as part of a subject access request, may constitute gross misconduct and could lead to dismissal without notice.

This is a non-contractual policy and procedure which will be reviewed from time to time.

Please note: This Policy is the NALC Model Parish Council Data Protection Policy

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ASH PARISH COUNCIL FREEDOM OF INFORMATION POLICY

Adopted May 2024 - reviewed May 2025

The Parish Council is committed to openness and transparency and wishes to make relevant information available wherever possible to individuals who may request it, subject to safeguarding the privacy of individuals and to legitimate considerations of national security, law enforcement and commercial interests where relevant. The Freedom of Information Act gives everyone a statutory right of access to information held by bodies such as the Parish Council.

Model publication scheme

This document is based on the revised model publication scheme issued by the Information Commissioner's Office. The model scheme is at www.ico.org.uk/model-publication-scheme.pdf

Information about the Parish Council

A significant amount of information about the Parish Council is available on its website. The Parish Council Clerk should be contacted if information is needed in an alternative format.

Making a request for information

Individuals or organisations may make a written request for other information which they believe the Parish Council holds. To request information under the provisions of the Act an email (or if not possible: a letter) should be sent to the Clerk at clerk@ashpcsomerset.com or by letter to the Clerk's address. This should include the full name and valid postal address of the person or organization making the request, as required under the Act, and a clear description of the information sought.

When a request is made, a preference about the desired format of the information may be made: for example: hard copy, an opportunity to inspect a record containing the information, or providing a digest or summary of the information. The Council will try to meet the preference as far as is reasonably practical or explain if it cannot do so.

It is noted that when forwarding on documents and especially emails in a digital format, that mistakes over GDPR regulations can easily be made. Names and contact information that should remain private can easily be sent out to third parties. It is further noted that it is impossible to redact forwarded emails, and that documents can include metadata, the disclosure of which can be contrary to GDPR regulations. It is also acknowledged that Ash Parish Council has inadvertent disclosed such information in the past, and consequently, and to avoid these mistakes being made, the Parish Council deems that it is reasonable to have a policy to only send out hard copies of any documents, and that before these documents are sent to the Requestor, they will be checked by a Councillor and any personal information redacted. Each page will be initialled by said councillor to indicate that it has been checked. To ensure that there is an adequate accountability and paper trail, the redacted documents will be sent Special Delivery.

Responding to requests

The Council will inform the person or organisation making the request in writing whether it holds the information requested and if so, provide it to not later than 20 working days after it receives the request. The Freedom of Information Act identifies several categories of information which the Parish Council is not

ASH PARISH COUNCIL

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Tel: 01935 571050

E-mail: clerk@ashpcsomerset.com

required to disclose under the Act. In this case, the Council will write stating the exemption which provides the basis for refusal within the Act and why it applies to the information requested. The Council will communicate this within the above 20-day time period.

Charges for providing information under the Freedom of Information Act

There is no 'flat rate' fee to receive information and in many cases the Council will provide the information free of charge. However, it should be noted that if the information sought is not readily available in the form in which it is requested, the Parish Council may charge a fee based on the costs associated with providing the information, for example photocopying and postage (known as 'disbursements'). The Freedom of Information Act does permit the Parish Council to refuse a request if it estimates that it will cost in excess of the appropriate cost limit (currently £450) to fulfil that request.

Freedom of information Fees Notice

If it is necessary to charge a fee for disbursements, or because the costs exceed the appropriate limit, the Council will write advising of the fee required within 20 working days of receipt of the request. This is known as a 'Fees Notice'. When a Fees Notice is issued, the noted 20-day limit for a response will stop, and will start again when the Council receives payment. If the fee is not received within three months the Council is not obliged to comply with the request.

The current printing and scanning costs are as follows:

A4 black and white print out: 17 pence per page

A4 colour print out: 60 pence per page

A4 scan: 50 pence per page

Transparency of requests

Ash Parish Council is committed to a policy of transparency, and so will publish as much information about each request as it is legally allowed to. They will also publish information that allows parishioners to see the costs of every request, and information that will allow parishioners to see if multiple requests are being made, in order to allow Parishioners to check that the Parish Council are doing enough to tackle repeat and vexatious requests.

Transparency notwithstanding, Ash Parish Council will not publish any information that is contrary to the prevailing GDPR regulations.

Costs of requests

It is noted that any time that it takes to gather the information for any request may fall outside of the usual paid hours of any Council Officer or employee and in this case these hours will be payable at their usual hourly rate or the statutory rate of £25 per hour whichever is higher, and that this will be payable even if the total chargeable falls below the Fees Notice threshold.

Complaints

If anyone is dissatisfied with the way the Parish Council has responded to a request for information, they should write to:

Ash Parish Council, Ash Pavilion, Recreation Ground, Main Street, Ash TA12 6PA.

ASH PARISH COUNCIL 2025

HEALTH AND SAFETY AT WORK – INCLUDING LONE WORKER POLICY

The Parish Council recognises and accepts its responsibility for providing a safe and healthy environment for its members; staff; volunteers; visitors; and for anyone affected by its activities.

The Council maintains this policy for the management of health and safety as its top priority and will do all that is reasonably practicable to ensure effective organisation and planning are established and maintained. The Council will also ensure that appropriate and effective audit and review mechanisms are used to inform the work of the Council, which undertakes to commit appropriate resources to manage health and safety

Our statement of general policy, below, is based upon that required by virtue of the Health and Safety at Work etc. Act 1974. Although the Council has a single employee, the principles of the Act and its underpinning Regulations as later published are taken by the Council as a minimum requirement for the safe and effective management of the Council and its activities.

Our statement of general policy is:

- To provide adequate control of the health and safety risks arising from our activities;
- To consult with our staff on matters affecting their health and safety;
- To provide and maintain safe equipment;
- To provide sufficient information, instruction, and supervision of staff, volunteers and visitors as far as is reasonably practicable;
- To ensure all staff and volunteers are competent in their Council-related activities, and to provide adequate training as far as is reasonably practicable;
- To prevent accidents and activity-related ill health as far as is reasonably practicable;
- To maintain safe and healthy conditions for conducting the Council's business and the public facilities it provides; and
- To review and revise this Policy as necessary at regular intervals, but at least annually.

It is expected that all employees and members will promote and comply with safe working practices under this Policy. Each individual has a responsibility to take reasonable care for their own health and safety and for others who may be affected by their acts or omissions. All staff and members must co-operate with the Parish Council to enable them to carry out their statutory duties.

The Parish Office

All personnel using the office are advised not to leave personal items of value and money unattended as the Parish Council cannot accept responsibility for personal losses.

The office should be securely locked in periods of absence and at the end of the work period. The person responsible for locking up the office should as a matter of routine check all the following: -

That all office equipment is turned off

That all windows are closed and securely locked

That filing cabinets and other storage areas are secure
That all lights are turned off
That all entrances and exits to the building are firmly shut and securely locked if the building is unoccupied in other areas.

Any unusual circumstances whatsoever in relation to persons or property should be reported to the Chairman or Vice-Chairman.

Fire Precautions

All employees and members should familiarise themselves with the fire and evacuation procedures, the nearest fire extinguishers and how to raise the alarm. It is important to keep all fire doors closed and ensure that all corridors and fire exits are kept free from obstruction at all times when using public buildings.

Accidents

An accident or injury at work, however trivial it may appear at the time, must be reported to the Chairman or member responsible for personnel. Details of the accident should be recorded. In the event of a serious accident, dial 999 for the emergency services. The outer door should be unlocked to allow access. On no account should anyone who appears to be seriously injured be moved unless it is essential to do so for their personal safety e.g., in a fire.

Falls

The biggest cause of injury at work is falling due to a slip, trip or stumble. All employees and members should report any potential hazard. Suitable footwear and clothing should be worn. Keep working areas clear of obstruction and spills. When carrying items ensure that clear vision is maintained.

Substance Hazardous to Health

The legislation covering the control of substances hazardous to health (COSHH) requires that all substances used in the workplace must be assessed in order to identify the risk to health. There are few hazardous substances in an office environment other than correction fluid which should be used responsibly.

Lifting, Carrying and Handling

Poor lifting and handling technique is a major cause of injury, not just to backs, but to shoulders, arms and even fingers and toes. If an item is too heavy to lift, please ask for help. Information on how to lift safely is available.

Electrical Equipment

Office electrical equipment should be tested regularly under the Portable Appliance Testing regulations (Electrical Installation Contractors (NICEIC) standards).

If any malfunction or abnormality is identified the appliance should be switched off and disconnected from the electricity supply immediately and the fault reported to the Clerk or the Chairman.

Lone Working Procedures

Lone workers should not be exposed to significantly higher risks than others who work together. Precautions should take account of normal working conditions and foreseeable emergency

situations e.g., fire, equipment failure, illness and accidents. Any one working alone is responsible for ensuring they comply with agreed procedures.

All staff and members shall be personally accountable for their actions and should be especially aware of safety when working alone. No activity that might be hazardous should be undertaken.

Any problems encountered when working alone should be immediately reported to the Chairman.

Employees should not make arrangements to meet members of the public that are unknown to them, except in a public place. Appointments made with members of the public in the parish office should always be accompanied by one or more councillors.

Reviewed and adopted by Ash Parish Council on: 06th May 2025

Signed: Chairman

Email from Steve,

I reverted back to Cloud Next and put the back-up and email quota questions to them. They indicated that they take a Timeline Backup which takes a daily snapshot of the website and all mailboxes which is kept for 30 days.

In terms of the higher quota, they said that if we wanted a higher capacity we could go for the next hosting level up, which would give us 10GB mailboxes. This can be further upgraded at £14.99 per additional 10 GB, per mailbox, per year.

At the moment, I have checked and my mailbox is 3.8 GB. I could easily reduce this by deleting some of the old attachments.

They did indicate that the upgrade to the next package (Advanced) could be done as and when it is needed.

Happy to look at other options, but looking at their website, and bearing in mind things like the 100% renewable energy pledge and also the very responsive support, then I would be happy to recommend them.

Parish Council Website and Email Hosting – Specification for Quotation

Background

[Parish Council Name] Parish Council is seeking to appoint a new hosting provider to assist with the transition of our website and email services to a secure and compliant.GOV.UK domain. We require a provider experienced with supporting local councils and familiar with the Cabinet Office guidelines for using a .GOV.UK domain.

Scope of Work

We require the successful provider to:

1. Domain Services

- Assist with the registration or transfer of a new .GOV.UK domain in accordance with JISC/GOV.UK domain registration procedures.
- Provide advice on domain naming conventions and ensure all regulatory compliance is met.
- Manage DNS records (MX, SPF, DKIM, etc.) securely.

2. Website Hosting & Development

- Migrate the existing Parish Council website to the new .GOV.UK domain.
- Provide secure and reliable hosting based in the UK or EU (GDPR compliant).

- Ensure SSL certification is implemented and maintained (HTTPS by default).
- Maintain regular website backups (at least weekly).
- Provide CMS access (preferably WordPress or similar) for Council staff or Clerk to update content.
- Ensure accessibility compliance in line with WCAG 2.1 AA standards.
- Include basic technical support and maintenance (e.g., plugin updates, security patches).

3. Email Hosting

- Set up and host official email addresses using the .GOV.UK domain (e.g., [clerk@\[parish\].gov.uk](mailto:clerk@[parish].gov.uk)) based on existing emails
- Provide secure, GDPR-compliant email hosting with access via webmail and/or standard mail clients.
- Enable anti-spam, antivirus, and email filtering.
- Provide guidance and training (where needed) for Council staff on use of email system.

4. Support & Maintenance

- Provide a named point of contact for ongoing support.
- Include SLAs for support response times and uptime guarantees.
- Offer options for ongoing maintenance agreements post-setup.

Deliverables

- Working website hosted on the new .GOV.UK domain.
- Secure, functioning email addresses on the same domain.
- Documentation for administration (domain, hosting, email).
- Technical support contact details and escalation process.

Information to Include in Quote

Please include the following in your quote:

- A breakdown of setup costs (domain, migration, email setup, etc.).
- Annual hosting and maintenance costs (website and email). Giving specific cost for email size and cost for additional storage space if required for mail and website.
- Timescales for completion of setup and migration, confirming exactly what will be included with the setup and migration.
- Details of your experience with Parish or Town Councils or similar public sector bodies.

- Terms of service and support SLAs.
- Confirmation of data protection compliance (e.g., GDPR).
- Any optional/additional services available.

Timescale

We are looking to complete the transition by [Insert target date, e.g., "30th June 2025"].

Submission

Please submit your proposal by [Insert deadline, e.g., "Friday, 17th May 2025"] to:

[Parish Clerk's Name]

[Parish Council Name]

Email: [contact@old-domain.co.uk]

Phone: [Insert number]

Hunt and Dimyan
C/o Mrs Lydia Dunne
Whiteacre Planning Ltd
The Hollies
Cabbage Lane
Horsington
Templecombe
BA8 0DA

NOTIFICATION OF PROPOSED CHANGE OF USE

Town and Country Planning (General Permitted Development) Order 2015 (as amended) Determination under Schedule 2, Part 3, Class Q

APPLICATION NO 25/00474/PAMB

APPLICANT Hunt and Dimyan PARISH: Ash

DESCRIPTION: Prior Approval Notification for the change of use and conversion of existing agricultural building to form 2 No. dwellings

LOCATION: Black Hall Milton Lane Ash Martock Somerset TA12 6AL

I refer to your letter and accompanying plans notifying me of your proposed change of use.

The proposal meets the criteria of permitted development as outlined under Part 3 Class Q of the General Permitted Development Order 2015 (GPDO) (as amended).

The Council hereby grants prior approval and the change of use can go ahead subject to the following conditions:

01. Development under Class Q is permitted subject to the condition that development under Class Q(a), and under Class Q(b) must be completed within a period of 3 years starting with the prior approval date.

Reason: In order to comply with the Town and Country Planning Act 1990 together with the Town and Country Planning General Permitted Development Order 2015 (as amended).

02. Except where otherwise stipulated by conditions attached to this permission, the development shall be carried out strictly in accordance with the following drawings:
 - o Location Plan: Drawing No. 110-01, Rev B
 - o Proposed Elevations/Floor Plans/Site Plan/Roof Plan: Drawing No. 110-02, Rev C

Reason: In the interests of proper planning.

APPLICATION NO **25/00474/PAMB**

03. Prior to first occupation of the dwellings hereby permitted, 1 no. electric charging point for electric vehicles shall be provided adjacent to a parking space for each of the dwellings, each charging point should be at least 7kW, an untethered connection i.e. only a socket without a built-in cable, and capable of Mode 3 charging. Once installed, such electric charging points shall be retained and maintained in working order and kept free from obstruction and available for the purpose specified in perpetuity.

Reason: To ensure that the development is resilient and sustainable in accordance with Policy TA1 (Low Carbon Travel) of the adopted South Somerset Local Plan and the provisions of the NPPF.

04. The dwellings hereby permitted shall not be occupied until all parking spaces for the dwellings have been provided and constructed. Such parking and spaces shall be kept clear of obstruction in perpetuity and shall not be used other than for the parking and turning of vehicles in connection with the dwellings.

Reason: In the interests of Highway Safety, in accordance with Policies TA5 and TA6 of the Local Plan and the provisions of the NPPF.

05. Notwithstanding the provisions of Article 3 of the Town and Country Planning (General Permitted Development) (England) Order 2015 (as amended) (or any Order revoking, re-enacting or modifying that Order), no gates, fences, walls or other means of enclosure shall be erected on any part of the application site, its boundaries or bounding the parking and turning areas and access thereto with the express grant of planning permission.

Reason: In the interests of safeguarding visual amenity and to control the extent of curtilage associated with the development hereby permitted.

06. Prior to the first occupation and use of the dwellings hereby permitted, the protection and enhancement measures set out in '5. Considerations and Recommendations' on pages 7-9 of the Preliminary Bat Roost and Breeding Bird Assessment, prepared by Seasons Ecology, 15th January 2025 (Reference: SET_868.01) shall be fully implemented and maintained thereafter.

Reason: To ensure that the loss of wildlife habitat is mitigated as part of the development in accordance with policy EQ4 of the South Somerset Local Plan (2006-2028) and paragraph 187(d) of the NPPF 2024.

07. The external timber cladding on the conversion hereby approved shall be left to weather naturally and shall only be treated with products with a clear finish unless otherwise approved in writing by the Local Planning Authority.

Reason: To safeguard the setting of the listed building in accordance with policies EQ2 and EQ3 of the South Somerset Local Plan 2006-28.

Informatives:

01. Land Contamination - Farms

Due to the nature of farms it would be advised to keep a watching brief for potential hotspots of contamination and assess for visual/olfactory evidence of contamination during any groundworks.

If any unforeseen contamination is found during excavations Environmental Health must be notified immediately. This may include obvious visual or olfactory residues, asbestos including asbestos containing materials such as roofing, buried drums, drains, interceptors, additional fuel storage tanks or any other unexpected hazards that may be discovered during site works.

NPPF Paragraph 197: Where a site is affected by contamination or land stability issues, responsibility for securing a safe development rests with the developer and/or landowner.

Your attention is also drawn to the need for you to obtain any consent under the Building Regulations legislation, or any other Enactment, Byelaw, Order or Regulation that may be necessary.

Yours faithfully,



Alison Blom-Cooper MRTPI

Chief Planning Officer

Somerset Council

Date: 10 April 2025

APPLICATION NO **25/00474/PAMB**

The change of use and operational development must be completed before the expiration of three years from the date of this permission. Please complete and return this form on completion of the change of use and necessary operational development.

NOTICE OF COMMENCEMENT – RETURN FORM
Planning Notification Change of Use

To: planningsouth@somerset.gov.uk or post to Planning South, Council Offices,
Brympton Way, Yeovil, Somerset BA20 2HT

25/00474/PAMB Case Officer Nick Toop (Planning Officer)

The change of use permitted under the above application has now commenced.

Date: / / Signed: Print.....

Address

.....

Phone Number Email

APPLICANT: Hunt and Dimyan

DESCRIPTION: Prior Approval Notification for the change of use and conversion of existing agricultural building to form 2 No. dwellings

LOCATION: Black Hall Milton Lane Ash Martock Somerset TA12 6AL

Zannette Bougourd (Ash P.C.)

Clerk@ashpcsomerset.com

Date: 23 April 2025
Our Ref: **25/00673/FUL**
Louisa Brown (Senior Planning Officer)
Email: louisa.brown@somerset.gov.uk
(01935) 462344

PARISH/TOWN COUNCIL CONSULTATION
Town and Country Planning Act 1990

Dear Zannette Bougourd (Ash P.C.)

Proposal: Conversion, partial demolition and extension of The Old Stables to provide a single dwellinghouse, together with a change of use of adjoining land.

Location: Stone Farm 110 Main Street Ash Martock Somerset TA12 6PB

Applicant: Mr & Mrs D Dunning

Application Type : Full Application

Application Number: 25/00673/FUL

The Council has received the above application and the documents are available on the website, [Planning Search \(somerset.gov.uk\)](http://PlanningSearch(somerset.gov.uk))

Comments are welcome by **14 May 2025**. If you need more time to consider this application, please contact the Planning Officer as early as possible to agree an extension.

The application is being dealt with by Louisa Brown (Senior Planning Officer) who can be contacted by email at louisa.brown@somerset.gov.uk or by telephone on Tel No: (01935) 462344

You can Comment, Support or Object to the proposal but material planning reasons must be provided. Please use the response template issued to the Clerk to submit your comments. Any comments made will be taken into account in any Officer recommendation. Please do not submit them via the public comment facility on the Council's website above, this facility is currently only for use for members of the public and your comments may not be logged correctly.

Your response should be returned by email to PlanningSouth@somerset.gov.uk Please do not send direct to the Case Officer or include signatures or any other personal information that may need redacting.

Louisa Brown (Senior Planning Officer)
Planning Team South
Somerset Council

Zannette Bougourd (Ash P.C.)

Clerk@ashpcsomerset.com

Date: 23 April 2025
Our Ref: **25/00674/FUL**
Louisa Brown (Senior Planning Officer)
Email: louisa.brown@somerset.gov.uk
(01935) 462344

PARISH/TOWN COUNCIL CONSULTATION
Town and Country Planning Act 1990

Dear Zannette Bougourd (Ash P.C.)

Proposal: Demolition of an agricultural building and construction of a new-build dwelling and the change of use of adjoining land from agricultural to residential.

Location: Stone Farm 110 Main Street Ash Martock Somerset TA12 6PB

Applicant: Mr & Mrs D Dunning

Application Type : Full Application

Application Number: 25/00674/FUL

The Council has received the above application and the documents are available on the website, [Planning Search \(somerset.gov.uk\)](http://PlanningSearch(somerset.gov.uk))

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Your response should be returned by email to PlanningSouth@somerset.gov.uk Please do not send direct to the Case Officer or include signatures or any other personal information that may need redacting.

Louisa Brown (Senior Planning Officer)
Planning Team South
Somerset Council



| Ash PC April 2025 Bank recs for signature | | | | | |
|---|----------|-------------------------|---------------------|-------------|----------|
| Date | Type | Payee | Description | Money rec'd | Payments |
| 01/04/2025 | SO | Evis RA | Grounds maintenence | | 458.33 |
| 01/04/2025 | SO | K Bungay | Pav cleaning | | 102 |
| 01/04/2025 | DEP | Deposit | 500070 | 215 | |
| 01/04/2025 | DEP | Deposit | 500069 | 90 | |
| 03/04/2025 | DD | BT Group | Broadband | | 34.46 |
| 07/04/2025 | DEB | Virtual Landline | Pd via debit card | | 6.5 |
| 08/04/2025 | DEP | Deposit | 500071 | 372.5 | |
| 08/04/2025 | TRNS | From instant call | | 1200 | |
| 08/04/2025 | FPO | Evis RA | Lengthsman/March | | 235 |
| 08/04/2025 | FPO | Millennium Wood | Annual payment | | 1060 |
| 08/04/2025 | FPO | Salc subs | 50% with DPC | | 90 |
| 11/04/2025 | DEP | Deposit | 500072 | 90 | |
| 14/04/2025 | DEP | M Trusson | | 20 | |
| 16/04/2025 | TRF/DEP | Precept | | 52100 | |
| 16/04/2025 | TRF/DEP | Precept to instant call | | | 50000 |
| 17/04/2025 | FPI | Pitman | Band/Pavilion | 75 | |
| 22/04/2025 | PAY | Bank charges | | | 8.5 |
| 28/04/2025 | DD | British Gas | Pavilion utility | | 219.53 |
| 28/04/2025 | SO | HMRC | Clerk tax | | 90.48 |
| 28/04/2025 | SO | Clerk | Salary | | 381.9 |
| 01/05/2025 | SO | RA EVIS | Grounds maintenence | | 458.33 |
| 01/05/2025 | SO | K Bungay | Pavilion cleaning | | 102 |
| 06/05/2025 | DD | BT Group | Broadband | | 34.46 |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | 54162.5 | 53281.49 |
| Opening Balance | | | | | |
| March 31st | 2554.92 | | | | |
| INCOME | 54162.5 | | | | |
| EXPENDITURE | 53281.49 | | | | |
| BALANCE C/F | 3435.93 | | | | |
| | | | | | |
| SIGNED | | | | | |
| NAME | | | | | |
| DATE | | | | | |

| Instant Call savings account | | | | | | |
|------------------------------|-----------------|----------|-------------|----------|--|--|
| Date | Type | Payee | Money rec'd | Payments | | |
| 08/04/2025 | Transfer to C/A | | | 1200 | | |
| 09/05/2025 | Interest | | 57.58 | | | |
| 16/04/2025 | Precept | | 50000 | | | |
| | | | | | | |
| | | | 50057.58 | 1200 | | |
| | | | | | | |
| | | | | | | |
| Opening balance 31/03 /25 | | 70074.21 | | | | |
| Income | | 50057.58 | | | | |
| Transfers | | 1200 | | | | |
| Closing balance | | 118931.8 | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| 95 day Call Account | | | | | | |
| Opening balance 31/03/25 | | | 52,236.33 | | | |
| | | | | | | |

[illegible]

ASH PARISH COUNCIL REGISTER OF FIXED ASSETS - 31 March 2025

| ASSET DETAIL | ASSET LOCATION | VALUES | | | Notes (policy detail) | Annual check completed? |
|---|--|---------------------|--|-------------------------|---|-------------------------|
| | | Historical Cost | Historical cost with additions/ deducti ons 2024/5 | Insurance value 2023-24 | | Insurance values2024/25 |
| Pavilion | Rec ground | £ 213,815.00 | £ 213,815.00 | £ 291,328.00 | Pavilion | £ 308,261.00 |
| Millennium Wood shed | Millennium Wood | £ 600.00 | £ 600.00 | £ 727.00 | Timber shed | £ 763.00 |
| Pavilion shed | Rec ground | £ 1,200.00 | £ 1,200.00 | £ 1,528.00 | Pavilion Shed | £ 1,604.00 |
| TOTAL | | £ 215,615.00 | £ 215,615.00 | £ 293,583.00 | Buildings | £ 310,628.00 |
| Play Equipment (New) | Rec ground | £ 48,654.00 | £ 48,654.00 | | | |
| Willow Den | Rec ground | £ 200.00 | £ 200.00 | | | |
| TOTAL | | £ 48,854.00 | £ 48,854.00 | £ 63,925.00 | Playground equipment | £ 65,843.00 |
| Dog bins (4) | Rec ground, Millennium Wood, Burrough Street, Witcombe | £ 800.00 | £ 800.00 | | | |
| Noticeboards (2) | Main Street and Burrough Street | £ 2,000.00 | £ 2,000.00 | | | |
| Grit bins (3) | Rec ground entrance, Milton, village car park entrance | £ 416.27 | £ 416.27 | | | |
| Hamstone planters (3) | Main Street | £ 768.00 | £ 768.00 | | | |
| Adopted telephone kiosks (2) | Main Street and Milton | £ 2.00 | £ 2.00 | | | |
| Seats (7) | Burrough Street, Main Street, Cemetery, playground, Pavillion, Millennium Wood | £ 2,500.00 | £ 2,500.00 | | | |
| Lamp post planters | Main Street | £ 2,000.00 | £ 2,000.00 | | | |
| Barrier planters | Main Street | £ 300.00 | £ 300.00 | | | |
| Bus Shelters (2) | Main Street and Burrough Street | £ 7,796.00 | £ 15,590.00 | | | |
| Dog waste bag bin | Millennium Wood | £ 147.18 | £ 147.18 | | | |
| Wooden Bench | Millenium Wood | £ 1,024.00 | £ 1,024.00 | | | |
| Teak Bench | Pavilion | £ 1,000.00 | £ 1,000.00 | | | |
| Half barrow planter | Main Street | £ 100.00 | £ 100.00 | | | |
| Wheelbarrow | Main Street | £ 300.00 | £ 300.00 | | | |
| TOTAL | | £ 19,153.45 | £ 26,947.45 | £ 5,824.00 | Street furniture | £ 19,729.00 |
| Water bowser | Shed behind Pavilion | £ 100.00 | £ 100.00 | | | |
| Pressure washer | Shed behind Pavilion | £ 200.00 | £ 200.00 | | | |
| Irrigation System | Cemetery | £ 500.00 | £ 500.00 | | | |
| Strimmer & hand tools | Shed behind Pavilion | £ 200.00 | £ 200.00 | | Gardening equip, plant & machinery | |
| TOTAL | | £ 1,000.00 | £ 1,000.00 | £ 584.00 | | £ 1,030.00 |
| Traffic cones (10), high visability jacket (2) Road signs (2) | Pavilion cupboard | £ 200.00 | £ 200.00 | | | |
| Steel cabinet | Pavilion office | £ 200.00 | £ 200.00 | | | |
| Pavilion Chairs | Pavilion | £ 360.00 | £ 4,000.00 | | | |
| Pavilion Tables | Pavilion | | £ 2,000.00 | | | |
| Office printer | Pavilion office | £ 150.00 | £ --- | | | |
| Projector and screen | Pavilion office | £ 445.00 | £ 445.00 | | | |
| Office equipment (Laptop, printer (50% share with Mudford PC - £167)) | Clerks home | £ 667.00 | £ 667.00 | | General contents inc. computer & anc. equip. | |
| TOTAL | | £ 2,022.00 | £ 7,512.00 | £ 2,362.00 | | £ 2,432.00 |
| Defibrillators, cabinets & additional pads (no.2) | Pavilion and Village Hall | £ 2,807.98 | £ 3,557.98 | | | |
| TOTAL | | £ 2,807.98 | £ 3,557.98 | £5,000 | Defibrillator gen. cover | £ 5,000.00 |
| Chain link fencing | Rec ground and Cemetery extension | £ 2,340.00 | £ 2,340.00 | | | |
| Wooden fence | Rec ground | | | | | |
| Wooden fence | Millennium Wood | | | | | |
| Wooden fence | Village car park | | | | | |
| Disabled access gates (3) | Rec ground (2) and Millennium Wood | £ 2,995.00 | £ 3,261.00 | | | |
| TOTAL | | £ 5,335.00 | £ 5,601.00 | £ - | Gates and fences | £ 5,495.00 |
| Tarmac surface | Pavilion car park | £10,000 | £10,000 | | | |
| Tarmac surface | Village car park | £15,000 | £15,000 | | | |
| TOTAL | | £25,000 | £25,000 | £ - | Other surfaces | £ 25,750.00 |
| Basis of Valuation: Nominal Valuation | | | | | | |
| Cemetery | | £ 1.00 | £ 1.00 | | | |
| Cemetery extension | | £ 1.00 | £ 1.00 | | | |
| Village Car Park | | £ 1.00 | £ 1.00 | | | |
| Millenium Wood | | £ 1.00 | £ 1.00 | | | |
| Recreation ground and play area | | £ 1.00 | £ 1.00 | | | |
| TOTALS | | £ 319,792.43 | £ 334,092.43 | £ 371,278.00 | | £ 435,907.00 |

| | | Total at 31/3/2023 | Budget additions FY2023-24 | In year xfer to budget line(-) | Projected Total Reserves at 31/3/2024 | Budget additions 2024/5 | Total reserves held 31/03/25 | Notes (to be added at EoY) |
|--|--------------------|-----------------------|----------------------------------|--------------------------------------|--|-------------------------------|---------------------------------|---|
| TOTAL OF ACCOUNTS AS AT 31ST MARCH 2025 | £ 91,863.69 | | | | | | | |
| Projects with ring fenced reserves: | | | | | | | | |
| Election (NEW) | | 0 | 500 | 0 | £500.00 | | £500.00 | From Budget line @ EoY |
| Speedwatch (NEW for 24-25) | | 0 | 0 | 0 | £0.00 | £4,000.00 | £4,000.00 | £4000 allocation from 24-25 budget |
| Pavilion refurbishment | | £32,401.00 | £0.00 | | £32,401.00 | £7,160.82 | £39,561.82 | £7160.82 increase(25% of total unallocated funds) |
| Churchyard wall and gate & legal fees | | £2,000.00 | £0.00 | | £2,000.00 | £1,000.00 | £3,000.00 | £1,000 allocation from 24-25 budget |
| Village Car parks fence & maintenance | | £5,250.00 | £16,727.00 | -£5,471.00 | £16,506.00 | £14,321.64 | £30,827.64 | £14321.64 increase(50% of total unallocated funds) |
| Play area enhancement | | £ 5,373.91 | £ 1,000.00 | -£ 1,721.00 | £4,652.91 | | £4,652.91 | |
| Cemetery extension - reinstate burial ground | | £8,640.00 | £0.00 | -£416.08 | £8,223.92 | | £8,223.92 | |
| Defibrillator reserve | | £1,973.79 | £100.00 | -£750.00 | £1,323.79 | | £1,323.79 | |
| SSDC CIL payments | | £0.00 | £0.00 | | £0.00 | | £0.00 | |
| Laptop replacement fund | | £150.00 | £150.00 | | £300.00 | £150.00 | £450.00 | £150 allocation from 24-25 budget |
| ADMIN RESERVE | | £36,075.30 | £0.00 | | £22,609.64 | £7,160.82 | £29,770.46 | £7160.82 increase(25% of total unallocated funds) |
| TOTAL RESERVES | | £91,864.00 | £17,977.00 | -£8,358.08 | £88,517.26 | £33,793.28 | £122,310.53 | ACTUAL @ 31/3/25 |
| | | | | | | | | |
| | | | | | | | | |
| Total funds held (£70074.21 + £52236.33+2554.9 | £124,865.46 | | | | | | | |
| Ring fenced funds | £93,667.26 | | | | | | | |
| Balance for allocation | £28,643.27 | | | | | | | |
| Allocation percentages 25%(Pavilion & admin) | £7,160.82 | | | | | | | |
| Allocation percentages 50% (carpark) | £14,321.64 | | | | | | | |
| Current Account C/F | £2,554.93 | | | | | | | |

Annual Governance and Accountability Return 2024/25 Form 3

To be completed by Local Councils, Internal Drainage Boards and other Smaller Authorities*:

- where the higher of gross income or gross expenditure exceeded £25,000 but did not exceed £6.5 million; or
- where the higher of gross income or gross expenditure was £25,000 or less but that:
 - are unable to certify themselves as exempt (fee payable); or
 - have requested a limited assurance review (fee payable)

Guidance notes on completing Form 3 of the Annual Governance and Accountability Return 2024/25

1. Every smaller authority in England that either received gross income or incurred gross expenditure exceeding £25,000 **must** complete Form 3 of the Annual Governance and Accountability Return at the end of each financial year in accordance with *Proper Practices*.
2. **The Annual Governance and Accountability Return is made up of three parts, pages 3 to 6:**
 - The **Annual Internal Audit Report** **must** be completed by the authority's internal auditor.
 - **Sections 1 and 2** **must** be completed and approved by the authority.
 - **Section 3** is completed by the external auditor and will be returned to the authority.
3. The authority **must** approve Section 1, Annual Governance Statement, before approving Section 2, Accounting Statements, and both **must** be approved and published on the authority website/webpage **before 1 July 2025**.
4. An authority with either gross income or gross expenditure exceeding £25,000 or an authority with neither income nor expenditure exceeding £25,000, but which is unable to certify itself as exempt, or is requesting a limited assurance review, **must** return to the external auditor by email or post (not both) **no later than 30 June 2025**. Reminder letters will incur a charge of £40 +VAT:
 - the Annual Governance and Accountability Return Sections 1 and 2, together with
 - a bank reconciliation as at 31 March 2025
 - an explanation of any significant year on year variances in the accounting statements
 - notification of the commencement date of the period for the exercise of public rights
 - Annual Internal Audit Report 2024/25

Unless requested, do not send any additional documents to your external auditor. Your external auditor will ask for any additional documents needed.

Once the external auditor has completed the limited assurance review and is able to give an opinion, the Annual Governance and Accountability **Section 1, Section 2 and Section 3 – External Auditor Report and Certificate** will be returned to the authority by email or post.

Publication Requirements

Under the Accounts and Audit Regulations 2015, authorities must publish the following information on the authority website/webpage:

Before 1 July 2025 authorities **must** publish:

- Notice of the period for the exercise of public rights and a declaration that the accounting statements are as yet unaudited;
- **Section 1 - Annual Governance Statement 2024/25**, approved and signed, page 4
- **Section 2 - Accounting Statements 2024/25**, approved and signed, page 5

Not later than 30 September 2025 authorities **must** publish:

- Notice of conclusion of audit
- **Section 3 - External Auditor Report and Certificate**
- **Sections 1 and 2 of AGAR** including any amendments as a result of the limited assurance review. It is recommended as best practice, to avoid any potential confusion by local electors and interested parties, that you also publish the Annual Internal Audit Report, page 3.

The Annual Governance and Accountability Return constitutes the annual return referred to in the Accounts and Audit Regulations 2015. Throughout, the words 'external auditor' have the same meaning as the words 'local auditor' in the Accounts and Audit Regulations 2015.

*for a complete list of bodies that may be smaller authorities refer to schedule 2 to the Local Audit and Accountability Act 2014.

Guidance notes on completing Form 3 of the Annual Governance and Accountability Return (AGAR) 2024/25

- The authority **must** comply with *Proper Practices* in completing Sections 1 and 2 of this AGAR. *Proper Practices* are found in the *Practitioners' Guide** which is updated from time to time and contains everything needed to prepare successfully for the financial year-end and the subsequent work by the external auditor.
- Make sure that the AGAR is complete (no highlighted boxes left empty) and is properly signed and dated. Any amendments must be approved by the authority and properly initialled.
- The authority **should** receive and note the Annual Internal Audit Report before approving the Annual Governance Statement and the accounts.
- Use the checklist provided below to review the AGAR for completeness before returning it to the external auditor by email or post (not both) no later than 30 June 2025.
- The Annual Governance Statement (Section 1) must be approved on the same day or before the Accounting Statements (Section 2) and evidenced by the agenda or minute references.
- The Responsible Financial Officer (RFO) must certify the accounts (Section 2) before they are presented to the authority for approval. The authority must in this order; consider, approve and sign the accounts.
- The RFO is required to commence the public rights period as soon as practical after the date of the AGAR approval.
- You must inform your external auditor about any change of Clerk, Responsible Financial Officer or Chair, and provide relevant authority owned generic email addresses and telephone numbers.**
- Make sure that the copy of the bank reconciliation to be sent to your external auditor with the AGAR covers all the bank accounts. If the authority holds any short-term investments, note their value on the bank reconciliation. The external auditor must be able to agree the bank reconciliation to Box 8 on the accounting statements (**Section 2, page 5**). An explanation **must** be provided of any difference between Box 7 and Box 8. More help on bank reconciliation is available in the *Practitioners' Guide**.
- Explain fully significant variances in the accounting statements on **page 5**. Do not just send a copy of the detailed accounting records instead of this explanation. The external auditor wants to know that you understand the reasons for all variances. Include complete numerical and narrative analysis to support the full variance.
- If the bank reconciliation is incomplete or variances not **fully** explained then additional costs may be incurred.
- Make sure that the accounting statements add up and that the balance carried forward from the previous year (Box 7 of 2024) equals the balance brought forward in the current year (Box 1 of 2025).
- The Responsible Financial Officer (RFO), on behalf of the authority, **must** set the commencement date for the exercise of public rights of 30 consecutive working days which **must** include the first ten working days of July.
- The authority **must** publish on the authority website/webpage the information required by Regulation 15 (2), Accounts and Audit Regulations 2015, including the period for the exercise of public rights and the name and address of the external auditor **before 1 July 2025**.

| Completion checklist – 'No' answers mean you may not have met requirements | | Yes | No |
|--|--|-----|----|
| All sections | Have all highlighted boxes have been completed? | | |
| | Has all additional information requested, including the dates set for the period for the exercise of public rights , been provided for the external auditor? | | |
| Internal Audit Report | Have all highlighted boxes been completed by the internal auditor and explanations provided? | | |
| Section 1 | For any statement to which the response is 'no', has an explanation been published? | | |
| Section 2 | Has the Responsible Financial Officer signed the accounting statements before presentation to the authority for approval? | | |
| | Has the authority's approval of the accounting statements been confirmed by the signature of the Chair of the approval meeting? | | |
| | Has an explanation of significant variations been published where required? | | |
| | Has the bank reconciliation as at 31 March 2025 been reconciled to Box 8? | | |
| | Has an explanation of any difference between Box 7 and Box 8 been provided? | | |
| Sections 1 and 2 | Trust funds – have all disclosures been made if the authority as a body corporate is a sole managing trustee? NB: do not send trust accounting statements unless requested. | | |

***Governance and Accountability for Smaller Authorities in England – a Practitioners' Guide to Proper Practices**, can be downloaded from www.nalc.gov.uk or from www.ada.org.uk

Annual Internal Audit Report 2024/25

ENTER NAME OF AUTHORITY

ENTER PUBLICLY AVAILABLE WEBSITE/WEBPAGE ADDRESS

During the financial year ended 31 March 2025, this authority's internal auditor acting independently and on the basis of an assessment of risk, carried out a selective assessment of compliance with the relevant procedures and controls in operation and obtained appropriate evidence from the authority.

The internal audit for 2024/25 has been carried out in accordance with this authority's needs and planned coverage. On the basis of the findings in the areas examined, the internal audit conclusions are summarised in this table. Set out below are the objectives of internal control and alongside are the internal audit conclusions on whether, in all significant respects, the control objectives were being achieved throughout the financial year to a standard adequate to meet the needs of this authority.

| Internal control objective | Yes | No* | Not covered** |
|---|-----|-----|---------------|
| A. Appropriate accounting records have been properly kept throughout the financial year. | | | |
| B. This authority complied with its financial regulations, payments were supported by invoices, all expenditure was approved and VAT was appropriately accounted for. | | | |
| C. This authority assessed the significant risks to achieving its objectives and reviewed the adequacy of arrangements to manage these. | | | |
| D. The precept or rates requirement resulted from an adequate budgetary process; progress against the budget was regularly monitored; and reserves were appropriate. | | | |
| E. Expected income was fully received, based on correct prices, properly recorded and promptly banked; and VAT was appropriately accounted for. | | | |
| F. Petty cash payments were properly supported by receipts, all petty cash expenditure was approved and VAT appropriately accounted for. | | | |
| G. Salaries to employees and allowances to members were paid in accordance with this authority's approvals, and PAYE and NI requirements were properly applied. | | | |
| H. Asset and investments registers were complete and accurate and properly maintained. | | | |
| I. Periodic bank account reconciliations were properly carried out during the year. | | | |
| J. Accounting statements prepared during the year were prepared on the correct accounting basis (receipts and payments or income and expenditure), agreed to the cash book, supported by an adequate audit trail from underlying records and where appropriate debtors and creditors were properly recorded. | | | |
| K. If the authority certified itself as exempt from a limited assurance review in 2023/24, it met the exemption criteria and correctly declared itself exempt. <i>(If the authority had a limited assurance review of its 2023/24 AGAR tick "not covered")</i> | | | |
| L. The authority published the required information on a website/webpage up to date at the time of the internal audit in accordance with the relevant legislation. | | | |
| M. In the year covered by this AGAR, the authority correctly provided for a period for the exercise of public rights as required by the Accounts and Audit Regulations <i>(during the 2024-25 AGAR period, were public rights in relation to the 2023-24 AGAR evidenced by a notice on the website and/or authority approved minutes confirming the dates set)</i> . | | | |
| N. The authority has complied with the publication requirements for 2023/24 AGAR <i>(see AGAR Page 1 Guidance Notes)</i> . | | | |

| O. (For local councils only) | Yes | No | Not applicable |
|---|-----|----|----------------|
| Trust funds (including charitable) – The council met its responsibilities as a trustee. | | | |

For any other risk areas identified by this authority adequate controls existed (list any other risk areas on separate sheets if needed).

Date(s) internal audit undertaken

Name of person who carried out the internal audit

DD/MM/YYYY DD/MM/YYYY DD/MM/YYYY

ENTER NAME OF INTERNAL AUDITOR

Signature of person who carried out the internal audit

SIGNATURE REQUIRED

Date

DD/MM/YYYY

***If the response is 'no' please state the implications and action being taken to address any weakness in control identified (add separate sheets if needed).**

****Note:** If the response is 'not covered' please state when the most recent internal audit work was done in this area and when it is next planned; or, if coverage is not required, the annual internal audit report must explain why not (add separate sheets if needed).

Section 1 – Annual Governance Statement 2024/25

We acknowledge as the members of:

ENTER NAME OF AUTHORITY

our responsibility for ensuring that there is a sound system of internal control, including arrangements for the preparation of the Accounting Statements. We confirm, to the best of our knowledge and belief, with respect to the Accounting Statements for the year ended 31 March 2025, that:

| | Agreed | | |
|---|--------|-----|--|
| | Yes | No* | 'Yes' means that this authority: |
| 1. We have put in place arrangements for effective financial management during the year, and for the preparation of the accounting statements. | | | <i>prepared its accounting statements in accordance with the Accounts and Audit Regulations.</i> |
| 2. We maintained an adequate system of internal control including measures designed to prevent and detect fraud and corruption and reviewed its effectiveness. | | | <i>made proper arrangements and accepted responsibility for safeguarding the public money and resources in its charge.</i> |
| 3. We took all reasonable steps to assure ourselves that there are no matters of actual or potential non-compliance with laws, regulations and Proper Practices that could have a significant financial effect on the ability of this authority to conduct its business or manage its finances. | | | <i>has only done what it has the legal power to do and has complied with Proper Practices in doing so.</i> |
| 4. We provided proper opportunity during the year for the exercise of electors' rights in accordance with the requirements of the Accounts and Audit Regulations. | | | <i>during the year gave all persons interested the opportunity to inspect and ask questions about this authority's accounts.</i> |
| 5. We carried out an assessment of the risks facing this authority and took appropriate steps to manage those risks, including the introduction of internal controls and/or external insurance cover where required. | | | <i>considered and documented the financial and other risks it faces and dealt with them properly.</i> |
| 6. We maintained throughout the year an adequate and effective system of internal audit of the accounting records and control systems. | | | <i>arranged for a competent person, independent of the financial controls and procedures, to give an objective view on whether internal controls meet the needs of this smaller authority.</i> |
| 7. We took appropriate action on all matters raised in reports from internal and external audit. | | | <i>responded to matters brought to its attention by internal and external audit.</i> |
| 8. We considered whether any litigation, liabilities or commitments, events or transactions, occurring either during or after the year-end, have a financial impact on this authority and, where appropriate, have included them in the accounting statements. | | | <i>disclosed everything it should have about its business activity during the year including events taking place after the year end if relevant.</i> |
| 9. (For local councils only) Trust funds including charitable. In our capacity as the sole managing trustee we discharged our accountability responsibilities for the fund(s)/assets, including financial reporting and, if required, independent examination or audit. | Yes | No | N/A |
| | | | <i>has met all of its responsibilities where, as a body corporate, it is a sole managing trustee of a local trust or trusts.</i> |

***Please provide explanations to the external auditor on a separate sheet for each 'No' response and describe how the authority will address the weaknesses identified. These sheets must be published with the Annual Governance Statement.**

This Annual Governance Statement was approved at a meeting of the authority on:

DD/MM/YYYY

and recorded as minute reference:

MINUTE REFERENCE

Signed by the Chair and Clerk of the meeting where approval was given:

Chair

SIGNATURE REQUIRED

Clerk

SIGNATURE REQUIRED

ENTER PUBLICLY AVAILABLE WEBSITE/WEBPAGE ADDRESS

Section 2 – Accounting Statements 2024/25 for

ENTER NAME OF AUTHORITY

| | Year ending | | Notes and guidance |
|--|-----------------------|-----------------------|--|
| | 31 March 2024 £ | 31 March 2025 £ | |
| 1. Balances brought forward | | | <i>Total balances and reserves at the beginning of the year as recorded in the financial records. Value must agree to Box 7 of previous year.</i> |
| 2. (+) Precept or Rates and Levies | | | <i>Total amount of precept (or for IDBs rates and levies) received or receivable in the year. Exclude any grants received.</i> |
| 3. (+) Total other receipts | | | <i>Total income or receipts as recorded in the cashbook less the precept or rates/levies received (line 2). Include any grants received.</i> |
| 4. (-) Staff costs | | | <i>Total expenditure or payments made to and on behalf of all employees. Include gross salaries and wages, employers NI contributions, employers pension contributions, gratuities and severance payments.</i> |
| 5. (-) Loan interest/capital repayments | | | <i>Total expenditure or payments of capital and interest made during the year on the authority's borrowings (if any).</i> |
| 6. (-) All other payments | | | <i>Total expenditure or payments as recorded in the cashbook less staff costs (line 4) and loan interest/capital repayments (line 5).</i> |
| 7. (=) Balances carried forward | | | <i>Total balances and reserves at the end of the year. Must equal (1+2+3) - (4+5+6).</i> |
| 8. Total value of cash and short term investments | | | <i>The sum of all current and deposit bank accounts, cash holdings and short term investments held as at 31 March – To agree with bank reconciliation.</i> |
| 9. Total fixed assets plus long term investments and assets | | | <i>The value of all the property the authority owns – it is made up of all its fixed assets and long term investments as at 31 March.</i> |
| 10. Total borrowings | | | <i>The outstanding capital balance as at 31 March of all loans from third parties (including PWLB).</i> |

| For Local Councils Only | Yes | No | N/A | |
|---|-----|----|-----|--|
| 11a. Disclosure note re Trust funds (including charitable) | | | | <i>The Council, as a body corporate, acts as sole trustee and is responsible for managing Trust funds or assets.</i> |
| 11b. Disclosure note re Trust funds (including charitable) | | | | <i>The figures in the accounting statements above exclude any Trust transactions.</i> |

I certify that for the year ended 31 March 2025 the Accounting Statements in this Annual Governance and Accountability Return have been prepared on either a receipts and payments or income and expenditure basis following the guidance in Governance and Accountability for Smaller Authorities – a Practitioners' Guide to Proper Practices and present fairly the financial position of this authority.

Signed by Responsible Financial Officer before being presented to the authority for approval

SIGNATURE REQUIRED

Date

DD/MM/YYYY

I confirm that these Accounting Statements were approved by this authority on this date:

DD/MM/YYYY

as recorded in minute reference:

MINUTE REFERENCE

Signed by Chair of the meeting where the Accounting Statements were approved

SIGNATURE REQUIRED

Section 3 – External Auditor’s Report and Certificate 2024/25

In respect of

ENTER NAME OF AUTHORITY

1 Respective responsibilities of the auditor and the authority

Our responsibility as auditors to complete a **limited assurance review** is set out by the National Audit Office (NAO). A limited assurance review is **not a full statutory audit**, it does not constitute an audit carried out in accordance with International Standards on Auditing (UK & Ireland) and hence it **does not** provide the same level of assurance that such an audit would. The UK Government has determined that a lower level of assurance than that provided by a full statutory audit is appropriate for those local public bodies with the lowest levels of spending.

Under a limited assurance review, the auditor is responsible for reviewing Sections 1 and 2 of the Annual Governance and Accountability Return in accordance with NAO Auditor Guidance Note 02 (AGN 02 as issued by the NAO on behalf of the Comptroller and Auditor General. AGN 02 is available from the NAO website – <https://www.nao.org.uk/code-audit-practice/guidance-and-information-for-auditors/> .

This authority is responsible for ensuring that its financial management is adequate and effective and that it has a sound system of internal control. The authority prepares an Annual Governance and Accountability Return in accordance with *Proper Practices* which:

- summarises the accounting records for the year ended 31 March 2025; and
- confirms and provides assurance on those matters that are relevant to our duties and responsibilities as external auditors.

2 External auditor’s limited assurance opinion 2024/25

(Except for the matters reported below)* on the basis of our review of Sections 1 and 2 of the Annual Governance and Accountability Return, in our opinion the information in Sections 1 and 2 of the Annual Governance and Accountability Return is in accordance with Proper Practices and no other matters have come to our attention giving cause for concern that relevant legislation and regulatory requirements have not been met. (*delete as appropriate).

(continue on a separate sheet if required)

Other matters not affecting our opinion which we draw to the attention of the authority:

(continue on a separate sheet if required)

3 External auditor certificate 2024/25

We certify/do not certify* that we have completed our review of Sections 1 and 2 of the Annual Governance and Accountability Return, and discharged our responsibilities under the Local Audit and Accountability Act 2014, for the year ended 31 March 2025.

*We do not certify completion because:

External Auditor Name

ENTER NAME OF EXTERNAL AUDITOR

External Auditor Signature

SIGNATURE REQUIRED

Date

DD/MM/YYYY

Bank reconciliation – pro forma

This reconciliation should include **all** bank and building society accounts, including short term investment accounts. It **must** agree column headed “Year ending 31 March 2025” in Section 2 of the AGAR – and will also agree to Box 7 where the accounts are pre payments basis. Please complete the highlighted boxes, remembering that unpresented cheques should be entered as negative

Name of smaller authority: **Ash Parish Council**

County area (local councils and parish meetings only): **Somerset**

Financial year ending 31 March 2025

Prepared by (Name and Role): **Zannette Bougourdf RFO**

Date: **06/05/2025**

| | £ | £ |
|--|----------|------------------|
| Balance per bank statements as at 31/3/25 | | |
| 40125760 | 2,554.9 | |
| 43300060 | 70,074.2 | |
| SHPRSHCN00 | 52,236.3 | |
| | | 124,865.5 |
| Petty cash float (if applicable) | | - |
| Less: any unpresented cheques as at 31/3/25 (enter these as negative numbers) | | |
| 0 | 0.00 | |
| | | - |
| Add: any un-banked cash as at 31/3/25 | | |
| | | |
| Net balances as at 31/3/25 (Box 8) | | 124,865.5 |

Bank reconciliation – example

This reconciliation should include **all** bank and building society accounts, including short term investment accounts. It **must** agree to Box 8 in the column headed “Year ending 31 March 20xx” in Section 2 of the AGAR – and will also agree to Box 7 where the accounts are prepared on a receipts and payments basis

Name of smaller authority: Ash Parish Council

County area (local councils and parish meetings only): Somerset

Financial year ending 31 March 20xx

Prepared by (Name and Role): Zannette Bougourd RFO

Date: 06/05/2025

| | £ | £ |
|--|-----------|-------------------|
| Balance per bank statements as at 31/3/25 | | |
| e.g Current Account | 2,554.93 | |
| High Interest Account | 70,074.21 | |
| 95 day call account | 52,236.33 | |
| | | |
| | | 124,865.47 |
| Petty cash float (if applicable) | | - |
| Less: any un-presented cheques as at 31/3/25 (normally only current account) Cheque number | | |
| | | |
| | | 0.00 |
| Add: any un-banked cash as at 31/3/25 e.g Allotment rents banked 30/3/xx (but not credited until 2 April) | | |
| | | - |
| Net balances as at 31/3/25 (Box 8) | | 124,865.47 |

Explanation of variances – pro forma

Name of smaller authority: **Ash Parish Council**

County area (local councils and

Somerset

Insert figures from Section 2 of the AGAR in all **Blue** highlighted boxes

Next, please provide full explanations, including numerical values, for the following that will be flagged in the **green boxes where relevant**:

• variances of more than 15% between totals for individual boxes (except variances of less than £200);

• **New from 2020/21 onwards:** variances of £100,000 or more require explanation regardless of the % variation year on year;

| | 2023/24 £ | 2024/25 £ | Variance £ | Variance % | Explanation Required? | Automatic responses trigger below based on figures input, DO NOT OVERWRITE THESE BOXES | Explanation from smaller authority (must include narrative and supporting figures) |
|---|--------------|--------------|---------------|---------------|--------------------------|--|--|
| 1 Balances Brought Forward | 91,864 | 88,517 | | | | Explanation of % variance from PY opening balance not required - Balance brought forward agrees | |
| 2 Precept or Rates and Levies | 43,723 | 50,900 | 7,177 | 16.41% | YES | | Increase to reserves £5150 |
| 3 Total Other Receipts | 9,670 | 14,921 | 5,251 | 54.30% | YES | | 2024 & 2025 Post office payment £1680 VAT Refund £3641 Pavilion income £4725 Unexplained: £4875 |
| 4 Staff Costs | 11,381 | 4,865 | -6,516 | 57.25% | YES | | Clerk only in post April 2024 & new clerk September 2024 Unexplained £6516 |
| 5 Loan Interest/Capital Repayment | 0 | 0 | 0 | 0.00% | NO | | |
| 6 All Other Payments | 45,359 | 24,508 | -20,851 | 45.97% | YES | | Grants 2023/24 £6,500 Bus Shelter £7794, Disabled gates £2066, SC Grounds contract £3557, Debrillator £750. Unexplained £24692 |
| 7 Balances Carried Forward | 88,517 | 124,965 | | | | VARIANCE EXPLANATION NOT REQUIRED | |
| 8 Total Cash and Short Term Investments | 88,517 | 124,865 | | | | VARIANCE EXPLANATION NOT REQUIRED | |
| 9 Total Fixed Assets plus Other Long Term Investments and | 319,792 | 334,092 | 14,300 | 4.47% | NO | | |
| 10 Total Borrowings | 0 | 0 | 0 | 0.00% | NO | | |

Rounding errors of up to £2 are tolerable

Variances of £200 or less are tolerable

| | | Total at 31/3/2023 | Budget additions FY2023-24 | In year xfer to budget line(-) | Projected Total Reserves at 31/3/2024 | Budget additions 2024/5 | Total reserves held 31/03/25 | Notes (to be added at EoY) |
|--|--------------------|-----------------------|----------------------------------|--------------------------------------|--|-------------------------------|---------------------------------|---|
| TOTAL OF ACCOUNTS AS AT 31ST MARCH 2025 | £ 91,863.69 | | | | | | | |
| Projects with ring fenced reserves: | | | | | | | | |
| Election (NEW) | | 0 | 500 | 0 | £500.00 | | £500.00 | From Budget line @ EoY |
| Speedwatch (NEW for 24-25) | | 0 | 0 | 0 | £0.00 | £4,000.00 | £4,000.00 | £4000 allocation from 24-25 budget |
| Pavilion refurbishment | | £32,401.00 | £0.00 | | £32,401.00 | £7,160.82 | £39,561.82 | £7160.82 increase(25% of total unallocated funds) |
| Churchyard wall and gate & legal fees | | £2,000.00 | £0.00 | | £2,000.00 | £1,000.00 | £3,000.00 | £1,000 allocation from 24-25 budget |
| Village Car parks fence & maintenance | | £5,250.00 | £16,727.00 | -£5,471.00 | £16,506.00 | £14,321.64 | £30,827.64 | £14321.64 increase(50% of total unallocated funds) |
| Play area enhancement | | £ 5,373.91 | £ 1,000.00 | -£ 1,721.00 | £4,652.91 | | £4,652.91 | |
| Cemetery extension - reinstate burial ground | | £8,640.00 | £0.00 | -£416.08 | £8,223.92 | | £8,223.92 | |
| Defibrillator reserve | | £1,973.79 | £100.00 | -£750.00 | £1,323.79 | | £1,323.79 | |
| SSDC CIL payments | | £0.00 | £0.00 | | £0.00 | | £0.00 | |
| Laptop replacement fund | | £150.00 | £150.00 | | £300.00 | £150.00 | £450.00 | £150 allocation from 24-25 budget |
| ADMIN RESERVE | | £36,075.30 | £0.00 | | £22,609.64 | £7,160.82 | £29,770.46 | £7160.82 increase(25% of total unallocated funds) |
| TOTAL RESERVES | | £91,864.00 | £17,977.00 | -£8,358.08 | £88,517.26 | £33,793.28 | £122,310.53 | ACTUAL @ 31/3/25 |
| | | | | | | | | |
| | | | | | | | | |
| Total funds held (£70074.21 + £52236.33+2554.92) | £124,865.46 | | | | | | | |
| Ring fenced funds | £93,667.26 | | | | | | | |
| Balance for allocation | £28,643.27 | | | | | | | |
| Allocation percentages 25%(Pavilion & admin) | £7,160.82 | | | | | | | |
| Allocation percentages 50% (carpark) | £14,321.64 | | | | | | | |
| Current Account C/F | £2,554.93 | | | | | | | |

SOCIAL EVENT HEALTH AND SAFETY RISK ASSESSMENT FORM

| | | | | | |
|------------|-----------------------|--------------------|-----------------------|-------------------------|---------------------------|
| Event Name | Ash Parish Fete | Date of Event | 24/05/25 | Time | Event times 14:00 – 20:00 |
| Location | Ash Recreation Ground | Event organiser | Fete Organising Group | Event safety controller | Steve Davey |
| Assessor | Steve Davey | Date of Assessment | 23/04/25 | Permission given by | Ash Parish Council |

Section 1 - Identify hazards - consider all the activities within the social event and tick the boxes of significant hazards that apply

| | | | | | | | | | | | | | | | | | |
|----|----------------------------|---|-----|---------------------------|---|-----|--------------------------------|---|-----|----------------------------|--|-----|-----------------------|---|-----|-----------------------------|---|
| 1. | Fire hazards | x | 7. | Layout and traffic routes | x | 13. | Pressurised equipment | | 19. | Inflatables | | 25. | Seating arrangements | | 31. | Confined space | |
| 2. | Crowd control | x | 8. | Lighting levels | | 14. | Noise and vibration | | 20. | Other temporary structures | | 26. | Welfare | x | 32. | Lone working | |
| 3. | Slips, trips, housekeeping | x | 9. | Lighting systems | | 15. | Environmental noise | | 21. | Fairground equipment | | 27. | Sanitation | x | 33. | Vehicles, driving | x |
| 4. | Fall of person | | 10. | Heating and ventilation | | 16. | Communication | | 22. | Lasers | | 28. | Food provision | x | 34. | Machinery/lifting equipment | |
| 5. | Fall of objects | | 11. | Electrical equipment | x | 17. | Violence to attendees or staff | | 23. | Fireworks | | 29. | Work with animals | x | 35. | Other - please specify | |
| 6. | Manual handling | | 12. | Use of portable tools | | 18. | Marquees | x | 24. | Pyrotechnics | | 30. | Chemicals, fumes dust | | | | |

Section 2 - Who may be at risk – tick the boxes of all relevant persons at risk

| | | | | | |
|-----------|---|-------------|---|---------------|---|
| Employees | | Contractors | x | Students | |
| Children | x | Visitors | x | Special needs | x |

Please go to Section 3.

See Safety Guide 33 (revised) for more guidance on completing this risk assessment.

SOCIAL EVENT HEALTH AND SAFETY RISK ASSESSMENT FORM - continued

Section 3 - Risk controls– For each hazard identified in Section 1 and for the persons identified in Section 2, complete this section

| Hazard no. | Hazard description | Existing controls | Risk level | | | Further action needed |
|------------|---|---|------------|-----|-----|--|
| | | | High | Med | Low | |
| 1 | General site safety | Access to Recreation Ground deemed no greater risk than normal. Significant crowds are not expected, with numbers expected well below 200 people at any one time. This is based upon the previous events, and the relative unknown status of the band playing, compared to the previous events. Attendees expected to arrive at various times and no crowd issues are expected. Most people are local, and so will walk to Recreation Ground, so parking and traffic are not expected to be significant issues. Volunteer marshals will be present on site and reachable when needed by mobile phones. Points of contact will be the PA, bar/bbq and the volunteers. These will have a list of marshals and First Aider contact numbers | | | x | <p>Individual activities may have additional risk. These will be managed as required.</p> <p>Insurance conditions of 1 marshal per 100 people greatly exceeded. We will have 5 marshals and expect no more than 200 people at any one time.</p> <p>Police and local fire station have been notified.</p> |
| 2 | Provision of disabled car / attendee parking at recreation ground | Low speed/caution signage. Speed bumps will slow traffic. Separation of vehicles and pedestrians where possible. | | | x | Car park full signage if and as necessary. Ground to be checked as suitable; non-metalled parking area dependant on weather conditions |

| | | | | | | |
|---|--|---|--|--|---|--|
| 3 | On road car parking in village | Road traffic act provisions apply | | | x | |
| 4 | Through village traffic provision | Existing speed limits will apply. | | | x | |
| 5 | Control of pedestrians onto/on the recreation ground | Signage at entry points Walkways cleared of hazards or suitably highlighted / hazard taped off. The area of the recreation ground to be reviewed for any additional hazards – mole hills/holes etc and mitigated/ removed | | | x | Warning notices that dogs should be kept on leads. Dog owners are responsible for picking up any mess. Parents are responsible for managing the safety of their children. Area is well maintained, and grass to be mowed prior to event |
| 6 | Special needs access | Canvas potential visitors in advance to understand needs. Marshals available to give support. Appropriate car parking provision provided and appropriate entrance highlighted. | | | x | Disabled parking bays will be available. |
| 7 | Medical provision | Designated first aider available on call. | | | x | Access to water shade and chairs etc dependant on weather. Defibrillator available onsite. |
| 8 | Emergency control and communication | List of designated persons with mobile phones with list of appropriate mobile phone numbers. Announcements possible on PA. | | | x | |

| | | | | | | |
|----|--|--|--|--|---|---|
| 9 | Control of children / young adults | Control is the responsibility of parents. Marshals available if needed; contactable by phone. Announcements possible on PA. | | | x | Lost child process established with area to “hold” lost children whilst family is “found”. |
| 10 | General provision of attractions | Each attraction area to be appropriately zoned and supervised with separate review in the assessment for each such attraction | | | x | Where appropriate additional risk mitigation where identified to implemented / highlighted. Suitable cordoning off areas of increased risk |
| 11 | Toilets provision | Pavilion toilets to be utilised with adequate signage and disabled access highlighted areas to be managed and kept tidy and any arising hazards managed via regular inspection | | | x | |
| 12 | Pavilion access | Gangways kept clear and kitchen area utilised only by designated people | | | x | |
| 13 | Pavilion kitchen/ provision of hot/cold foods/drinks | Access to be limited to identified people. Hygiene rules to be implemented | | | x | |
| 14 | Pavilion main area | Clear pathway left for access to toilets. | | | x | |
| 15 | Provision of Hot food stalls - (BBQ etc) | Managed by third party. Designated area to be designed such that hot cooking surfaces to be away from the visitors, orderly queue management hygiene / food prep and cooking | | | x | Bucket of sand and bucket of water for management of any uncontrolled fire at BBQ area |

| | | | | | | |
|----|--------------------|---|--|--|---|--|
| | | rules to be implemented. | | | | |
| 16 | Provision of games | Each such game to be risk assessed and zoned off with adequate supervision and no go zones highlighted and additional protection as appropriate utilised. | | | x | Signs highlighting people take part at own risk. |
| 17 | Weather | Weather to be monitored and appropriate action taken as required. For wet days then review for slips etc and also managing larger numbers in the pavilion hall etc seeking shelter which may have to be marshalled. Lightening or severe wind or rain may need to take into command and control organisation re cancellation on safety ground | | | x | Organising committee has plan as part of its command and control a process to make decision to cancel or curtail if necessary. |
| 18 | Sale of alcohol | Provided by third party. Over 18 policy with suitable id requirements as necessary | | | x | The bar managed by experienced personnel from the local pub |
| 19 | Stalls | To be individually managed by stallholders | | | x | Any safety conditions to be observed. |

| | | | | | | |
|----|----------------------------------|---|--|--|---|--|
| 20 | Live music and Morris Dancing | Local band without any significant following. Numbers expected to be limited. Finishing in daylight hours. Electrical safety precautions observed. | | | x | Suspension of music if numbers exceeded. |
| 21 | Marquees | To be safely put up in advance. | | | x | Event will be suspended and marquees dismantled if high winds expected. |
| 22 | Electrical connection to Marquee | Will be installed and supervised by qualified electrician | | | x | Protected from rain by marquee. Cable buried to avoid trip hazards. |
| 23 | Dog Show | Kept away from main Fete area. Run by volunteers who are experienced in animal welfare and work at local vets. | | | x | Dog poo bin provided. Additional bin provided by Dog Show. Warning notices that dogs should be kept on leads. Dog owners are responsible for picking up any mess. Anyone breaking rules will be asked to leave the site. |
| 24 | Tea, Coffee, Cakes | Managed in Pavilion kitchen; hygiene precautions observed. Full list of allergens in any cakes and notices provided. | | | x | |
| 25 | Vehicle movements | No vehicle movements allowed on site between 14:00 and 20:00, except in exceptional circumstances when any vehicle will be escorted by a marshal. Stalls are now adjacent to grassed parking area so stall-holders can leave without driving on the site. | | | x | |

SOCIAL EVENT HEALTH AND SAFETY RISK ASSESSMENT FORM

| | | | | | |
|------------|--|--------------------|-----------------------|-------------------------|--------------------|
| Event Name | Preparation and clearing up after Village Fete | Date | 23/05/25 & 25/5/25 | Time | All day |
| Location | Ash Recreation Ground, Ash Village Car Park | Event organiser | Fete Organising Group | Event safety controller | Steve Davey |
| Assessor | Steve Davey | Date of Assessment | 23/04/25 | Permission given by | Ash Parish Council |

Section 1 - Identify hazards - consider all the activities within the social event and tick the boxes of significant hazards that apply

| | | | | | | | | | | | | | | | | | |
|----|----------------------------|---|-----|---------------------------|---|-----|--------------------------------|---|-----|----------------------------|--|-----|-----------------------|---|-----|-----------------------------|---|
| 1. | Fire hazards | | 7. | Layout and traffic routes | | 13. | Pressurised equipment | | 19. | Inflatables | | 25. | Seating arrangements | | 31. | Confined space | |
| 2. | Crowd control | | 8. | Lighting levels | | 14. | Noise and vibration | | 20. | Other temporary structures | | 26. | Welfare | x | 32. | Lone working | |
| 3. | Slips, trips, housekeeping | x | 9. | Lighting systems | | 15. | Environmental noise | | 21. | Fairground equipment | | 27. | Sanitation | x | 33. | Vehicles, driving | x |
| 4. | Fall of person | | 10. | Heating and ventilation | | 16. | Communication | | 22. | Lasers | | 28. | Food provision | | 34. | Machinery/lifting equipment | |
| 5. | Fall of objects | | 11. | Electrical equipment | x | 17. | Violence to attendees or staff | | 23. | Fireworks | | 29. | Work with animals | | 35. | Other - please specify | |
| 6. | Manual handling | | 12. | Use of portable tools | | 18. | Marquees | x | 24. | Pyrotechnics | | 30. | Chemicals, fumes dust | | | | |

Section 2 - Who may be at risk – tick the boxes of all relevant persons at risk

| | | | | | |
|-----------|---|-------------|---|---------------|---|
| Employees | | Contractors | x | Students | |
| Children | x | Visitors | x | Special needs | x |

Please go to Section 3.

See Safety Guide 33 (revised) for more guidance on completing this risk assessment.

SOCIAL EVENT HEALTH AND SAFETY RISK ASSESSMENT FORM - continued

Section 3 - Risk controls– For each hazard identified in Section 1 and for the persons identified in Section 2, complete this section

| Hazard no. | Hazard description | Existing controls | Risk level | | | Further action needed |
|------------|------------------------------------|---|------------|-----|-----|---|
| | | | High | Med | Low | |
| 1 | General site safety | Access to Recreation Ground and other communal spaces deemed no greater risk than normal. Pathetically few people are expected to come and help with the clear-up, and any children will be supervised by parents | | | x | |
| 2 | Access and car parking | Most people likely to walk. Car parking more than adequate for limited numbers. | | | x | |
| 3 | Tasks | Picking up litter, removing signs etc. Clearing Recreation Ground before and after celebration; general litter-picking in other locations. | | | x | No significant risk if care taken. Children to be supervised by parents. Pavilion facilities will be available for toilets and hand washing. |
| 4 | Control of children / young adults | Control is the responsibility of parents. | | | x | |
| 5 | Toilets provision | Pavilion toilets to be utilised with adequate signage and disabled access highlighted areas to be managed and kept tidy and any arising hazards managed via regular inspection | | | x | |

| | | | | | | |
|----|--|--|--|--|---|--|
| 6 | Pavilion access | Gangways kept clear and kitchen area utilised only by designated people | | | x | |
| 7 | Pavilion kitchen/ provision of hot/cold foods/drinks | Access to be limited to identified people. Hygiene rules to be implemented | | | x | |
| 8 | Pavilion main area | Left empty for access to toilets | | | x | |
| 9 | Weather | Weather to be monitored and appropriate action taken as required. | | | x | |
| 10 | Marquees | To be safely assembled and dismantled by owners, or experienced adults | | | x | Care to be taken over any lifting and appropriate precautions taken. |
| 11 | General | Low-level volunteer activity. Mainly picking up litter etc. No special experience or precautions required. | | | x | |
| 12 | Electrical | Electrical cabling to be connected and removed by qualified electrician, and buried to avoid trip hazards. | | | x | |